# Changing hazard awareness over two decades: the case of Q1 Furnas, São Miguel (Azores)



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**Abstract:** Furnas (c. 1500 inhabitants) lies within the caldera of Furnas volcano on the island of São Miguel (Azores) and has the potential to expose its inhabitants to multiple hazards (e.g. landslides, earthquakes, volcanic eruptions and degassing). The present population has never experienced a volcanic eruption or a major earthquake, although the catalogue records six eruptions, sub-Plinian in style, over the last 2 kyr. Today, the area experiences strong fumarolic activity. In the case of an eruption, early evacuation would be necessary to prevent inhabitants from being trapped within the caldera. An awareness of potential threats and knowledge of what to do in the case of an emergency would assist in evacuation. In this paper, inhabitants' awareness of volcanic and seismic threats in 2017 is compared with that revealed in a similar study completed more than two decades ago. It is concluded that whereas awareness of earthquakes and the dangers posed by volcanic gas discharge has increased, knowledge of the threat of volcanic eruptions and the need to prepare for possible evacuation has not. Research suggests that the changing awareness is related to effective collaboration that has developed between the regional government, through its civil protection authorities and scientists, and the people of Furnas.

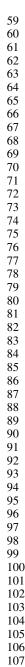
Furnas, with a population of 1439 (SREA 2012), is located within the active caldera of Furnas, the easternmost volcano of São Miguel island in the Azores (Dibben and Chester 1999). The village (Fig. 1) is exposed to multiple hazards and its setting within the caldera severely limits the number of exit routes that people could take in the event of an emergency (Andersson et al. 2016). The village (207 m above sea level (asl)) is less than 2 km from the crater lake of Lagoa das Furnas (359 m asl). Lagoa das Furnas covers an area of  $c.1.82 \text{ km}^2$  and has a total volume of c.  $13 \times 10^6 \text{ m}^3$  (Andersson et al. 2016). The altitude difference between the lake and the village exposes the village to floods. The village and the lake are inside the caldera, and are surrounded by steep slopes that are formed from poorly consolidated material together with massive lava-flow horizons, a geology which is conducive to the generation of landslides and rockfalls, especially after heavy rain and/or as a consequence of seismicity (Dibben and Chester 1999).

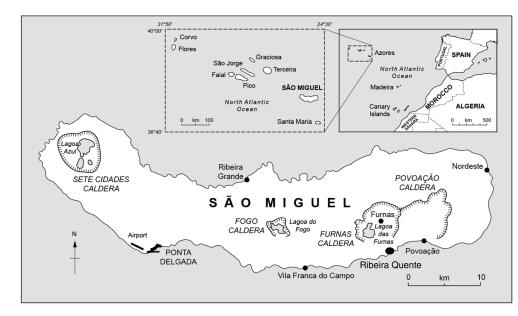
Most of the population of Furnas has never experienced a high-magnitude earthquake and no inhabitant has experienced an eruption but the Furnas area is subject to low-level seismicity and sporadic lowmagnitude seismic swarms (Marques *et al.* 2005; Viveiros *et al.* 2014), such as the one that affected the area between 2005 and 2008 (Marques *et al.* 2005; Viveiros *et al.* 2016). In the last 5 kyr, 10 explosive trachytic eruptions measured as having a Volcanic Explosivity Index (VEI) of 3–4 have occurred on Furnas (Cole *et al.* 1999) and, of these,

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**Fig. 1.** The location of the Azores, São Miguel and Furnas volcano (modified from Duncan *et al.* 2015, fig. 11.1, p. 148).

two have occurred since the beginning of the fifteenth century. The last eruption occurred in AD 1630, was sub-Plinian in style, and produced widespread tephra-fall, pyroclastic flows and surges, floods, and landslides (Moore 1990; Cole *et al.* 1995, 1999; Guest *et al.* 1999; Wallenstein *et al.* 2005, 2015; Queiroz *et al.* 2008).

This paper explores Furnas residents' attitudes of risk and the ways in which these have evolved over the past 20 years. This is based on comparing attitudes surveyed in 1994 (Dibben 1999; Dibben and Chester 1999) with those revealed in a 2017 study (Lotteri 2020) and which are reported in this paper. Processing the results of the surveys involved thematic analysis, which is a flexible methodology that facilitates a search for patterns or themes within **Q2** data (Braun and Clarke 2006). This approach assis-

103 ted in the assessment of attitudes to risk that were 104 present among the inhabitants of São Miguel at the 105 time of the surveys in 1994 and 2017. The themes 106that emerge are presented below under the following 107 headings: population and hazard response; 108 volcano-related awareness and preparedness for a 109 future eruption; volcanic gases: awareness and pre-110 paredness; risk perception; land attachment; and 111 livelihood, resources, self-protection and resilience.

112 Understanding attitudes towards risk is important 113 in assessing a community's preparedness to cope 114 when affected by extreme events, especially ones 115 in which physical processes have not been suffi-116 ciently severe to produce major losses for many years (e.g. Dominey-Howes and Minos-Minopoulos 2004; Perry and Godchaux 2005). Furnas fits these criteria because the most recent earthquake in the area, with an intensity of VIII (EMS-98) and a magnitude of 5.6, was in 1952 and the last volcanic eruption was in 1630 (Gaspar *et al.* 2015). Perry and Godchaux (2005) stress the complexity of living in areas exposed to extreme volcanic events, pointing out that many people do not understand that many volcanoes have long repose periods between eruptions. Inhabitants living on volcanoes often develop an awareness that such locations are 'part of a supportive, nurturing environment rather than a threatening one' (Perry and Godchaux 2005, p. 184).

