

1 Woody plants diversity and the associated ecosystem service across three contrasting forest  
2 management regime in Southwest Ethiopia

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11 Abstract

12 Coffee management intensification has simplified the stand structure and composition of woody  
13 plants in southwest Ethiopia. It is believed that a change in woody plant diversity could results in  
14 loss of ecosystem service. Nevertheless, information on the effect of coffee management  
15 intensification on ecosystem services is limited. The study aim to give an answer for whether  
16 forest modification to coffee agroforest brings about a loss in ecosystem services in southwest  
17 Ethiopia. The specific objectives were 1) to examine woody plant diversity across contrasting  
18 forest management regime 2) to explore changes in ecosystem services focusing on forest  
19 products 3) to examine the relationship between woody plants diversity and ecosystem services  
20 as perceived and used by local people. Mixed approaches were employed to collect the data. The  
21 forest was divided into three contrasting management regimes. Vegetation data were collected  
22 from 189 plots. Ethnoecological approach was employed to assess ecosystem services. Perceived  
23 local ecosystem services were collected from 136 individuals. The relationship between  
24 ecosystem services and diversity were estimated based on the use value approach. The result  
25 showed that there is a positive relationship between the diversity and use value of woody plants  
26 across the three forest management regimes. Coffee management intensification simplify both  
27 the stand structure, woody plant composition and ecosystem services of the forest. Plant use  
28 value increases towards coffee agroforest. Coffee agroforest can serve as repository of diversity  
29 and ecosystem services in southwest Ethiopia.

30 Key words: Coffee forest, agroforest, use value, ethnoecology, managed forest

## 31 1. Introduction

32 Tropical forests are known for high diversity of many life forms, apparently supporting at least  
33 two-thirds of global terrestrial biodiversity (Lopez-Gomez et al. 2008, Gardner et al. 2009,  
34 Morris 2010, Sistla et al. 2016, Giam 2017). Studies have shown the conservation importance of  
35 tropical forest (Gardner et al. 2009, Hall et al. 2011). Human-forest interaction has gradually  
36 modified a natural forest to the interest of forest user (Waltert et al. 2005, Tschardt et al. 2011,  
37 Ismail et al. 2014, Vallejo-Ramos et al. 2016, Mukul and Saha 2017, Milheiras et al. 2020).  
38 Agroforest in forested geographical region is evolved through modification of the natural forest  
39 (Berg et al. 2016, Gueze and Napitupulu 2017, Sayer and Margules 2017). Studies have shown  
40 the importance of agroforest in use and conservation of forest biodiversity (Bhagwat et al. 2008,  
41 Mukul and Saha 2017, Udawatta et al. 2019). Management intensity determines the richness and  
42 diversity of woody species in coffee agroforest (Valencial et al. 2015).

43 Forest provides goods and services to local people collectively known as ecosystem  
44 services (Ouko et al. 2018, Gouwakinnou et al. 2019, Hong and Saizen 2019). The concept of  
45 ecosystem service was introduced as a framework to analyze socioecological systems (Carpenter  
46 et al. 2009, Caceres et al. 2015). In MEA (2005) ecosystem services are defined as the benefits  
47 that the local people obtain from the forest. Four major groups of ecosystem services are  
48 suggested: provisioning services, regulating services, cultural services and supporting services  
49 (MEA 2005, Ouko et al. 2018, Hong and Saizen 2019). The value of ecosystem service varies  
50 with the interest of local people (Caceres et al. 2015). For forest dependent community the  
51 natural forest is mainly valued for the provision of ecosystem services such as timber and non-  
52 timber forest products (Wiersum and Endalamaw 2013, Ouko et al. 2018). In areas where the  
53 natural forest is lost or degraded agroforest can serve as the source of timber and non-timber  
54 forest products (Dawson et al. 2013, Tadesse et al. 2014).

55 Woody plants are a major source of ecosystem service including timber and non-timber  
56 forest products that are critical to the livelihoods of the local people (Bucheli and Bokelmann  
57 2017, Bukomeko et al. 2019, Shumi et al. 2021). Local people value the importance of forest in  
58 view of the ecosystem services provided by the woody plants (Ango et al. 2014, Obayelu et al.  
59 2017, Shumi et al. 2019). Studies have shown a positive relationship between the diversity and  
60 ecosystem services of woody plants (Shumi et al. 2021). The diversity, composition and structure

61 of woody plants are simplified in coffee agroforest leading to a loss of biodiversity and  
62 ecosystem services (MEA 2005).

63 Previous studies have applied land use and land cover as a proxy of the assessment of a  
64 given ecosystem service (Rasmussen et al. 2016, Tolessa et al. 2017, Habtamu et al. 2018). This  
65 has helped to assess and quantify the value of ecosystem services (Tshewang et al. 2019).  
66 However, there is a short coming of the method in quantifying the actual use and perceived value  
67 of ecosystem services of the forest (Rasmussen et al. 2016, Ahammad et al. 2019). The social  
68 dimension of ecosystem services assessment reflects the values, priorities and the interests of  
69 local people (Caceres et al. 2015, Tshewang et al. 2019). Caceres et al. (2015) has portrayed the  
70 value of ecosystem services as the perspective of different social actors. Local people appreciate  
71 forest for diverse products and benefits they obtain from the forest (Bengston 1994, Nordlund et  
72 al. 2011, Obayelu et al. 2017)

