

# Evaluating Intervention Programs with a Pretest-posttest Design: A Structural Equation Modeling Approach

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## 25 Abstract

26 A common situation in the evaluation of intervention programs is the researcher's possibility to  
27 rely on two waves of data only (i.e., pretest and posttest), which profoundly impacts on his/her  
28 choice about the possible statistical analyses to be conducted. Indeed, the evaluation of  
29 intervention programs based on a pretest-posttest design has been usually carried out by using  
30 classic statistical tests, such as family-wise ANOVA analyses, which are strongly limited by  
31 exclusively analyzing the intervention effects at the group level. In this article, we showed how  
32 second order multiple group latent change modeling (SO-MG-LCM) could represent a useful  
33 methodological tool to have a more realistic and informative assessment of intervention  
34 programs with two waves of data. We offered a practical step-by-step guide to properly  
35 implement this methodology, and we outlined the advantages of the LCM approach over classic  
36 ANOVA analyses. Furthermore, we also provided a real-data example by re-analyzing the  
37 implementation of the Young Prosocial Animation, a universal intervention program aimed at  
38 promoting prosociality among youth. In conclusion, albeit there are previous studies that pointed  
39 to the usefulness of MG-LCM to evaluate intervention programs (Curran & Muthén, 1999;  
40 Muthén & Curran, 1997), no previous study showed that it is possible to use this approach even  
41 in pretest-posttest (i.e., with only two time points) designs. Given the advantages of latent  
42 variable analyses in examining differences in interindividual and intraindividual changes  
43 (McArdle, 2009), the methodological and substantive implications of our proposed approach are  
44 discussed.

45 *Keywords:* experimental design, pretest-posttest, intervention, multiple group latent curve  
46 model, second order latent curve model, structural equation modeling, latent variables

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48                   Evaluating Intervention Programs with a Pretest-posttest Design:  
49                   A Structural Equation Modeling Approach

50               Evaluating intervention programs is at the core of many educational and clinical  
51 psychologists' research agenda (Achenbach, in press; Malti, Noam, Beelmann, & Sommer,  
52 2016). From a methodological perspective, collecting data from several points in time (usually  $T$   
53  $\geq 3$ ) is important to test the long-term strength of intervention effects once the treatment is  
54 completed, such as in classic designs including pretest, posttest, and follow up assessments  
55 (Roberts & Ilardi, 2003). However, several factors could hinder the researcher's capacity to  
56 collect data at follow-up assessments, in particular the lack of funds, participants' poor level of  
57 monitoring compliance, participants' relocation in different areas, etc. Accordingly, the use of  
58 the less advantageous pretest-posttest design (i.e., before and after the intervention) often  
59 represents a widely used methodological choice in the psychological intervention field. Indeed,  
60 from a literature research on the database PsycINFO using the following string "*intervention*  
61 *AND pretest AND posttest AND follow-up*" limited to abstract section and with a publication  
62 date from January 2006 to December 2016, we obtained 260 documents. When we changed  
63 "*AND follow-up*" with "*NOT follow-up*" the results were 1,544 (see Appendix A to replicate  
64 these literature search strategies).

65               A further matter of concern arises from the statistical approaches commonly used for  
66 evaluating intervention programs in pretest-posttest design, mostly ANOVA-family analyses,  
67 which heavily rely on statistical assumptions (e.g., normality, homogeneity of variance,  
68 independence of observations, absence of measurement error, and so on) rarely met in  
69 psychological research (Nimon, 2012; Schmider, Ziegler, Danay, Beyer, & Bühner, 2010).

70           However, all is not lost and some analytical tools are available to help researchers better  
71 assess the efficacy of programs based on a pretest-posttest design (see McArdle, 2009). The goal  
72 of this article is to offer a formal presentation of a latent curve model approach (LCM; Muthén &  
73 Curran, 1997) to analyze intervention effects with only two waves of data. After a brief overview  
74 of the advantageous of the LCM framework over classic ANOVA analyses, a step-by-step  
75 application of the LCM on real pretest-posttest intervention data is provided.