Furnas volcano fits Perry and Godchaux's (2005) description of a welcoming environment and appears as a fertile scenically attractive area. This is because of a combination of volcanic soils, which have been brought into productive use by centuries of careful land management, and a humid temperate climate. Yet, hydrothermal features of volcanism are present within the landscape and, indeed, within the village itself in the form of fumaroles, CO2-rich cold springs, thermal springs and emissions of steam (Viveiros et al. 2015). In volcanic environments there are studies of population awareness, not only during periods of quiescence (Perry 1990; Barberi et al. 2008; Ricci et al. 2013) but also after a crisis (Saarinen and Sell 1985; Gaillard 2008). Broadly similar research has been carried out in environments affected by earthquakes (Armas 2006; Santos-Reyes

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et al. 2014), hurricanes, floods and landslides (Morss and Hayden 2010; Bustillos Ardaya et al. 2017; Hernández-Moreno and Alcántara-Ayala 2017). Longitudinal studies have also been published that examine the evolution of awareness over time (Sieg-Q3 rist 2014; Bird et al. 2020). Trumbo et al. (2014), for example, examined the risk perception of participants exposed to hurricanes immediately after an event and a few years later, while Johnston et al. (1999) provide a rare insight by comparing postevent responses with similar data obtained prior to an eruption of Ruapehu volcano in New Zealand. Our research presents a longitudinal study covering

the evolution of volcanic and earthquake awareness

in the village of Furnas over a time interval of slightly over 20 years when there has been only a minor seismic crisis between 2005 and 2008 but no major earthquake or eruption. It is our contention that the evolution of awareness amongst participants is predominantly linked to education and the acquisition of knowledge, rather than as a result of any direct experience.

# **Geology of Furnas volcano**

Furnas volcano (Fig. 2) comprises a caldera complex with two 'nested calderas' (Silva et al. 2015, p. 197):

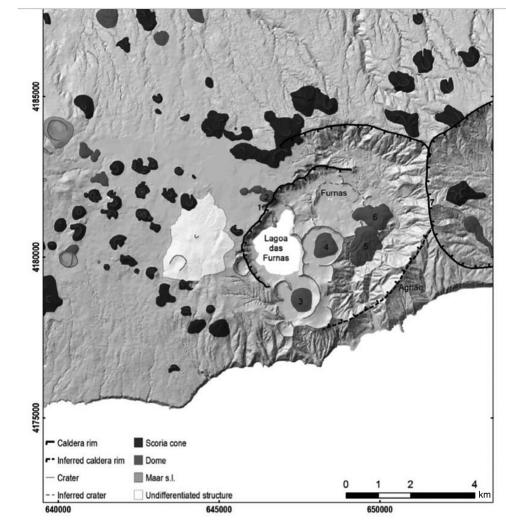


Fig. 2. Volcanic and tectonic structures of Furnas: 1, Salto da 525 Inglesa; 2, Pico do Ferro; 3, AD 1630 dome; 4, Gaspar AD 1439–44; 5, Pico 526 Marconas; 6, Pico das Caldeiras; 7, Pico do Canario (after Guest *et al.* 2015, fig. 9.2, p. 126, various authors).

175 the older one is c. 30 ka (Guest et al. 1999; Silva 176 et al. 2015) and measures  $7 \times 5.5$  km (Carmo 177 Q4 et al. 2015), and the younger (inner) caldera is 12-178 10 ka and is  $4.5 \times 3.5$  km in size (Silva et al. 179 2015). The most recent stratigraphic interpretation 180 (Guest et al. 2015, p. 127) suggests that the volcano 181 has a 'lava-built basement' topped by explosive deposits and is around 100 kyr old (Cole et al. 182 183 1999). According to Guest et al. (2015), the principal 184 caldera was formed after the most significant of all its 185 eruptions, which occurred at c. 30 ka. This eruption 186 was followed by an infilling period (Guest et al. 187 1999) until 11 kyr ago, when the inner caldera col-188 lapsed (Guest et al. 2015). In the last 5 kyr, 10 erup-189 tions, sub-Plinian in style, have been recorded at 190 Furnas, the latest being in 1630 (Guest et al. 1999). 191 Today, activity is mostly associated with CO<sub>2</sub> soil 192 degassing (Viveiros et al. 2015). 193

#### Furnas: a European Laboratory volcano

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196 The first initiatives regarding volcanic hazard evalu-197 ation, management and community perception in the 198 Azores were triggered by the European Laboratory 199 volcanoes project. In 1993, Furnas volcano was 200 selected by the Research and Development Environ-201 ment Programme CEG-DGXII of the Commission 202 for the European Communities (now the European 203 Union) as one of their 'laboratory volcanoes'. This 204 research involved researchers from most EU coun-205 tries including Portugal. Between 1993 and 1996, 206 Furnas volcano became the subject of a project that 207 investigated geological, petrological, geochemical, 208 geophysical, geothermal and potential threats posed 209 by the volcano (Guest et al. 2015). Within these pro-210 jects, one in 1994 involved interviewing inhabitants 211 of Furnas about their awareness of threats posed by 212 environmental extremes (Dibben 1999). At the 213 time, there was neither permanent monitoring equip-214 ment in place nor emergency planning for Furnas or 215 other settlements within the vicinity of the volcano 216 (Dibben 1999), and a civil protection infrastructure 217 had only been present across São Miguel for a 218 short time. 219

# Geological risks and civil protection

223 Three institutions have been pivotal in raising public 224 awareness of volcanic hazards in Furnas: the Serviço 225 Regional de Proteção Civil e Bombeiros dos Açores 226 (SRPCBA), Centro de Informação e Vigilância Sis-227 movulcânica dos Açores (CIVISA) and Centro de 228 Vulcanologia e Avaliação de Riscos Geológicos 229 (CVARG), which was established in 1997 as a mul-230 tidisciplinary autonomous research unit affiliated to 231 the Department of Geosciences within the University 232 of the Azores.