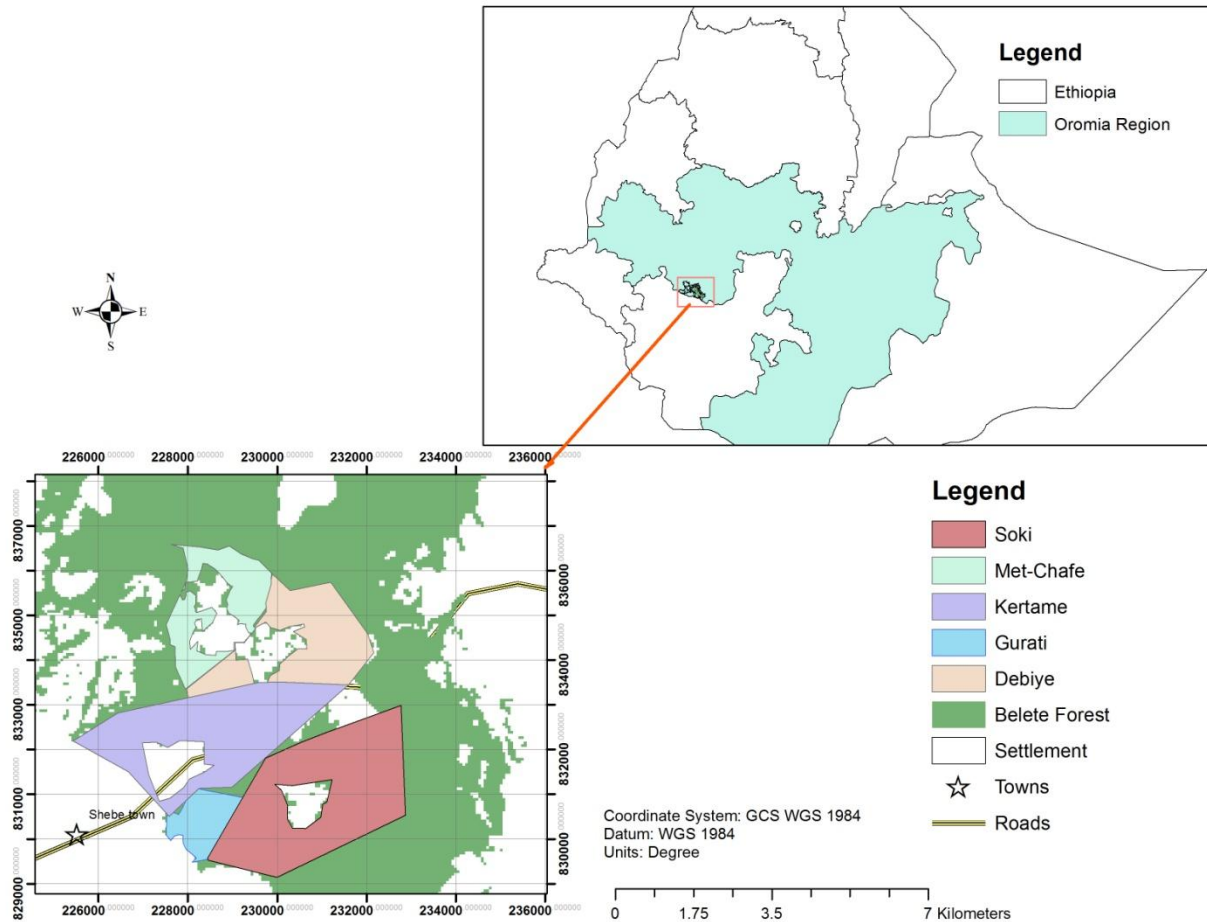
73 All woody species are not equally important to the local people (Goncalves et al. 2016).  
74 Forest users intentionally promote certain woody species and discourage others based on the  
75 perceived value of the plant (Valencial et al. 2015). A study by Tadesse et al. (2014) found that  
76 factors related to biophysical and sociocultural determine the use value of ecosystem services.  
77 Apparant (i.e. dominance and availability) species are assumed to be the most useful plants to the  
78 users (Tunholi et al. 2013, Gueze et al. 2014, Goncalves et al. 2016). Shumi et al. (2019) have  
79 stated property rights determine how the forest users value the ecosystem services of the forest.

80 Although coffee management practices have created multifunctional socioecological  
81 production land units (i.e. coffee agroforests) (Wiersum 2010), intensive coffee management is  
82 degrading the natural forest resulting a change in woody species composition and diversity  
83 across the management gradient (Hundera et al. 2013). Obtainable ecosystem service from the  
84 forest is expected to be reduced or lost (Tadesse et al. 2014). The value of coffee agroforest in  
85 providing important ecosystem services is less studied in southwest Ethiopia. We studied a  
86 forest with contrasting management regime with the overall aim of assessing the relationship  
87 between the diversity and ecosystem services of woody plant species in southwest Ethiopia. The  
88 specific objectives of the study were 1) to examine woody plant diversity in natural forest, coffee  
89 forest and coffee agroforest 2) to explore changes in ecosystem services focusing on the use  
90 value of plants 3) to examine the relationship between woody plant diversity and ecosystem  
91 services to the local people.

## 92 2. Material and Methods

### 93 2.1 Study area

94 The study was conducted at Belete forest, southwest Ethiopia (Figure 1). It is located at 45 km  
95 west of Jimma town. Geographically, it is found between 36<sup>0</sup> 15' E and 36<sup>0</sup> 45'E, and 7<sup>0</sup>30' N  
96 and 7<sup>0</sup>45'N. The study area is characterised by fragmented forest. The forest is one of a few  
97 remnant Afromontane moist evergreen forests in southwest Ethiopia. Belete forest has been  
98 under different forest management regime at different time (Russ 1944). The forest is a source of  
99 livelihoods for the local people living in and around the forest (Belay et al. 2013, Takahashi and  
100 Todo 2012, Belay et al. 2013). At the moment the forest is under participatory forest  
101 management with 44 forest user groups organized to protect and use the forest. Coffee, khat  
102 (*Catha edulis*), cereal crops and vegetables were the major agricultural crops cultivated in the  
103 area. The most recent population data for the Shebe-Sombo district is the 2007 national  
104 population survey, which estimated the total population of Shebe-Sombo district as 129208  
105 (male= 65414, F=63794). The population density was 168.8 people per km<sup>2</sup>, which is less than  
106 the population density of 184.2 people per km<sup>2</sup> of Jimma zone. The population in and around  
107 Belete forest area was approximately 48772 individuals living in 11012 households (Cheng et al.  
108 1998) and is expected to have gone up considerably since then.



109  
 110 Figure 1. Map of Ethiopia with Oromia region, Jimma zone, location of study villages (sites)

111 2.2 Selecting villages and contextualising the three forest management regimes

112 We visited the study sites for three weeks to gain overall impression of the forest. Five study  
 113 sites namely: Debiye, Meti-Chafe, Kerteme, Soki and Gurati were purposively selected both for  
 114 their forest types, accessibility and because of forest users willingness to take part in this  
 115 research. The researcher also took into account more than a decade past working experience to  
 116 define as one containing the three levels of forest management: coffee agroforest, coffee forest  
 117 and natural forest.

118 Forest ethnoecological classification was the starting point to contextualize the three  
 119 contrasting forest management regimes. The state of the art of literature was used to define forest  
 120 management characteristics as: coffee agroforest, coffee forest and natural forest. The three  
 121 forest types, coffee agroforest, coffee forest and natural forest for the purpose of the study  
 122 portray the same forest under three management intensity over a time (Table 1).

123 Table 1. Description of contextualized three forest management regimes

Forest types	Description	Related literatures
Natural forest	Forest with its original structure and composition, comparatively less disturbed and utilized. There is no management intervention. It is supposed to be conservation zone.	Cheng et al. 1998, Schmitt et al. 2010 and Mertens et al. 2020
Coffee forest	A disturbed forest due to extraction of forest products and undergrowth removal around wild coffee. Usually the local people use it on communal basis and considered it a common pool resources	Cheng et al. 1998, Labouisse et al. 2008 and Wiersum et al. 2008
Coffee agroforest	A modified natural forest for coffee production. The forest is under intensive coffee management practices such as undergrowth removal, transplanting coffee seedlings and reduction of upper canopy. Coffee is intensively managed a minimum of seven years. The local people perceive it belongs to individual and use it privately.	Chenge et al. 1998, Geeraert et al. 2019, Labouisse et al. 2008 and Mertens et al. 2020

124

125 **2.3 Methods of data collection and analysis**

126 **2.3.1 Vegetation data collection and analysis**

127 We collected vegetation data from 189 plots (each 63 plots) based on the plot based vegetation  
 128 assessment protocol as mostly used in many studies in southwest Ethiopia (Senbeta and Denich  
 129 2006, Schmitt et al. 2010, Hundera et al. 2015). A plot size of 20 m by 20 m (400m<sup>2</sup>) were laid  
 130 systematically where the first plot is randomly or arbitrarily selected and the next locations  
 131 spaced homogeneously throughout the survey. We selected coffee agroforest first and then  
 132 coffee forest and natural forest subsequently along the transect line. The distance between the  
 133 plot varies along the transect as a result of forest condition.