### 76           **Evaluation Approaches: Observed Variables vs Latent Variables**

77           Broadly speaking, approaches to intervention evaluation can be distinguished into two  
78 categories: (1) approaches using *observed variables* and (2) approaches using *latent variables*.  
79 The first category includes widely used parametric tests such as Student's *t*, repeated measures  
80 analysis of variance (RM-ANOVA), analysis of covariance (ANCOVA), and ordinary least-  
81 squares regression (see Tabachnick & Fidell, 2013). However, despite their broad use, observed  
82 variable approaches suffer from several limitations, many of them ingenerated by the strong  
83 underlying statistical assumptions that must be satisfied. A first series of assumption underlying  
84 classic parametric tests is that the data being analyzed are normally distributed and have equal  
85 population variances (also called homogeneity of variance or *homoscedasticity* assumption).  
86 Normality assumption is not always met in real data, especially when the variables targeted by  
87 the treatment program are infrequent behaviors (i.e., externalizing conducts) or clinical  
88 syndromes (Micceri, 1989). Likewise, homoschedasticity assumption is rarely met in randomized  
89 control trial as a result of the experimental variable causing differences in variability between  
90 groups (Grissom & Kim, 2012). Violation of normality and homoscedasticity assumptions can  
91 compromise the results of classic parametric tests, in particular on rates of Type-I (Tabachnick &  
92 Fidell, 2013) and Type-II error (Wilcox, 1998). Furthermore, the inability to deal with

93 measurement error can also lower the accuracy of inferences based on regression and ANOVA-  
94 family techniques which assume that the variables are measured without errors. However, the  
95 presence of some degree of measurement error is a common situation in psychological research  
96 where the focus is often on not directly observable constructs such as depression, self-esteem, or  
97 intelligence. Finally, observed variable approaches assume (without testing it) that the  
98 measurement structure of the construct under investigation is invariant across groups and/or time  
99 (Meredith & Teresi, 2006; Millsap, 2011). Thus, lack of satisfied statistical assumptions and/or  
100 uncontrolled unreliability can lead to the under or overestimation of the true relations among the  
101 constructs analyzed (for a detailed discussion of these issues, see Cole & Preacher, 2014).

102 On the other side, latent variable approaches refer to the class of techniques termed under  
103 the label structural equation modeling (SEM; Bollen, 1989) such as confirmatory factor analysis  
104 (CFA; Brown, 2015) and mean and covariance structures analysis (MACS; Little, 1997).  
105 Although a complete overview of the benefits of SEM is beyond the scope of the present work  
106 (for a thorough discussion, see Kline, 2016), it is worthwhile mentioning here those advantages  
107 that directly relate to the evaluation of intervention programs. First, SEM can easily  
108 accommodate the lack of normality in the data. Indeed, several estimation methods with standard  
109 errors robust to non-normal data are available and easy-to-use in many popular statistical  
110 programs (e.g., MLM, MLR, WLSMV, etc. in *Mplus*; Muthén & Muthén, 1998-2012). Second,  
111 SEM explicitly accounts for measurement error by separating the common variance among the  
112 indicators of a given construct (i.e., the latent variable) from their residual variances (which  
113 include both measurement error and unique sources of variability). Third, if multiple items from  
114 a scale are used to assess a construct, SEM allows the researcher to evaluate to what extent the  
115 measurement structure (i.e., factor loadings, item intercepts, residual variances, etc.) of such

116 scale is equivalent across groups (e.g., intervention group vs control group) and/or over time (i.e.,  
117 pretest and posttest); this issue is known as measurement invariance (MI) and, despite its crucial  
118 importance for properly interpreting psychological findings, is rarely tested in psychological  
119 research (for an overview see Brown, 2015; Millsap, 2011). Finally, different competitive SEMs  
120 can be evaluated and compared according to their goodness of fit (Kline, 2016). Many SEM  
121 programs, indeed, print in their output a series of fit indexes that help the researcher assess  
122 whether the hypothesized model is consistent with the data or not. In sum, when multiple  
123 indicators of the constructs of interest are available (e.g., multiple items from one scale, different  
124 informants, multiple methods, etc.), latent variables approaches offer many advantages and,  
125 therefore, they should be preferred over manifest variable approaches (Little, Card, Preacher, &  
126 McConnell, 2009). Moreover, when a construct is measured using a single psychometric  
127 measure, there are still ways to incorporate the individuals' scores in the analyses as latent  
128 variables, and thus reduce the impact of measurement unreliability (Cole & Preacher, 2014).