In 1999, the Azores Regional Government funded the Azores Civil Protection and Fire-fighting Service (SRPCBA), bringing together the organizations responsible for civil protection and firefighting. SRPCBA has a remit and funding to guide, coordinate and supervise civil protection and rescue activities across the islands of the archipelago. In an emergency, it would take a leading role in coordinating responses and organizing transport (Cabral 2015). Further initiatives are aimed at prevention, and the counselling and training of inhabitants with trial earthquake evacuations, and, thus, SRPCBA has developed into an organization with terms of reference that include educating the population about the hazards they face from extreme meteorological and geophysical events (SRPCBA 2020). Since O5 2016, CVARG has been known as IVAR (Instituto de Investigação em Vulcanologia e Avaliação de Riscos: http://www.ivar.azores.gov.pt/civisa/Pagi Q6 nas/homeCIVISA.aspx).

#### Methodology and data collection

This paper focuses on the changing attitudes towards the threats posed by environmental extremes in Furnas, especially those posed by volcanoes and earthquakes, over a period of two decades. It compares findings from the 1994 research project conducted by Dibben (1999) with those of a survey conducted in 2017 (Lotteri 2020). Thematic analysis was applied in order to compare attitudes in 2017 with those of 1994.

Dibben's (1999) survey focused on hazard awareness in Furnas. In his study, 50 participants were interviewed with questions focusing on: (1) the duration of residence and the reason(s) for moving to the village; (2) attitudes towards the village as a place to live; (3) perceptions of volcanic threats and other environmental extremes; (4) disaster preparedness; and (5) attitudes towards mitigation measures (Dibben and Chester 1999). The results showed hazard awareness to be poor and inaccurate (Dibben and Chester 1999; Wallenstein *et al.*, 2015).

The 2017 study replicates the interview questions that were used in 1994 by means of a schematic set of questions and semi-structured interviews. This approach focuses on understanding the behaviour of members of the public 'without placing any *a priori* categorisation that may limit the field of inquiry' (Fontana and Frey 1994, p. 366), and has been applied in studies seeking to assess the awareness and perceptions of residents living in hazardous environments (Bird 2009). Dibben's (1999) original questions were used (without amendment) to enable direct comparison to be made between 1994 and 2017. The questions were translated into Portuguese, and were used to interview participants between

233 April and June 2017. The interview sheet included 234 both English and Portuguese language versions in 235 order to demonstrate the accuracy of translation, 236 and to assure trust between the authors and the par-237 ticipants. A graduate research assistant, who knew 238 local dialects and understood São Miguel's culture, 239 was hired for this purpose. His presence greatly facil-240 itated first contact with the participants. 241

Potential participants were approached in the following public places in Furnas village: bars, cash machines, shops and parks. After the initial engagement, using the language preferred by the participant (i.e. either English or Portuguese), the interviewers introduced themselves and, if the person agreed, they were invited to sign a consent form that was drawn up in compliance with protocols published by Liverpool Hope University. Each participant was assigned a sequential number: for example, the first participant interviewed was identified as Participant 1 and the 10th as Participant 10; and two interview sheets were used, one for the participant and the other for the interviewer. According to the participants' preferences, the questions could be read either by the author or by the participant directly but only one participant chose to read the questions. When the interview was carried out in Portuguese, the researcher waited for her assistant to translate the answers, which were then noted; however, when the interview was conducted in English, the researcher directly recorded the answers. In total, 54 participants were approached to provide numbers similar to those approached in 1994, and no further attempt was made to match age, gender and level of education. Table 1 shows the age, gender and level of education of the participants. Decisions were made to exclude people under the age of 18 and to reach a target of 50 interviewees so that results could be compared with those obtained in 1994.

# Results

This section presents an analysis and comparison Q7 of the results of Dibben's 1994 research with those of the 2017 survey. Techniques of analysis were based primarily on descriptive statistics and the qualitative assessment of participants' narratives using thematic analysis. Thematic analysis is a wellestablished method of analysis and presentation of results within qualitative research (Braun and Clarke 2006). This research analysed the interviews following a 'participant determined' approach, in which themes emerged from the participant responses (Dunn 2000; Tuckett 2005). Key results are presented under the following headings: population and hazard response; volcano-related awareness and preparedness for a future eruption; volcanic gases: **Q8** awareness and preparedness; risk perception; land attachment; and livelihood, resources, selfprotection and resilience.

# Population and hazard response

According to Pfeifer (2017), when people estimate the probability of a disaster, the more memorable the occasion 'the higher the degree of belief people will have in the recurrence of similar events' (p. 4). The literature on hazard and risk perception takes into account the significance of the threat in order to evaluate the extent to which it affects the minds of inhabitants who are exposed. A well-established way in which to investigate how a threat impinges on the minds of participants is to ask them to list three positive and three negative features of living within their community (e.g. Barberi et al. 2008). Table 2 summarizes differences in hazard cognition in 1994 and 2017 by examining what participants believed to be positive aspects of living within the community of Furnas.