134 Data were analyzed using the most commonly used metrics to estimate diversity such as  
 135 richness, Shannon-Wiener index and Simpson index. This is because richness is affected by

136 sample size, Shannon-Wiener index is affected by rare species and Simpson index is affected by  
137 common species, hence, parallel use of these diversity measures are a general practice in  
138 ecological study (Yeom and Kim 2011, Morris et al. 2014).

139 Woody species richness was computed for overall richness and woody plants with  
140 diameter greater than or equal to 10cm from recorded vegetation data in the coffee agroforest,  
141 coffee forest and natural forest. We computed richness per plot for each forest type (coffee  
142 agroforest, coffee forest and natural forest). All woody species recorded within 400m<sup>2</sup> were  
143 converted into presence-absence data. Woody species richness is expressed as the number of  
144 species per each forest types: the coffee agroforest, coffee forest and natural forest (Magurran  
145 2004, Magurran and McGill 2011).

146 To test the difference of diversity for three sample groups (coffee agroforest, coffee forest  
147 and natural forest) data were tested for normality and homogeneity of variance before the  
148 analysis. Where these met, One way analysis of variance (ANOVA) was used to compare  
149 diversity between the three forest types. When the assumptions were violated, the Non  
150 parametric Kruskal Wallis H test was employed to compare the woody species richness between  
151 the three forest types. Data were organized in Microsoft Excel, and analyzed in SPSS version 25  
152 and PAST software 3.24.

153 Diversity analysis was conducted for woody species with diameter greater than or equal  
154 to 10 cm. Shannon-Wiener index, Shannon Evenness and Simpson index were computed to  
155 compare the coffee agroforest, coffee forest and natural forest (Magurran 2004, Magurran and  
156 McGill 2011). Shannon-Wiener index (H') was calculated as :

157  $H' = -\sum_{i=1}^s p_i * \ln p_i$ , where  $p_i$  is the proportion of individuals found in the  $i^{\text{th}}$  species  
158 and  $\ln$  is the natural logarithm.

159 Shannon evenness (E') was calculated as  $E' = \frac{H}{\ln s}$  where H is Shannon diversity and S is the  
160 number of species.

161 Simpson diversity index (1-D) was calculated as  $1 - D = \sum p_i^2$  where  $p_i$  is the proportion of  
162 individuals found in the  $i^{\text{th}}$  species. Data were organized in Microsoft Excel and imported for  
163 analyzed in SPSS version 25 and PAST software 3.24.

164 Ecological importance of woody plants were studied through the relative importance of  
165 the species IVI) (Cottam and Curtis 1956, Kacholi et al. 2014, Teketay et al. 2018, Asigbaase et  
166 al. 2019). It was computed based on basal area, frequency and density of woody plants (Cottam

167 and Curtis 1956, Asigbaase et al. 2019, Kunwar et al. 2020) with the equation  $IVI = DO +$   
168  $RD + RF$ , where DO is the relative dominance calculated as basal area per forest types, RD is  
169 the relative density calculated as the number of individual per ha, RF is the relative frequency  
170 calculated as the proportion of individual per forest types. Importance Value Index (IVI) was  
171 used as a proxy for a change in ecological important of the coffee agroforest, coffee forest and  
172 natural forest during coffee management intensification. The higher the value the greater the  
173 importance of woody species in the forest.

### 174 **2.3.2 Ethnoecological data collection and analysis**

175 Ethnoecological data collection started with consulting the forest user group committee. It was  
176 guided by generating the required information rather than recruiting a representative informants  
177 to the whole population. In this regard purposive or convenience sampling was used to recruit the  
178 informants (Martin 1995, Tongco 2007, Longhurst 2016, Kunwar et al. 2020). A potential  
179 participants were suggested by the forest user group committee. There was no payment for the  
180 participants except refreshment in a form of coffee and tea. The interview and discussion were  
181 carried out in the informants residential area because here the interviewee would be most relaxed  
182 and this has also been suggested by Dawson et al. (1993). The interview was held in local  
183 language (*Afaan Oromo* and sometimes *Amharic*) and the researcher took notes in English or  
184 translated into English soon after the discussion.

185 Resampling, and the concept of saturation and triangulation were used to reduce self bias  
186 selection and respondent bias, respectively. Resampling refers the selection of the right  
187 informant each time. The study activities were divided into case by case and participants were  
188 selected for each case. Data saturation refers the point where in-depth information is captured  
189 and there is no further new information obtained when interviewing a new respondent (Wray et  
190 al. 2007, Fusch and Ness 2015). Data triangulation refers collecting data from multiple sources  
191 (Wray et al. 2007, Fusch and Ness 2015). Albuquerque et al. (2017) suggested a mix of methods  
192 to triangulate ethnoecological data. Effort was made to cross check collected data through  
193 informal discussion among the informants and analyzed normatively.

194 Free listing and semi-structured interviews were ethnoecological tools employed to  
195 generate data (Albuquerque et al. 2017, Furusawa et al. 2014, Dorji et al. 2019). Prior to free  
196 listing the informants were briefed on the objective of the study. They were asked about the three  
197 types of forest identified for the study and all participants were in a position to distinguish coffee



198 agroforest, coffee forest and natural forest. Eight focus group discussions were undertaken with  
199 groups of forest users from four sites consisting of 4 to 6 individuals divided by age, either 18 to  
200 35 years (youth) or greater than 35 years (old). During the interview process the groups were  
201 asked about their perception of the benefits of the forest in their livelihoods. The question asked  
202 was stated as what is/are the benefits of the forest in your surrounding? Which forest type is  
203 more important to suggested forest benefits? The groups listed the general ecosystem services of  
204 the forest they have experienced in their surroundings and rank the relative importance of each  
205 forest type out of 100. Initially it was thought to use beans for estimating the relative importance.  
206 Fortunately participated informants had grade and junior high school education and they wrote  
207 down on a paper. The relative importance was estimated based on percentage out of 100. The  
208 researcher distributed paper and played a facilitator role during the process.

209 Cited ecosystem services were grouped into provisioning, regulating, cultural and  
210 supporting ecosystems services as per millennium ecosystem assessment (MEA 2005).  
211 Provisioning ecosystem services were aggregated into major categories and a semi-structured  
212 checklist was prepared for further individual interview (Martin 1995).