### 129 **Latent Curve Models**

130 Among latent variable models of change, latent curve models (LCMs; Meredith & Tisak,  
131 1990), represent a useful and versatile tool to model stability and change in the outcomes  
132 targeted by an intervention program (Curran & Muthén, 1999; Muthén & Curran, 1997).  
133 Specifically, in LCM individual differences in the rate of change can be flexibly modeled  
134 through the use of two *continuous random latent variables*: The intercept (which usually  
135 represents the level of the outcome of interest at the pretest) and the slope (i.e., the mean-level  
136 change over time from the pretest to the posttest). In detail, both the intercept and the slope have  
137 a mean (i.e., the average initial level and the average rate of change, respectively) and a variance  
138 (i.e., the amount of inter-individual variability around the average initial level and the average



139 rate of change). Importantly, if both the mean and the variance of the latent slope of the outcome  
140  $y$  in the intervention group are statistically significant (whereas they are not significant in the  
141 control group), that means that there was not only an average effect of the intervention, but also  
142 some participants were differently affected by the program (Muthén & Curran, 1997). Hence, the  
143 assumption that participants respond to the treatment in the same way (as in ANOVA-family  
144 analyses) can be easily relaxed in LCM. Indeed, although individual differences may also be  
145 present in the ANOVA design, change occurs at the group level and, therefore, everyone is  
146 impacted in the same fashion after the exposure to the treatment condition.

147         As discussed by Muthén and Curran (1997), the LCM approach is particular useful for  
148 evaluating intervention effects when it is conducted within a multiple group framework (i.e.,  
149 MG-LCM), namely when the intercept and the slope of the outcome of interest are  
150 simultaneously estimated in the intervention and control group. Indeed, as illustrate in our  
151 example, the MG-LCM allows the research to test if both the mean and the variability of the  
152 outcome  $y$  at the pretest are similar across intervention and control groups, as well as if the mean  
153 rate of change and its inter-individual variability are similar between the two groups. Therefore,  
154 the MG-LCM provides information about the efficacy of an intervention program in terms of  
155 both (1) its average (i.e., group-level) effect and (2) participants' sensitivity to differently  
156 respond to the treatment condition.

157         However, a standard MG-LCM cannot be empirically identified with two waves of data  
158 (Bollen & Curran, 2006). Yet, the use of multiple indicators (at least 2) for each construct of  
159 interest could represent a possible solution to overcome this problem by allowing the estimation  
160 of the intercept and slope as second-order latent variables (Bishop, Geiser, & Cole, 2015; Geiser,  
161 Keller, & Lockhart, 2013; McArdle, 2009). Interestingly, although second-order LCMs are

162 becoming increasingly common in psychological research due to their higher statistical power to  
163 detect changes over time in the variables of interest (Geiser et al., 2013), their use in the  
164 evaluation of intervention programs is still less frequent. In the next section, we present a formal  
165 overview of a second-order MG-LCM approach, we describe the possible models of change that  
166 can be tested to assess intervention effects in pretest-posttest design, and we show an application  
167 of the model to real data.

### 168 **Identification of a Two-time Point Latent Change Model Using Parallel Indicators**

169 When only two points in time are available, it is possible to estimate two LCMs: A No-  
170 Change Model (see Figure 1 Panel A) and a Latent Change Model (see Figure 1 Panel B). In the  
171 following, we described in details the statistical underpinnings of both these models.