Participants mentioned natural beauty as a positive reason for living in Furnas in both the 1994 and 2017 surveys. Natural beauty represents a support to the local economy in the eyes of both the 1994 and 2017 participants, and this attracts visitors to the village. The most notable natural attractions of São Miguel are its three volcanoes and their lakes, as emphasized in tourist brochures and advertisements. Furnas is one of the villages most frequently mentioned because of its thermal springs and fumarole fields, attracting both tourists and local visitors (Wallenstein *et al.* 2015). Attractive features of the landscape are the result of volcanic activity but results from the survey indicate that scenic quality is

 Table 1. Characteristics of the 54 participants interviewed in 2017

Furnas: age cohort	Age	Gender	Level of education*	
18–24: c. 7%	18–24: c. 13%	Male: 62%	Primary (ages 6–14): c. 26%	
25–45: c. 28%	25–45: c. 50%	Female: 38%	Secondary (ages 15–17): c. 55%	
46–64: c. 26%	46–64: c. 22%		Tertiary (i.e. university): c. 13%	
Over 65: c. 15%	Over 65: c. 15%			

\*10% of the participants did not declare their level of educational attainment.

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Categories 1994	n = 50	Categories 2017	n = 54
Natural beauty of the area, the closeness to nature and the economic prosperity that results from these characteristics	58%	Natural beauty	37%
It is good to live here	20%	It is good to live here	6%
Feel at home in land of my ancestors	14%	Quality of life, the peaceful and relaxing atmosphere	30%
Social life and a feeling of community	2%	Social life and a feeling of community	4%
Other	6%	The economic prosperity and low violence	19%
		Other	4%

 Table 2. Comparison of responses to the 1994 and 2017 surveys with respect to the perceived positive aspects of living in Furnas village

perceived as being part of a 'nurturing environment',
a view that is shared with other communities living
in the shadow of volcanoes and from which there
have been no eruptions for a long time (Davis *et al.* 2005; Barberi *et al.* 2008). Negative aspects
of living in Furnas are summarized in Table 3.

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313 Participants who mentioned hazards as a negative 314 aspect of residing in Furnas accounted for 7% of par-315 ticipants in 1994 and 6% in 2017. The lack of 316 employment was on the minds of many participants 317 in both 1994 and 2017 but there were more in 2017. 318 Hazard awareness did not change greatly over 319 23 years and the percentage of people mentioning 320 this feature is very low. The inhabitants of Furnas 321 live inside a caldera and downplayed risk, and this 322 attitude is commonplace amongst people living in 323 areas exposed to high levels of risk because of 324 what is termed 'optimism bias' (Gardner and Stern 325 2002, in Perlaviciute et al. 2017, p. 2). Optimism 326 bias may lead to underestimating the probability of 327 a disaster and/or downplaying the extent of its con-328 sequences (Pfeifer 2017). This lack of accurate per-329 ception may also be explained by the presence of 330 more visible daily issues within a community's con-331 sciousness (Davis et al. 2005; Barberi et al. 2008). 332 Despite hydrothermal features of volcanism being 333 present both near to the centre of the village and in 334 its surroundings, the perception of the risk of an 335

eruption is low (Viveiros et al. 2015). In 1994, participants thought that 'an eruption had not happened in their lifetime implying that it was unlikely to happen in the future' (Dibben and Chester 1999). Similarly, in 2017, Participant 1's response is helpful in providing a reason for complacency, 'the volcano is always the same (as) it was 40 years ago. (Its) landscape has never changed and nothing (has) ever happened'. In other words, the experience of Participant 1 is that nothing has changed during his or her whole life, so he or she does not expect any changes in the future. This provides an example of what Perry and Godchaux (2005) claim is a need for non-scientists to articulate the opinion that most volcanoes have long repose periods between eruptions and that an eruption will probably will not affect them.

# Volcano-related awareness and preparedness for a future eruption

Works such as Dibben and Chester (1999) and Wallenstein *et al.* (2015) point out that early evacuation of Furnas may be the most appropriate action to take in order to avoid a catastrophe in the event of a volcanic emergency. Bird and Dominey-Howes (2008) point out how public response to evacuation orders will be effective if orders are: clear and accurate;

Categories 1994	n = 50	Categories 2017	n = 54
No problems	57%	No disadvantages	36%
No jobs for the young generation	10%	Unemployment	42%
Tourists and outsiders (foreigners)	9%	Parking during tourist season	2%
Changes in the traditions of the community	9%	Isolation from the rest of the island	9%
Environmental risks	7%	Environmental risks	6%
Price of commodities	6%	Weather	2%
Drug abuse and aggressive behaviour	7%	Declined to answer	6%

 Table 3. Perceived negative aspects of living in Furnas

348 The sum does not round to 100% because participants provided multiple answers.

Furnas: hazard awareness over 20 years

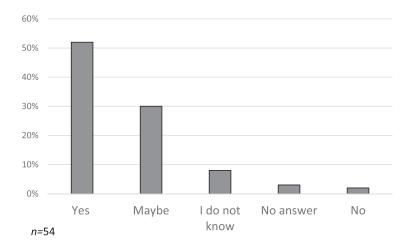


Fig. 3. Participants' level of awareness regarding warnings before eruption in 2017.

timely; occur in the context of an established emergency infrastructure; and when there is both public understanding and an accurate perception of hazard and risk (Dominey-Howes and Minos-Minopoulos 2004; Johnston *et al.* 2005; Bird and Dominey-Howes 2008 and references therein). The public awareness and perception of threats is, thus, a fundamental element in developing appropriate responses to future emergencies. Despite Furnas being extremely exposed, the 1994 survey showed an inappropriate level of awareness with regard to earthquake and volcanic risks (Dibben 1999).