213 A checklist for semi- structured interview was prepared based on the preliminary findings  
214 of the free listing. The checklist included but was not limited to questions such as, do you collect  
215 forest product x (local name of the product)? Where do you collect them? A total of 136 forest  
216 users (107 males and 29 women) were interviewed. Furthermore 15 focus group discussions (5  
217 groups old, 5 groups youth, 5 groups women) were conducted to assess the relative importance  
218 of provisioning ecosystem services and forest types (coffee agroforest, coffee forest and natural  
219 forest). The size of a group varied between 4 to 5 individuals. The duration of an interview and a  
220 focus group discussion differed case by case (an hour for focus group discussion and 30 minutes  
221 to 40 minutes for an interview).

222 The proportion of citations and ranking were used to organize and analyze the relative  
223 importance of provisioning ecosystem services and forest types (Martin 1995). Indicators of  
224 forest products were used to associate forest products with the coffee agroforest, coffee forest  
225 and natural forest (Gardener 2014). The association was estimated based on Pearson residual  
226 (Person residual=  $(Observed - Expected)/\sqrt{Expected}$ ). Gardener (2014) stated a Pearson  
227 residual is normally distributed and a value of -2 as a significant.

228 The use value of woody plants was estimated based on number of citations. Woody  
229 species recorded during the inventory were organized and listed for use value estimation.  
230 Semi-structured interviews were conducted to assess the uses of woody plants. Forest users were  
231 asked but not limited to the statement as following questions, Local name of a plant (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, -  
232 ----- 64<sup>th</sup>), Do you know the species x (local name of the plant)?, What is/are the uses of the  
233 plant? (The use of planted coffee in coffee agroforest were not recorded) and Do you remove or  
234 maintain the plant in your coffee agroforest. A total of 96 forest users (85 man and 11 women)  
235 were interviewed. Previous studies by Gueze et al. (2014) and Soares et al. (2017) employed  
236 similar approaches to assess the uses of plants. The number of uses were calculated from use  
237 categories of woody species developed by Albuquerque and Oliveira (2007) and Albuquerque et  
238 al. (2009). The number of woody plant uses were expressed as the total number of citation of  
239 uses. The number of use citation helped to order or rank the relative importance of woody plant  
240 species for specific uses. The number of uses were used to categorize woody plants into three  
241 categories generalist, specialist and versatile following Albuquerque et al. (2009). Woody plants  
242 were considered as specialist with at most 2 uses, generalist with at least 3 to 5 uses and versatile  
243 with more than 5 (Albuquerque et al. 2009). The number of woody species per use categories  
244 were used to categorize woody plants into three categories highly redundant(>75%), redundant  
245 (25% to 75%), not redundant (<25%) (Albuquerque et al. 2007). The concept of redundancy is  
246 adopted from ecological redundancy for utilitarian concept (Albuquerque et al. 2007). The  
247 concept refers to species with similar uses to distinguish from woody plant species with specific  
248 use (Albuquerque et al. 2007, Santoro et al. 2015). In forest resources use the presence of  
249 redundant species guarantees the resilience of a given system (Albuquerque et al. 2007, Santoro  
250 et al. 2015).

251 A change in provisioning ecosystem services across the coffee agroforest, coffee forest  
252 and natural forest were assessed based on plant use value (Phillips and Gentry 1993, Castaneda  
253 and Stepp 2007, Andrade-Cetto and Heinrich 2011, Faruque et al. 2018). Use value was  
254 calculated as  $UV = \sum u/n$  where u refers the number of uses mentioned by forest users and n  
255 refers the total number of forest users interviewed (Phillips and Gentry 1993, Faruque et al.  
256 2018). The total uses value of the coffee agroforest, coffee forest and natural forest were  
257 calculated as the summation of the use value of all woody species recorded within each forest  
258 types (Andrade-Cetto and Heinrich 2011, Ouedraogo et al. 2014). A Kruskal Wallis H test was

259 used to compare a difference in the ecosystem services (benefits) between the natural forest,  
260 coffee forest and coffee agroforest.

261 Relative frequency citations (RFC) was used as consensus of woody species that were  
262 retained or removed from coffee agroforest. Relative frequency citations were expressed as the  
263 number of times a particular species was mentioned to be retained divided by the total number of  
264 interviewees (Faruque et al. 2018). One way of understanding the effect of forest modification to  
265 coffee is to relate ecologically important woody species and the uses of woody species (Gueze et  
266 al. 2014). A Spearman's rank correlation was conducted to investigate the relationship between  
267 the availability of woody species and plant uses (Sop et al. 2012, Gueze et al. 2014). Woody  
268 species availability across the coffee agroforest, coffee forest and natural forest were based on  
269 phytosociological metrics (relative density, relative frequency, dominance) (Albuquerque et al.  
270 2009). Ethnoecological data were summarised descriptively (Jalilova and Vacik 2012, Ahammad  
271 et al. 2019) using Microsoft Excel and imported to SPSS version 25 for non parametric analytical  
272 Spearman's rank correlation test.

273

274 3. Result

275 3.1 Woody species richness and diversity

276 The result showed overall richness is decreasing from natural forest to coffee forest and coffee  
277 agroforest (Table 2). The number of species recorded in the natural forest, coffee forest and  
278 coffee agroforest were 57, 54 and 53 respectively. The abundance of woody plants reduced  
279 almost by half in coffee agroforest. Comparison of the three forest types showed a significant  
280 difference in woody species richness (Kruskal-Wallis test ( $\chi^2(2)= 90.1$ ,  $P<0.05$  (Table 2, Figure  
281 S1). Pair wise comparisons showed that richness was significantly greater in the natural forest  
282 compared to coffee forest and coffee agroforest (Figure S1).

283 The Shannon diversity indices of the natural forest, coffee forest and coffee agroforest,  
284 coffee forest and natural forest were 3.33, 3.42 and 3.07 respectively. Similarly, the Simpson  
285 diversity indices of the three forests were 0.92, 0.96 and 0.95 respectively. The result showed  
286 more diversity in coffee forest compared to natural forest and coffee agroforest. There was a  
287 significant difference in Shannon index and Simpson indices among the three forest types. One  
288 way ANOVA showed more diversity in coffee forest compared to coffee agroforest ( $H'=$   
289  $F_{2,12}=0.236$ ,  $P<0.05$ ,  $1-D=F_{2,12}=0.004$ ,  $P<0.05$ ) (Table S1).

290 Table 2. Woody species richness and diversity in coffee agroforest, coffee forest and natural  
291 forest. Plot area 400m<sup>2</sup> (20m x20m).