#### 172 **Latent Change Model**

173 *A two-time points latent change model* implies two latent means ( $\boldsymbol{\kappa}^k$ ), two latent factor  
174 variances ( $\boldsymbol{\zeta}^k$ ), plus the covariance between the intercept and slope factor ( $\boldsymbol{\Phi}^k$ ). This results in a  
175 total of  $5+T$  model parameters, where  $T$  are the error variances for ( $\mathbf{y}^k$ ) when allowing  $\mathbf{VAR}(\boldsymbol{\epsilon}^k)$   
176 to change over time. In the case of a two waves of data (i.e.,  $T = 2$ ), this latent change model has  
177 7 parameters to estimate from a total of  $(2)(3) / 2 + 2 = 5$  identified means, variances, and  
178 covariances of the observed variables. Hence, two waves of data are insufficient to estimate this  
179 model. However, this latent change model can be just-identified (i.e., zero degrees of freedom  
180 [df]) by constraining the residual variances of the observed variables to be 0. This last constraint  
181 should be considered structural and thus included in all two-time points latent change model. In  
182 this latter case, the variances of the latent variables (i.e., the latent intercept representing the  
183 starting level, and the latent change score) are equivalent to those of the observed variables.

184 Thus, when fallible variables are used, this impedes to separate true scores from their  
 185 error/residual terms.

186 A possible way to allow this latent change model to be over-identified (i.e.,  $df \geq 1$ ) is by  
 187 assuming the availability of at least two observed indicators of the construct of interest at each  
 188 time point (i.e., T1 and T2). Possible examples include the presence of two informants rating the  
 189 same behavior (e.g., caregivers and teachers), two scales assessing the same construct, etc.  
 190 However, even if the construct of interest is assessed by only one single scale, it should be noted  
 191 that psychological instruments are often composed by several items. Hence, as noted by Steyer,  
 192 Eid, and Schwenkmezger (1997), it is possible to randomly partitioning the items composing the  
 193 scale into two (or more) parcels that can be treated as parallel forms. By imposing appropriate  
 194 constraints on the loadings (i.e.,  $\lambda^k = \mathbf{1}$ ), the intercepts ( $\tau^k = \mathbf{0}$ ), within factor residuals ( $\epsilon^k = \epsilon$ ),  
 195 and by fixing to 0 the residual variances of the first-order latent variables  $\eta^k$  ( $\zeta^k = \mathbf{0}$ ), the model  
 196 can be specified as a first-order measurement model plus a second-order latent change model  
 197 (see Figure 1 Panel B). Given previous constraints of loadings, intercepts, and first order factor  
 198 residual variances, this model is over-identified because we have  $(4) (5) / 2 + 4 = 14$  observed  
 199 variances, covariances, and means. Of course, when three or more indicators are available,  
 200 identification issues cease to be a problem. In this paper, we restricted our attention to the two  
 201 parallel indicators case to address the more basic situation that a researcher can encounter in the  
 202 evaluation of a two time-point intervention. Yet, our procedure can be easily extended to cases in  
 203 which three or more indicators are available at each time point.

204 **Specification.** More formally, and under usual assumptions (Meredith & Tisak, 1990),  
 205 the measurement model for the above two times latent change model in group  $k$  becomes:

$$206 \quad \mathbf{y}^k = \tau_y^k + \Lambda_y^k \eta^k + \epsilon^k, \quad (1)$$

207 where  $\mathbf{y}^k$  is a  $mp \times 1$  random vector that contains the observed scores,  $\{y_{it}^k\}$ , for the  $i^{th}$   
 208 variable at time  $t$ ,  $i \in \{1,2,\dots, p\}$ , and  $t \in \{1,2,\dots, m\}$ . The intercepts are contained in the  $mp \times 1$   
 209 vector  $\boldsymbol{\tau}_y^k$ ,  $\boldsymbol{\Lambda}_y^k$  is a  $mp \times mq$  matrix of factor loadings,  $\boldsymbol{\eta}^k$  is a  $mq \times 1$  vector of factor scores, and  
 210 the unobserved error random vectors  $\boldsymbol{\epsilon}^k$  is a  $mp \times 1$  vector. The population vector mean,  $\boldsymbol{\mu}_y^k$ , and  
 211 covariance matrix,  $\boldsymbol{\Sigma}_y^k$ , or Means and Covariance Structure (MACS) are:

$$212 \quad \boldsymbol{\mu}_y^k = \boldsymbol{\tau}_y^k + \boldsymbol{\Lambda}_y^k \boldsymbol{\mu}_\eta^k \text{ and } \boldsymbol{\Sigma}_y^k = \boldsymbol{\Lambda}_y^k \boldsymbol{\Sigma}_\eta^k \boldsymbol{\Lambda}_y^{k'} + \boldsymbol{\theta}_\epsilon^k, \quad (2)$$