All the 1994 participants knew that Furnas was located within a volcanic caldera, yet they did not see this as being a major concern and were genuinely shocked when asked to think about a future eruption (Dibben and Chester 1999). Furthermore, in 1994, participants did not believe that they would have any warning should an emergency occur. Figure 3 records participants' responses in 2017.

In 2017, more than half of the participants thought that there would be a warning before an eruption and only 2% responded that this would not be the case, and, in this respect, there is clearly an improvement over the situation in 1994 when the principal response was that there would not be any warning. Some participants provided additional information stating that they had been informed that the volcano was monitored. Nevertheless, approximately 40% of the 2017 participants did not provide a clear response, preferring to state 'I do not know' or 'maybe'; such answers being indicative of a process of communication between stakeholders and the 403 population that is still very much in progress. In addi-404 tion, in 1994 there was no permanent monitoring 405 equipment in place (Dibben 1999), whereas in 406 2017 there was a monitoring system in place, and this 'visibility' may explain the differences between 1994 and 2017. A further element suggesting an ongoing process of communication is that participants in 1994 thought that nobody in the village could give them advice about volcanic hazards, whereas in 2017 38% of participants knew where to access information (Table 4).

Amongst participants answering a clear 'yes' or 'no' (n = 30), 70% noted that information about volcanic activity was well distributed, whereas 30% thought it was not. Amongst the 31% (n = 17) who did not provide a clear 'yes' or a clear 'no' answer, rather an 'I do not know', 70% replied that they would either seek information from CIVISA (the Centre for Information and Seismovolcanic Surveillance of the Azores) or from the University of the Azores; these results imply that the participants knew that information was available. An additional feature was that in 2017 participants showed an improved awareness of the threat of volcanic activity.

In 1994, participants were not prepared for earthquakes. They did not know what to do to protect themselves during an event, with some participants declaring that they would stay in bed and none answering that he or she would try to leave a building

 Table 4. Furnas: 2017 participants' awareness

 sources of information on extreme natural events

There is hazard information There is no information	38% 31%
No answer	17%
There is minimal hazard information	14%

\*n = 54

407 after a quake (Dibben and Chester 1999). Dibben 408 and Chester (1999, p. 141) identified the reason for 409 their behaviour as a 'fear that the ground would 410 open up and (because of) civil defence guidelines 411 that advised people to stay indoors and shelter 412 under door frames'. By 2017, participants were 413 much more aware of the possibility of earthquakes and pointed out that their children were trained at 414 415 school, and they were also informed at work, to evac-416 uate buildings in the event of an emergency, which 417 included earthquakes. Since 2003, several low-418 magnitude seismic swarms have occurred between 419 the Fogo and Furnas volcanic areas, and in 2005 420 the seismic swarm reached a peak when thousands 421 of low-magnitude events were registered (Viveiros 422 et al. 2008). In September 2005, the seismic crises 423 produced two felt earthquakes with magnitudes of 424 3.9 and 4.3, and with epicentres located in the central 425 part of the island (Marques et al. 2005). Despite the 426 moderate magnitude of these earthquakes, they did 427 not cause severe damage or any casualties (Marques 428 et al. 2005). Similarly, the seismic swarm in 2008 did 429 not cause damage in Furnas but may have contrib-430 uted - in combination with the educational pro-431 grammes mentioned above -to a rising level of 432 awareness among the inhabitants. In summary, the 433 2017 participants were more risk aware than those 434 of 1994.

435 The results show a developing awareness of the monitoring being carried out by scientists but the 436 437 availability of information leads neither to significant 438 improvements in its dissemination nor to changing 439 attitudes. Nathe (2000), drawing on 30 years of 440 experience in public education about earthquakes 441 in the USA, suggests some important guidelines. 442 One of these is the need to be clear about messages 443 sent out to the public by various media channels. 444

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463 464 Public educators have to speak to each other and send one clear message to explain risks and what is required to reduce the potential for damage. Most participants in 1994 stated that either they did not know what they would do in the case of emergency or stated that they would run away without knowing where and how to leave the village in such circumstances. Figure 4 summarizes the 2017 results and shows that 68% of participants would 'run away' or not know what they should do, whereas in 1994 there were higher levels of ignorance. Neither in 1994 nor in 2017 did participants have a clear idea of what was required of them.

One feature of changing attitudes is that none of the 1994 participants mentioned following instructions from the civil protection authorities (Dibben 1999; Dibben and Chester 1999), whereas in 2017 this was raised by 21% of participants. Some 11% declared that they were aware of where to go in the event of a volcanic eruption, and these results support a conclusion that the population has become more aware of threats from extreme events over the 23 years that separate the two surveys. The reason for this change in attitude may be linked to the work that the authorities have undertaken since the early 2000s. Following the creation of the Regional Service for Civil Protection and Fire-Fighting (SRPCBA), this authority has coordinated and supervised civil protection, and has also provided training programmes in schools and at public events where people have been taught how to prepare for and act during earthquakes (http://www.prociv. azores.gov.pt). In addition, following legislation in 2006, the civil protection authorities have been responsible for issuing warnings, alerts, and organizing intervention, support and rescue. Consequently, the civil protection authorities are mentioned on

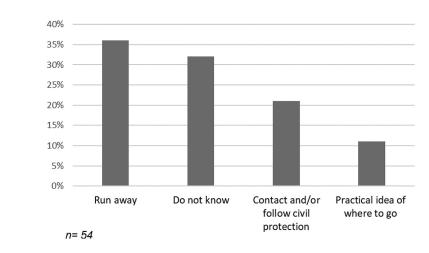


Fig. 4. Suggested reactions to a volcanic emergency as revealed in the 2017 survey.