Parameters	Natural forest	Coffee forest	Coffee agroforest	P-value
Individuals	971	945	521	**
Richness	57	54	53	*
Richness(Dbh>=10cm)	47	49	48	ns
Shannon diversity index (H')	3.33	3.42	3.07	*
Evenness (J)	0.86	0.87	0.79	ns
Simpson diversity index (1-D)	0.95	0.96	0.92	*

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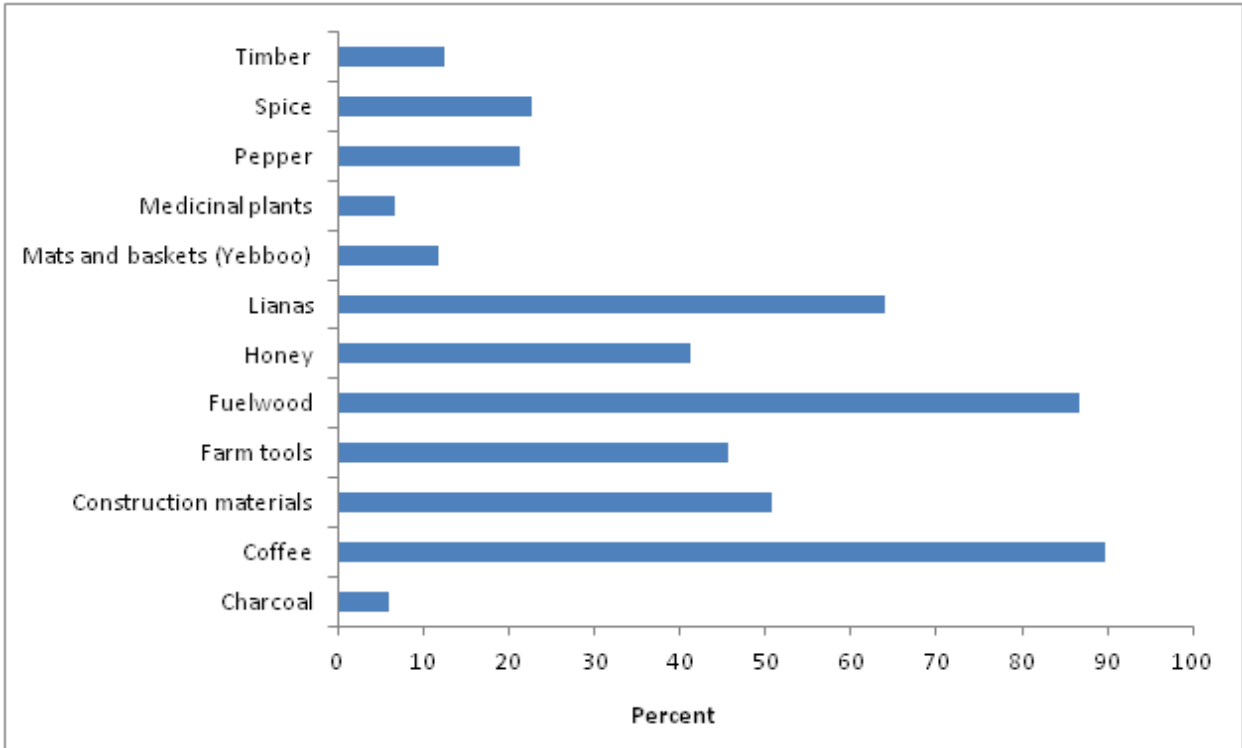
296 **3.2 Perceived Ecosystem Services**

297 The findings showed that the local people value forest for multiple benefits such as  
 298 provisioning, supporting, regulating and cultural ecosystem services. A total of 26 ecosystem  
 299 services were acknowledged by forest users (Table 3) of which 69% were categorised under  
 300 provisioning ecosystem services. Coffee agroforest was acknowledged mainly for provisioning  
 301 of managed coffee, timber and charcoal ecosystem services. Coffee forest was acknowledged for  
 302 provisioning of non-timber forest products such as wild coffee, spice and pepper. Natural forest  
 303 was acknowledged for regulating microclimate, increasing rainfall, wildlife habitat and honey  
 304 production.

305 Table 3. Ecosystem services based on forest users perspective. The number in bracket indicates  
 306 number of ecosystem services citations

Ecosystem services	Description	Frequency citation (%)
Provisioning (17)	Wild coffee, Managed coffee, Spice, Honey, Construction materials, Fuelwood, Timber, Liana, Farm tool, Medicinal plants, Pepper, Charcoal, Wild edible plants, Mats and baskets ( <i>Yebboo</i> ), Furniture, Beehive material, Fodder	69
Supporting (6)	Bee forage, Grazing, Putting beehive, Protect soil erosion, Wildlife habitat, Coffee land	23.1
Regulating (2)	Regulate microclimate, Increase rainfall	7.7
Cultural (1)	Walking/Recreation	3.8

307 Individual interviews result showed that forest users interact with forest mainly for coffee (90%),  
 308 fuelwood (87%), liana (64%) and construction materials (51%) (Figure 2). Major non timber  
 309 forest products such as wild coffee, spice, pepper and Mats and baskets (*Yebboo*) were becoming  
 310 less used. Coffee is harvested on an annual basis, fuelwood 2-3 times per week, liana occasional  
 311 and construction materials every 2 to 3 years (simple construction) and 7 to 10 years (houses  
 312 construction). The findings also showed that the relative importance of provisioning ecosystem  
 313 services varies with time and technology.



314

315 Figure 2. Proportion of forest users who reported actual uses of provisioning ecosystem services.

316 The result showed an aggregated provisioning ecosystem services across all forest types.

317 Forest users reported that coffee agroforest was mainly a source of coffee, fuelwood and timber

318 and other benefits (Table 4). Forest users occasionally move to coffee forest and natural forest

319 only for a few ecosystem services such as honey production and lianas and to some extent

320 construction materials and farm tools. The actual uses of provisioning ecosystem services

321 determine the relative importance of coffee agroforest over the coffee forest and natural forest .

322 Table 4. Local values of coffee agroforest, coffee forest and natural forest. The number of times  
 323 forest users cited provisioning ecosystem services

Provisioning ecosystem services	Coffee agroforest	Coffee forest	Natural forest
Charcoal	8	5	0
Coffee	121	3	0
Construction materials	43	34	39
Farm tools	28	29	35
Fuelwood	105	65	10
Honey	36	12	22
Lianas	1	5	83
Mats and baskets( <i>Yebboo</i> )	6	5	7
Medicinal plants	4	7	1
Pepper	24	13	1
Spice	13	16	0
Timber	18	0	1