213 where  $\boldsymbol{\mu}_\eta^k$  is a vector of latent factors means,  $\boldsymbol{\Sigma}_\eta^k$  is the modeled covariance matrix, and  $\boldsymbol{\theta}_\epsilon^k$   
 214 is a  $mp \times mp$  matrix of observed variable residual covariances. For each column, fixing an  
 215 element of  $\boldsymbol{\Lambda}_y^k$  to 1, and an element of  $\boldsymbol{\tau}_y^k$  to 0, identifies the model. By imposing increasingly  
 216 restrictive constraints on elements of matrix  $\boldsymbol{\Lambda}_y$  and  $\boldsymbol{\tau}_y$ , the above two-indicator two-time points  
 217 model can be identified.

218 The general equations for the structural part of a second order (or change) model are:

$$219 \quad \boldsymbol{\eta}^k = \boldsymbol{\Gamma}^k \boldsymbol{\xi}^k + \boldsymbol{\zeta}^k, \quad (3)$$

220 where  $\boldsymbol{\Gamma}^k$  is a  $mp \times qr$  matrix containing second order factor coefficients,  $\boldsymbol{\xi}^k$  is a  $qr \times 1$   
 221 vector of second-order latent variables, and  $\boldsymbol{\zeta}^k$  is a  $mq \times 1$  vector containing latent variable  
 222 disturbance scores. Note that  $q$  is the number of latent factors and that  $r$  is the number of latent  
 223 curves for each latent factor.

224 The population mean vector,  $\boldsymbol{\mu}_\eta^k$ , and covariance matrix,  $\boldsymbol{\Sigma}_\eta^k$ , based on (3) are

$$225 \quad \boldsymbol{\mu}_\eta^k = \boldsymbol{\Gamma}^k \boldsymbol{\kappa}^k \text{ and } \boldsymbol{\Sigma}_\eta^k = \boldsymbol{\Gamma}^k \boldsymbol{\Phi}^k \boldsymbol{\Gamma}^{k'} + \boldsymbol{\Psi}^k, \quad (4)$$

226 where  $\boldsymbol{\Phi}^k$  is a  $r \times r$  covariance of the latent variables, and  $\boldsymbol{\Psi}^k$  is a  $mq \times mq$  latent variable  
 227 residual covariance matrix. In the current application, what makes the different in two models is  
 228 the way in which matrices  $\boldsymbol{\Gamma}^k$  and  $\boldsymbol{\Phi}^k$  are specified.

229 **Application of the MG-LCM to Intervention Studies using a Pretest-posttest Design**

230           The application of the above two-times latent change model to the evaluation of an  
231 intervention is straightforward. Usually, in intervention studies, individuals are randomly  
232 assigned to two different groups. The first group ( $G_1$ ) is exposed to an intervention that takes  
233 place somewhere after the initial time point. The second group ( $G_2$ ), also called the control  
234 group, does not receive any direct experimental manipulation. In light of the random assignment,  
235  $G_1$  and  $G_2$  can be viewed as two equivalent groups drawn by the same population and the effect  
236 of the intervention may be ascertained by comparing individuals' changes from T1 to T2 across  
237 these two groups.

238           Following Muthén and Curran (1997), an intercept factor should be modeled in both  
239 groups. However, only in the intervention group an additional latent change factor should be  
240 added. This factor is aimed at capturing the degree of change that is specific to the treatment  
241 group. Whereas the absolute value for the latent mean of this factor can be interpreted as the  
242 change determined by the intervention in the intervention group, a significant variance indicates  
243 a meaningful heterogeneity in responding to the treatment. In this model  $\alpha_y^k$  is a vector  
244 containing freely estimating mean values for the intercept (i.e.,  $\xi^1$ ), and the slope (i.e.,  $\xi^2$ ).  $\Gamma_y^k$  is  
245 thus a  $2 \times 2$  matrix, containing basis coefficients, determined in  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$  for the intercept (i.e.,  $\xi^1$ ) and  
246  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$  for the slope (i.e.,  $\xi^2$ ).  $\Phi^k$  is a  $2 \times 2$  matrix containing variances and covariance for the two  
247 latent factors representing the intercept and the slope.