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465 the television news and in the print media every time 466 there is a warning, and such actions over a long 467 period of time can only serve to increase the popula-468 tion's awareness. Similarly, school sessions carried 469 out by scientists from IVAR/CVARG have intro-470 duced children to geophysical, geochemical and geo-471 detic monitoring research in volcanology, and may 472 have also contributed to increased awareness.

473 With respect to the group of participants who 474 stated that they were aware of a specific place to 475 which they wished to evacuate in the event of an emergency, only one participant (Participant 9) 476 chose a safe place: Nordeste. Participants 6 and 38 477 478 responded 'out of the island'; Participant 11 and Par-479 ticipant 27 indicated that they would head to the sea 480 but provided no further explanation. Both answers demonstrate a vague idea of where to go. Participant 481 482 3 stated 'to the sea in Ribeira Quente', but this settle-483 ment (Fig. 1) would be one of the worst possible 484 options because it lies on the lower flank of Furnas 485 volcano and is exposed to multiple extreme events 486 that include, for example, flooding, landslides and 487 lahars, as well as the effects of more direct volcanic 488 action. Overall, the 2017 participants showed a slight 489 improvement in their awareness and behaviour with 490 regard to both earthquake and volcanic eruptions. 491 Many participants, though, still had similar attitudes 492 to those interviewed in 1994: they did not have a 493 clear idea of how and where to go should an 494 eruption occur. 495

#### Volcanic gases: awareness and preparedness

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Furnas volcano is a hazardous environment even when the volcano is not erupting. The volcano discharges levels of CO<sub>2</sub> that, in some parts of Furnas village, have implications for the safety of its inhabitants (Guest et al. 1999, 2015; Viveiros et al. 2015). Pedone *et al.* (2015) found that fumaroles produce the highest contribution to  $CO_2$  (c. 50 t/day), followed by the springs (c. 9.2 t/day). The majority of CO<sub>2</sub> is released as silent, invisible diffuse soil emissions. Baxter et al. (1999) measured emissions in a ground-floor bedroom in dwellings within the village and found that these were high enough to be potentially lethal just over a 2 h period. CO<sub>2</sub> also carries radon gas in concentrations that are directly correlated to the levels of CO2 (Baxter et al. 1999). The gas <sup>222</sup>Rn is a potential indoor threat to the health of the inhabitants of Furnas, being recognized as a causal factor in lung cancer, 'but considerable uncertainty exists over the estimates of the cancer risk from indoor' exposure (Baxter et al. 1999, p. 103 and reference therein).

519In terms of gas emissions, the precautions taken520include the ventilation of the lower floors of build-521ings (Silva *et al.* 2015; Viveiros *et al.* 2015). Dib-522ben's work revealed that none of the participants

surveyed thought that gas emission was a hazard in Furnas. In fact, a high proportion of participants thought emissions to have a healing effect (48%). The second most common answer was fatalistic and stated that people were used to living with perils (Dibben 1999, p. 220). One person answered that degassing represented a pressure release for the volcano. In 2017, only one participant associated the gas with pressure release from the volcano, whilst all the others answered that they knew about the gases and they also knew how to cope with these risks. Participant 9 gave a typical answer: 'everyone knows about gases. If they open a hole, gases come out. But if a man knows how to act, he won't be harmed'.

Dibben's participants did not know that they needed to protect themselves against CO<sub>2</sub> discharge and did not take the precaution of ventilating their homes. By 2017, the exposure to indoor gases was diminished because new buildings had basements that did not make direct contact with the soil because they were constructed over ventilated void spaces (Silva et al. 2015). This change in building practice demonstrates an improvement in both the awareness of hazardous gases and of ways by which people might protect themselves (Silva et al. 2015; Viveiros et al. 2015). This increased level of awareness is probably related to the work carried out since the 1990s by the University of Azores, which has deployed fixed stations to measure gases and visits to the area by scientific personnel have become commonplace. Scientific personnel have also been involved in studies using portable stations located both within houses and outdoors (Silva et al. 2015). This was recalled by Participant 13, who not only remembered research on gases but also the spread of information. Despite these improvements, some of the 2017 participants were less aware of the threat posed by gases. For example, Participant 8 explained, 'I know about the gases even inside houses. My cousin has fumaroles inside the house, he cooks on it (sic)'. This participant also agreed to guide the researchers around his/her garden and show them the fumaroles. Participant 8 explained how the family originally tried to use the fumaroles for heating but gave up after a short time because the pipes became corroded, indicating how people try to take advantage of, and cope with, their environment. When the family of this participant realized it was not possible to remain in their home, they moved 20 m away and used the original building as a garden store. It is because they were aware of degassing, but were unaware of scientific details over unsafe discharges, that they place themselves in potentially dangerous situations. On the other hand, Participant 13 was well aware and described how his family coped with the gases released by observing the behaviour of insects (beetles), 'If they looked dizzy, they knew that it was

necessary to ventilate the apartment and leave for a while'.

Risk perception

Ricci et al. (2013, p. 123) refers to risk perception as:

[A] general term that encompasses a number of different aspects of how people may view their risk from a particular hazard. These include the perceived likelihood of a disaster, perceptions of how serious such an event might be, how personally one might be affected, and how worried one is about a potential threat

(Ricci et al. 2013, p. 123).