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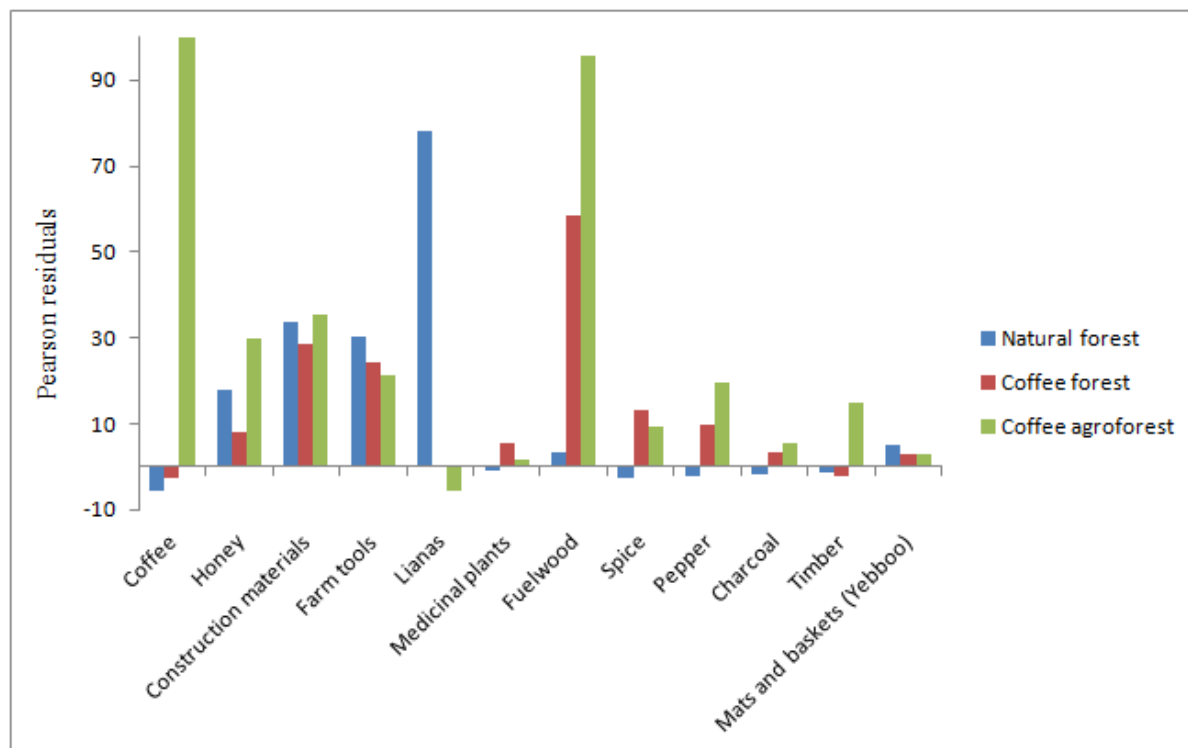
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326 3.3 Relationship between woody species diversity and ecosystem services

327 A total of 33 different uses were associated with recorded woody species (Table S2). A single  
328 ecosystem service could be obtained from multiple sources. The result showed that most of the  
329 woody species were belongs to Generalist and Versatile species.

330 Wood species used for fuelwood and construction materials were highly redundant,  
331 where as woody species used for mats and basket, timber, tool handle, farm tools and furniture  
332 were less redundant (Table S3).

333 Coffee is positively associated with coffee agroforest and negatively associated with the natural  
334 forest and coffee forest. Fuelwood, honey, construction materials and farm tools were positively  
335 associated with coffee agroforest, coffee forest and natural forest. Lianas were positively  
336 associated with natural forest and negatively associated with coffee agroforest. Timber was  
337 positively associated with coffee agroforest. Non-timber forest products such as spice, pepper  
338 and mats and baskets were positively associated with coffee agroforest and coffee forest (Figure  
339 3).



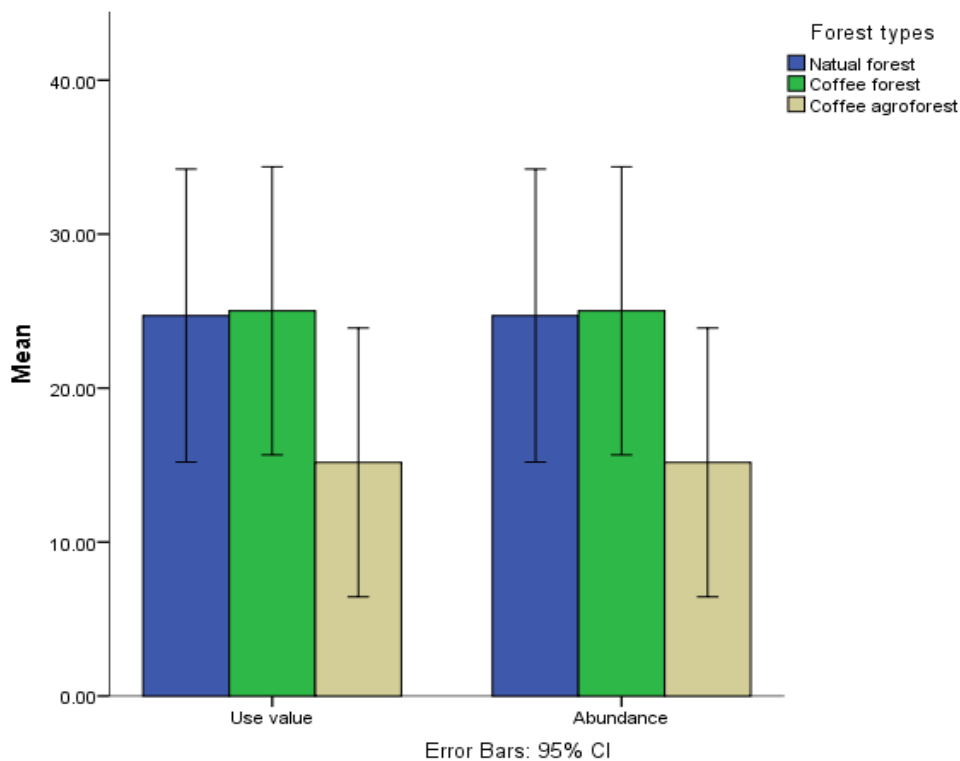
340  
341 Figure 3. Actual provisioning ecosystem services use association with coffee agroforest, coffee  
342 forest and natural forest. A value of 2 was considered as the threshold of significant indicators



343 provisioning ecosystem services (Gardener 2014). Pearson residuals showed the association  
344 between use and forest type .

345 Coffee management intensification modifies the forest composition and structure through  
346 reducing the number of stems. Nevertheless, the use value of highly encouraged woody species  
347 such as *Albizia gummifera*, *Cordia africana*, *Milletia ferruginea* were decreased from coffee  
348 agroforest to natural forest. Whereas, the use value of those discouraged species such as  
349 *Chionanthus mildbraedii*, *Rothmannia urcelliformis* and *Oxyanthus speciosus* increased (Table  
350 S4). Figure 4. shows woody species abundance in the three forest management regime and  
351 coffee agroforest and associated use value. The result showed that both woody species  
352 abundance and total use value were lower in coffee agroforest compared to the natural forest and  
353 coffee forest. The relationship between woody species use value and ecological importance were  
354 tested using Spearman's correction. The Spearman's correlation showed a significant moderate  
355 positive correlation for natural forest and coffee forest respectively ( $r_s=0.312$ ,  $P<0.05$ ,  $r_s=0.435$ ,  
356  $P<0.01$ ) and a significant positive strong correlation for coffee agroforest ( $r_s=0.625$ ,  $P<0.01$ ).

357



358

359 Figure 4. Woody species abundance and total use value in the natural forest, coffee forest and  
360 coffee agroforest

### 361 3.4. Discussion

#### 362 3.4.1 Woody species richness and diversity

363 Woody plant diversity and availability are determinant factors in plant usage (Soares et al.  
364 2017). The current status of forest biodiversity varies with intensity of land use (Chazdon et al.  
365 2009, Phillips et al. 2017). Coffee production is one form of land use that modifies the natural  
366 forest. Coffee agroforest has great potential to conserve forest biodiversity (Ismail et al. 2014).  
367 Coffee management removed undergrowth or understory plant in coffee agroforest. Interest has  
368 grown in human managed landscapes in forest biodiversity conservation. Woody species  
369 diversity indicates the status of forest biodiversity under human management system. Species  
370 richness per plot (i.e. all woody plants recorded with abundance data) decreased from the natural  
371 to coffee forest to coffee agroforest.