248           Given randomization, restricting the parameters of the intercept to be equal across the  
249 control and treatment populations is warranted in a randomized intervention study. Yet, baseline  
250 differences can be introduced in field studies where randomization is not possible or, simply, the  
251 randomization failed during the course of the study (Cook & Campbell, 1979). In such cases, the  
252 equality constraints related to the mean or to the variance of the intercept can be relaxed.

253           The influence of participants' initial status on the effect of the treatment in the  
254 intervention group can also be incorporated in the model (Cronbach & Snow, 1977; Curran &  
255 Muthén, 1999; Muthén & Curran, 1997) by regressing the latent change factor onto the intercept  
256 factor, so that the mean and variance of the latent change factor in the intervention group are  
257 expressed as a function of the initial status. Accordingly, this analysis captures to what extent  
258 inter-individual initial differences on the targeted outcome can predispose participants to  
259 differently respond to the treatment delivered.

### 260 **Sequence of models**

261           We suggest a four-step approach to intervention evaluation. By comparing the relative fit  
262 of each model, researchers can have important information to assess the efficacy of their  
263 intervention.

264           **Model 1: No-change model.** A no change model is specified for both intervention group  
265 (henceforth G1) and for control group (henceforth G2). As a first step, indeed, a researcher may  
266 assume that the intervention has not produced any meaningful effect, and therefore a no-change  
267 model (or strict stability model) should be simultaneously estimated in both the intervention and  
268 control group. In its more general version, the no-change model includes only a second-order  
269 intercept factor which represents the participants' initial level. Importantly, both the mean and  
270 variance of the second-order intercept factor are freely estimated across groups (see Figure 1  
271 Panel A). More formally, in this model,  $\Phi^k$  is a  $qr \times qr$  covariance matrix of the latent variables,  
272 and  $\Gamma^k$  is a  $mq \times qr$  matrix, containing for each latent variable, a set of basis coefficients for the  
273 latent curves.

274           **Model 2: Latent change model in the intervention group.** In this model, a slope  
275 growth factor is estimated in the intervention group only. As previously detailed, this additional

276 latent factor is aimed at capturing any possible change in the intervention group. According to  
277 our premises, this model represents the “target” model, attesting a significant intervention effect  
278 in G1 but not in G2. Model 1 is then compared with Model 2 and changes in fit indexes between  
279 the two models are used to evaluate the need of this further latent factor (see section Statistical  
280 Analysis).

281       **Model 3: Latent change model in both the intervention and control group.** In model  
282 3, a latent change model is estimated simultaneously in both G1 and G2. The fit of Model 2 is  
283 compared with the fit of Model 3 and changes in fit indexes between the two models are used to  
284 evaluate the need of this further latent factor in the control group. From a conceptual point of  
285 view, the goal of Model 3 is twofold because it allows the researcher: (a) to rule out the  
286 eventuality of “contaminations effects” between the intervention and control group (Cook &  
287 Campbell, 1979); (b) to assess a possible, normative mean-level change in the control group (i.e.,  
288 a change that cannot be attributed to the treatment delivered). In reference to (b), indeed, it  
289 should be noted that some variables may show a normative developmental increase during the  
290 period of the intervention. For instance, a consistent part of the literature has identified an overall  
291 increase in empathic capacities during early childhood (for an overview, see Eisenberg, Spinrad,  
292 & Knafo-Noam, 2015). Hence, researchers aimed at increasing empathy-related responding in  
293 young children may find that both the intervention and control group actually improved in their  
294 empathic response. In this situation, both the mean and variance of the latent slope should be  
295 constrained to equality across groups to mitigate the risk of confounding intervention effects  
296 with the normative development of the construct (for an alternative approach when more than  
297 two time points are available, see Curran & Muthén, 1999; Muthén & Curran, 1997).  
298 Importantly, the tenability of these constraints can be easily tested through a delta chi square test