537 Risk perception is also influenced by social and eco-538 nomic factors, and varies in accordance with each individual's personal experience of the hazard, 539 knowledge, preparedness and confidence in 540 541 decision-makers (Pidgeon 1998; Paton et al. 2010). Examining beliefs and the construction of risk, 542

Q9 Dibben reports how in the 1990s the inhabitants of 543 Furnas did not view volcanic threats as a major 544 issue of concern and, during the May-June 2017 sur-545 546 vey, this was still the opinion of the majority of the 547 participants. Dibben and Chester (1999, p. 140) 548 stated that all participants were well aware of both living on a volcano and within a caldera. In 2017, 549 550 all participants except one declared they were aware of living inside a volcano. 551

#### Land attachment

555 Attachment to the land and a sense of belonging to a 556 community influence how people may react in an 557 emergency (Paton 2003). In 1994, the participants 558 showed elements of land attachment (Dibben and 559 Chester 1999), as did the 2017 participants. In 560 2017, 70% stated that they had never thought of liv-561 ing anywhere other than Furnas, while one had lived 562 abroad and moved back (Participant 8). Some 19% 563 answered 'I do not want to leave because I love 564 this land'. Among the participants who thought 565 about leaving (22%), 33% said 'for work' and 17% for 'family issues'. The other half would leave 566 567 because 'I have not adapted to the Furnas environ-568 ment; yes, I have thought about it many times (but) 569 it is not easy to adapt here' (Participant 10). Some 570 participants who responded that they were born in 571 Furnas, also stated that their families had lived in the village for more than 100 years. Among those 572 573 who felt a strong attachment to the land, there was 574 one participant who provided further details men-575 tioning the attachment he or she had to the village 576 and how much they desired to transmit this feeling 577 to their sons. These results reflect the descriptions 578 from elsewhere (Bonaiuto et al. 2016) of the long-579 term bonding of people to their homes and commu-580 nities (Dibben and Chester 1999, p. 143).

# Livelihood, resources, self-protection and resilience

In the event of a future earthquake or volcanic eruption causing material damage, 94% of participants stated that the government, through social welfare payments, would act to repair the damage. Further help would come from family and friends (65%), and from personal savings (49%). These results reflect the fact that 57% of participants in 2017 do not have insurance to protect either their health costs or the funds needed to rebuild or repair their homes. In 1994, the participants without insurance were 78% (Dibben 1999). During the 2017 Furnas interviews, three participants (Participant 12, Participant 14 and Participant 29) stated that insurance did not cover volcanic perils, and this was confirmed by insurance companies writing policies on the island. Insurance rates across São Miguel are the same regardless of location or postcode but policies take into account the type of construction, in particular its age (i.e. built before or after 1985, roofing characteristics and overall condition: e.g. Fidelidade Insurance 2018). Usually policies cover fire, electrical risks, storms, landslides, floods and seismic risks.

# Discussion

This research is an example of a longitudinal study that covers more than 20 years, and which compares hazard and risk awareness of participants in 2017 with those surveyed in 1994 (Dibben 1999). An increase in participant's hazard and risk awareness may be a result, therefore, of hazard education and/or experience of natural perils (e.g. Paton et al. 2000). In Furnas, no volcanic eruptions have occurred since 1630 (Gaspar et al. 2015), and between 1994 and 2017 the Furnas area was affected by seismic swarms (2005 and 2008) that did not cause major disruptions in the village (Marques et al. 2005; Viveiros et al. 2016). However, from May to September 2005 the seismic activity produced thousands of instrumentally detected earthquakes and over 100 felt quakes (Gaspar et al. 2015; Silva et al. 2015; Wallenstein et al. 2015). CO<sub>2</sub> cold springs, thermal springs and emissions of steam are present within the village and its surrounding area (Viveiros et al. 2015). Since the late 1990s, the Azores Civil Protection and Fire-Fighting Service (SRPCBA) has organized educational programmes targeting people of different ages. These programmes concern seismic risk; however, similar initiatives to heighten volcanic preparedness do not exist (Rego et al. 2018). In addition, the Centre for Information and Seismovolcanic Surveillance of the Azores (CIVISA) and the Institute for Research in Volcanology and Risk Assessment (IVAR) have

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run courses on hazards for hundreds of schoolchildren (Wallenstein *et al.* 2015). It is because seismic
events have not caused major injury of loss of life for
many years that a strong case may be made for programmes of education to be put in place. McEntire
and Myers (2004, p. 150) report that Nathe (2000)
remarked:

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[H]azards education attempts to increase protective actions by people, groups and institutions by presenting information about a hazard and the risk it poses in order to create uncertainty in people's minds'.

593 In 1994, before any targeted hazard education and 594 despite the lack of awareness of the possibility of 595 extreme volcanic events, participants had some 596 awareness of risks related to earthquakes but they 597 were unaware on how to protect themselves. Aware-598 ness has developed over the past 20 years, as is 599 revealed in participants' perspectives as recorded in 600 the 2017 survey. Increasing awareness may also 601 reflect change at the political level in the support 602 that the regional government has provided for the 603 work of SRPCBA, CIVISA and IVAR in dissemi-604 nating appropriate information and in trial earth-605 quake evacuations in schools or working places, 606 although the extent to which individual residents of 607 Furnas took part in these initiatives is unknown. 608 The Furnas results agree with the findings of Nathe 609 (2000), who stressed that when dealing with hazard education, the best results may be achieved when: 610 611 educators support their audiences in raising appro-612 priate questions; the authorities offer simple and 613 clear instructions; and the population is supported 614 by the authorities in order to reduce uncertainties. 615 Despite these improvements, the vast majority of 616 the participants in 2017 did not know what to do in 617 the event of a volcanic eruption and would have to 618 rely on bodies such as the civil protection authorities 619 and the regional government to make decisions on 620 their behalf. Some participants showed confidence 621 that the civil protection authorities will guide them in the case of a future emergency. Rego et al.'s 622 623 (2018) findings on perceptions of seismic and volca-624 nic risk and preparedness in São Miguel Island 625 showed a similar confidence in the SRPCBA actions. 626 As Paton (2007, p. 371) notes, 'when dealing with 627 natural hazard issues, people rely on sources with 628 whom they have a general relationship that extends 629 beyond natural hazard issues'. In Furnas, the dialogue opened between the civil protection authori-630 631 ties, the university and the wider population seems 632 to have cultivated a level of confidence which is 633 illustrated by Participant 13's statement that 'University of Azores is the best and contributes to the qual-634 635 ity of our life'. 636