372 In contrary to my expectation woody species with diameter greater or equal to 10cm  
373 richness decreased from coffee agroforest towards the natural forest. Higher numbers of woody  
374 species were found in coffee forest and coffee agroforest than the natural forest. Silvicultural  
375 practices that encourage tree species in coffee agroforest were maintaining desired trees and not  
376 clearing the seedling of desired tree species. These contributed more number of pioneer species  
377 such as *Albizia gummifera*, *Milletia ferruginea* and *Cordia africana* in coffee agroforest. The  
378 higher species richness in coffee agroforest compared to natural forest was attributed to land use  
379 history and other factors related to the environment than silvicultural treatment. Studies have  
380 shown land use history affect woody plant species richness (Shumi et al. 2018, Kumsa et al.  
381 2016, Arnell et al. 2019). As stated in literature four decades ago Belete forest was under logging  
382 implying removal of timber tree species from the natural forest. Commercial logging was not  
383 carried out in coffee agroforest. Moreover, coffee management practices involve slashing  
384 understory plants for creating vacant space for planting coffee and avoidance of competing  
385 vegetation, and thinning or stem reduction of canopy trees. Reduction of bigger trees (DBH  
386  $\geq 10\text{cm}$ ) is carried out under heavy shade on coffee. But the higher number of trees with DBH  
387 greater than 10cm in coffee agroforest implies the removal of understory plants for coffee  
388 intensification. The bigger trees (DBH  $\geq 10\text{cm}$ ) are scattered and so there is no need of reduce  
389 the canopy trees. Decuyper et al. (2018) has stated forest utilization in southwest Ethiopia has a

390 greater affect on the undergrowth plants than on the canopy tree species. According to Decuyper  
391 et al. (2018) coffee forest has canopy openness, when the undergrowth plants are removed the  
392 gaps created are sufficient for coffee and there is no need for further thinning of canopy trees.  
393 Existing bigger trees left in situ resulting in coffee agroforest have containing a greater number  
394 of woody plants. Natural forest modification to coffee agroforest has contributed to a reduction  
395 of commercial logging in coffee agroforest because commercial logging does not take place here.

396 The current study findings show many woody species are maintained in coffee  
397 agroforest. Study findings from Mexico by Valencia et al. (2014) has shown lower species  
398 richness in coffee agroforest at plot level and comparable species richness at landscape level  
399 compared to adjacent natural forest.

400 We found that the Shannon diversity index of the natural forest, coffee forest and coffee  
401 agroforest were 3.33, 3.42 and 3.07 respectively. Shannon diversity index usually ranges  
402 between 1.5 and 3.5, and rarely surpasses 4.5 (Bibi and Ali 2013, Travlos et al. 2018). The  
403 Shannon diversity index of coffee agroforest (i.e. 3.07) was found to be high (Magurran 2004,  
404 Arzamani et al. 2018). The Shannon diversity index result showed that coffee forest had the  
405 highest woody species diversity. The finding supports the intermediate disturbance hypothesis in  
406 that species diversity is maximum at an intermediate disturbance level (Bongers et al. 2009).  
407 Similarly a Simpson index of the coffee agroforest, coffee forest and natural forest were found to  
408 be 0.92, 0.96 and 0.95 respectively. Likewise the Simpson index result showed highest diversity  
409 in the coffee forest . Simpson diversity index ranges between 0 and 1. Simpson diversity index of  
410 a value 0 shows similarity within a community and a value of 1 shows diversity (Bibi and Ali  
411 2013, Travlos et al. 2018, Atsbha et al. 2019). The present study finding shows high diversity  
412 across the three forest management regimes..

413

#### 414 3.4.2 Ecosystem services

415 Forest is a source of livelihoods for local people (Ouedraogo et al. 2014). The study highlighted  
416 forest users perspective of ecosystem services provided by modified forest in general and the  
417 coffee agroforest, coffee forest and coffee agroforest in particular. Ecosystem services of the  
418 forest can be expressed as provisioning, regulating, supporting and cultural benefits of the forest  
419 (MEA 2005). Forest users reported these four major categories of ecosystems services. However,  
420 our findings showed that forest users value the provisioning ecosystem services of the forest  
421 more than other ecosystem services showing the local relative importance of the coffee  
422 agroforest to forest users. Seventeen out of twenty six freely listed forest ecosystem services  
423 were related to provisioning ecosystem services. Forest users interact with forest mainly for  
424 coffee and fuelwood collection and to a lesser extent for other forest products. Comparing the  
425 three forest types for most important forest products, forest users unequivocally value coffee  
426 agroforest the highest. This is because coffee agroforest is a source of managed coffee. A  
427 previous study in southwest Ethiopia by Tadesse et al (2014) showed that coffee is valued  
428 highly for its high cash value. Studies from other areas have also shown that forests are most  
429 used for provisioning ecosystem services. For example, a study from India shows traditional  
430 agroforest is a source of provisioning ecosystem services such fruit, timber, fuelwood, fodder  
431 and medicinal plants (Dhanya et al. 2014). Another study from south eastern Burkina Faso by  
432 Ouedraogo et al. (2014) has showed that provisioning services were the most cited ecosystem  
433 services.

434 The relative importance of provisioning ecosystem service and the forest types showed  
435 the value of those services and their sources to forest users. Most provisioning ecosystem  
436 services were extracted for subsistence use from coffee agroforest. An interesting finding of the  
437 study is that there is a difference in potential and actual ecosystem services of the forest. Forest  
438 users give greater priority to economic benefits of the forest than to ecological and social  
439 benefits of the forest. A study by Ango et al. (2014) shows coffee and honey were the most  
440 important cash generating ecosystem service to most forest users in southwest Ethiopia.

441

442

443

### 444 3.4.3 Relationship between woody species diversity and ecosystem services

445 Three types of plant uses were identified: Specialists, Generalist and Versatile (Albuquerque et  
446 al. 2009). Woody plants with at most two uses were grouped as specialist and with three to five  
447 uses were grouped as generalist. Woody plants with more than five uses were grouped as  
448 versatile species (Albuquerque et al. 2009). Only a few woody species were grouped under  
449 specialist species. For example, two uses were reported for *Brucea antidysenterica* and  
450 *Dracaena steudneri*. *Alangium chinense*, *Albizia gummifera* and others were among generalist  
451 species. *Allophylus abyssinicus*, *Apodytes dimidiata*, *Olea welwitschii*, *Prunus africana* and  
452 *Syzygium guineense* and others had versatile uses (Albuquerque et al. 2009). More than 90% of  
453 the woody plants were used for fuelwood. Similarly more than 80% and 50% of woody plants  
454 were used for construction and medicinal value, respectively

455 Woody plant diversity and availability are determinant factors in plant usage (Soares et al.  
456 2017). Availability and plant uses across the natural forest, coffee forest and coffee agroforest  
457 were studied through phytosociology (relative density, relative frequency, dominance) and use  
458 value. Woody plants were categorised into three categories highly redundant, redundant and less  
459 redundant based on specific uses with an arbitrary cut off points (Albuquerque and Oliveira  
460 2007) greater than 75%, between 25% to 75% and less than 25% , respectively. These showed  
461 the benefit lost as a result of woody plant removal during coffee intensification. For instances,  
462 the benefit that derived from a specific sources (i.e. woody plant species) might be lost along  
463 with tree removal. High redundancy showed that specific uses could be obtained from more than  
464 75% available woody plant species. Similarly, redundant and less redundant showed that species  
465 uses could be obtained between 25 % to 75% and less than 25% of available woody plant species  
466 respectively.

467 Some of the woody species were highly encouraged in coffee agroforest, as a result many  
468 woody species commonly maintained with coffee agroforest. There was no coffee management  
469 practice such as weeding and cutting that discourage these plant species from the system. Their  
470 seedlings were encouraged to grow by removing competing grasses around them. Woody species  
471 such as *Milletia ferruginea* and *Albizia gummifera* were highly encouraged for coffee shade.  
472 Whereas, *Cordia africana* and *Pouteria adolfi-friederici* were some of the highly encouraged  
473 woody species for timber. *Cordia africa* is widely used in the area for making furniture. Woody  
474 species that are mainly discouraged from coffee agroforest such as *Bersama abyssinica*, *Brucea*  
475 *antidysenterica*, *Justicia schimperiana* and *Maesa lanceolata* had medicinal values. Lianas that

476 are almost absent from coffee agroforest can be used for fuelwood, construction material  
477 (building material for traditional house, fencing and traditional beehive making), bee forage and  
478 as income through generating cash. *Schefflera abyssinica* was known as the well known honey  
479 tree for its popularity as bee forage. Coffee shade and multiple uses of woody species didn't  
480 justify the reason for encouraging some trees and discourage others in coffee agroforest. For  
481 instance, eight uses were mentioned for *Clausena anisata* and *Calpurnia aurea* where highly  
482 encouraged coffee shade trees *Albizia gummifera* and *Diospyros abyssinica* had five and four  
483 uses.

484 Coffee forest biodiversity has been receiving increasing attention for conservation. Some woody  
485 species are removed and others are maintained in coffee agroforest in southwest Ethiopia. Our  
486 study findings showed that woody species are encouraged in coffee agroforest not only for shade  
487 but also for other uses. *Albizia gummifera* and *Milletia ferruginea* are encouraged mainly for  
488 shade whereas *Cordia africana* and *Pouteria adolfifriederici* were encouraged for timber.  
489 *Diospyros abyssinica* was cited most for construction materials and *Polyscia fulva* was cited for  
490 traditional beehive making. *Schefflera abyssinica* was cited for bee forage. Forest users interact  
491 with forest for plant uses (Maroyi 2012). The present study findings showed that, although, 33  
492 different uses of plants were identified only 3 to 5 were utilized most of the time. Fuelwood and  
493 construction materials were the main uses of woody plants. The potential uses of woody species  
494 are not implying the actual use of woody plants in most cases (Ahammad et al. 2019).

495 Woody plant uses citation show forest users have the knowledge but forest modification is a  
496 matter of immediate benefits priority. Literature has shown location, locally available resources  
497 and plant knowledge increases the use and conservation of forest biodiversity (Pieroni and  
498 Soukand 2018). Plant uses are also one form of forest biodiversity conservation model  
499 (Albuquerque et al. 2009). The specialist, generalist and versatile uses of woody plants indicate  
500 the importance of woody plants and their conservation value. Twelve different uses were  
501 reported for *Apodytes dimidiata*, whereas, the relative frequency of citation (RFC) was less than  
502 woody species with fewer number of uses for species such as *Cordia africana*, *Milletia*  
503 *ferruginea*, *Pouteria adolfi-friederici* and *Albizia gummifera*.

504 We study showed woody species availability and uses across the natural forest, coffee  
505 forest and coffee agroforest. Woody plants can be categorised into high redundant, redundant  
506 and less redundant based on specific uses per species. This shows the diversification of plant

507 uses (Albuquerque et al. 2009) and has implications for woody plants conservation. Uses with  
508 highly redundant species reduce pressure on woody species whereas uses with less redundant  
509 species increase pressure on woody plants (Albuquerque & Oliveira 2007). Forest users reported  
510 that they use available dried woods and branches of woody plants for fuelwood instead of  
511 specific woody species for fuelwood. The study findings also showed there was a change in most  
512 use value during forest modification to coffee production. Nevertheless, coffee agroforest  
513 increased the shade and timber use value of woody species. The well known timber tree *Cordia*  
514 *africana* is more abundant in coffee agroforest than coffee forest and natural forest. Similarly the  
515 shade value of woody plants is apparent in coffee agroforest.

516 A previous study by Gueze et al. (2014) from the Bolivian Amazon on the relationship  
517 between importance value index and useful value tree species has shown a positive relationship  
518 between importance value index and overall use value. Kunwar et al. (2020) have reported a  
519 weak relationship between plant use value and phytosociological indicators in Nepal. Our  
520 findings showed that there are moderate positive correlations between importance value index  
521 and over all woody plant use value for the natural forest and coffee forest and strong positive  
522 correlation for agroforest forest.

### 523 3.5 Implication for Forest Management

524 The findings of the study have implications for the use and conservation of forest resources in  
525 southwest Ethiopia. Ignoring local value and perspectives of forest use has a negative impact on  
526 the sustainable forest management approach. Local people value the three types of forest  
527 differently and their management differ accordingly. Coffee agroforest is an area where the local  
528 people undertake silvicultural practices. The assumption that there is a reduction in woody plant  
529 species richness and diversity needs reconsideration to take into account the actual use value and  
530 relative importance of forest to local people. Forest modification to coffee agroforest increases  
531 the actual use value and relative importance value of forest for timber and reduces the use value  
532 of non timber forest product and construction materials. Woody plant species that can be used for  
533 timber, traditional beehive, farm tool, tool handle, mats and basket are limited and need  
534 conservation priority in coffee agroforest. Coffee management removed non timber forest  
535 products including spice, pepper and liana and the conservation of plant species that supply non  
536 timber forest products in coffee agroforest needs special attention. Our findings can help to

537 establish a foundation for sustainable forest management. The findings also showed the  
538 importance of multidisciplinary approach in studying use and conservation of forest resources.

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#### 542 Ethics Approval

543 The research for this project was submitted for ethics consideration under the reference LSC 18/  
544 233 in the Department of Life Sciences and was approved under the procedures of the University  
545 of Roehampton's Ethics Committee on 11.04.18.

#### 546 Declaration of competing interest

547 The authors declare no conflict of interest.

#### 548 Data Availability

549 Data is available up on the request of corresponding author



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