Yet, an overall comparison between participants in 1994 and 2017 showed only limited improvements in hazard awareness and this supports the idea that building awareness takes a long time, especially because changing attitudes is a slow process. The results of this paper reflect the findings of Bird et al. (2020) on volcanic hazard and risk awareness of tourists in south Iceland. Their longitudinal study focused on a range of stakeholders in order to evaluate initiatives and events to raise awareness of volcanic hazard and risk in relation to evacuation exercises, educational materials and experience from 2004 and 2017. The results showed that over many years these initiatives had only influenced a limited number of people, whilst the majority remained unaffected (Bird et al. 2020). There are examples of initiatives that have raised awareness over shorter time intervals (Mileti and Brien 1992; Mileti and Fitzpatrick 1992; Mileti and Darlington 1997; Shenhar et al. 2015, 2016). Shenhar et al. (2015, 2016), for example, evaluated the perceptions of approximately 1000 participants who were exposed to earthquake hazard awareness campaigns on the media that ran three times between 2011 and 2013. Shenhar et al. (2016) found that in 2013 there had been a significant improvement in levels of knowledge of what to do in the event of an earthquake. In future, it may be valuable to run not only educational courses but also television, radio and other media campaigns targeting different age cohorts in order to raise population awareness without causing unnecessary fears, as has been the case with certain examples of health promotion (Wakefield et al. 2010). The development of appropriate role-playing games would contribute in enhancing awareness. Role-playing games have been shown to be reliable in increasing warning communication literacy and enhancing collaborative capacity in disaster-prone areas (Gampell and Gaillard 2016; Solinska-Novak et al. 2018; Weyrich et al. 2021). These initiatives, targeted to the intended audience's needs, beliefs and expectations, would have to be undertaken over a long time because, as Nathe (2000) points out, all training of this type has to be carried out on numerous occasions in order to make it effective in raising awareness.

# Conclusion

This longitudinal study provides a contribution to understanding the evolution of hazard awareness in Furnas. Participants in 1994 and 2017 were in agreement that volcanic threats are not a major area of concern because they did not think an eruption would occur during their lifetimes, this perception being based on the observation that they did not see any evidence of change in the volcanic or seismic characteristics of the area. In 2017, participants were more conscious than in 1994 of threats posed by gases, and many participants were aware of self-protection measures. With respect to earthquakes, people were 639 more knowledgeable about appropriate responses in
640 the event of an emergency. They also knew reliable
641 sources for earthquake and volcanic information.

642 Some participants showed confidence that the 643 civil protection authorities would guide them in the 644 event of an emergency. Rego et al.'s (2018) research 645 on perceptions of seismic and volcanic risk and pre-646 paredness across São Miguel found a similar level of 647 confidence in the efficacy of SRPCBA actions within 648 their participant groups. Direct reference to trust in 649 the authorities was not mentioned by participants 650 in our study, although this was not specifically 651 asked. Indeed, understanding how the confidence in the abilities of the civil protection authorities 652 653 may translate into trust is something that could be 654 investigated in future research.

In 2017, knowledge of where safe destinations 655 656 were to be found in the case of a volcanic emergency 657 were still lacking and respondents were prepared to 658 leave the details of evacuation to the civil protection 659 authorities. If evacuation is not carefully planned and 660 carried out, individuals may choose either not evacuate or evacuate in an unplanned way without 661 662 Q10 informing (Bird et al. 2010). According to Johnston 663 et al. (2005), the combination of a lack of knowledge 664 and high levels of uncertainty can lead to an unsafe 665 behavioural response where many individuals fail 666 to respond to an evacuation request in an appropriate 667 manner. In contrast, Brilly and Polic (2005) suggest that an individual's initial response may be to evac-668 669 uate before seeking appropriate information. Furnas 670 is surrounded by steep slopes formed from poorly 671 consolidated materials and massive lava-flow hori-672 zons. This geology is conducive to the generation 673 of landslides and rockfalls, resulting from seismicity 674 (Dibben and Chester 1999). It is not possible, there-675 fore, to provide the inhabitants with one evacuation 676 plan for every possible scenario. Instead, Furnas 677 inhabitants should be involved not only in training 678 sessions but also in the evacuation planning process 679 so they might develop an awareness that scenarios of 680 evacuation may change following the event. The 681 community has not been involved to any significant 682 extent up until now and there is no formal two-way 683 dialogue. A dialogue would further promote collab-684 oration between the authority and the population. 685 Moreover, in the case of emergency, the inhabitants 686 of Furnas may respond to an evacuation call in an 687 appropriate way.

In summary, policy initiatives taken by the
authorities over the past two decades are still a
'work in progress', although advances have been
made in increasing awareness of the threats posed
by volcanic gases and earthquake hazards, and in
the dissemination of knowledge of self-protection.

Nevertheless, increasing awareness of possible
future eruptions is a very difficult policy goal, especially on a volcano that has not erupted since 1630

but which, when it does, will have a severe impact on the inhabitants of Furnas, and this justifies the authority's long-term commitment to community education.

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