299  $(\Delta\chi^2)$  between the chi squares of the constrained model vs. unconstrained model. A significant  
300  $\Delta\chi^2$  (usually  $p < .05$ ) indicates that the two models are not statistically equivalent, and the  
301 unconstrained model should be preferred. On the contrary, a non-significant  $\Delta\chi^2$  (usually  $p > .05$ )  
302 indicates that the two models are statistically equivalent, and the constrained model (i.e., the  
303 more parsimonious model) should be preferred.

304 **Model 4: Sensitivity Model.** After having identified the best fitting model, the  
305 parameters of the intercept (i.e., mean and variance) should be constrained to equality across  
306 groups. This sensitivity analysis is crucial to ensure that both groups started with an equivalent  
307 initial status on the targeted behavior which is an important assumption in intervention programs.  
308 In line with previous analyses, the plausibility of initial status can be easily tested through the  
309  $\Delta\chi^2$  test. Indeed, given randomization, it seems likely to assume that participants in both groups  
310 are characterized by similar or identical starting levels, and the groups have the same variability.  
311 These assumptions lead to a *constrained* no-change no-group difference model. This model is the  
312 same as the previous one, except that  $\kappa^k = \kappa$ , or in our situation  $\kappa^1 = \kappa^2$ . Moreover, in our  
313 situation,  $r = 1$ ,  $q = 1$ ,  $m = 2$ , and hence,  $\Phi^k = \Phi$  is a scalar,  $\Gamma^k = \mathbf{1}_2$ , and  $\Psi^k = \Psi\mathbf{1}_2$  for each of  
314 the  $k^{\text{th}}$  population.

315 In the next section, the above sequence of models has been applied to the evaluation of a  
316 universal intervention program aimed to improve students' prosociality. We presented results  
317 from every step implied by the above methodology, and we offered a set of *Mplus* syntaxes to  
318 allow researchers estimate the above models in their dataset.

319 **The Young Prosocial Animation Program**



320           The Young Prosocial Animation (YPA; Zuffianò, Alessandri, & Roche-Oliver, 2012) is a  
321 *universal* intervention program (Greenberg, Domitrovich, & Bumbarger, 2001) to sensitize  
322 adolescents to prosocial and empathic values (Zuffianò et al., 2012).

323           In detail, the YPA tries to valorize: (a) the status of people who behave prosocially, (b)  
324 the similarity between the “model” and the participants, and (c) the outcomes related to prosocial  
325 actions. Following Bandura’s (1977) concept of *modeling*, in fact, people are more likely to  
326 engage in those behaviors they *value* and if the model is perceived as *similar* and with an  
327 *admired status*. The main idea is that valuing these three aspects could foster a *prosocial*  
328 *sensitization* among the participants (Zuffianò et al., 2012). In other terms, the goal is to promote  
329 the cognitive and emotional aspects of prosociality, in order to strengthen attitudes to act and  
330 think in a “prosocial way”. The expected change, therefore, is at the level of the personal  
331 dispositions in terms of an increased receptiveness and propensity for *prosocial thinking* (i.e.,  
332 both the ability to take the point of view and to be empathetic rather than directly affecting the  
333 behaviors acted out by the individuals, as well as the ability to produce ideas and solutions that  
334 can help other people; Zuffianò et al., 2012). Due to its characteristics, YPA can be conceived as  
335 a first phase of *prosocial sensitization* on which implementing programs more appropriately  
336 direct to increase prosocial behavior (e.g., CEPIDEA program; Caprara et al., 2014). YPA aims  
337 to achieve this goal through a guided discussion following the viewing of some prosocial scenes  
338 selected from the film “Pay It Forward”<sup>1</sup>. After viewing each scene, a trained researcher, using a  
339 standard protocol guides a discussion among the participants highlighting: (i) the type of  
340 prosocial action (e.g., consoling, helping, etc.); (ii) the benefits for the actor and the target of the  
341 prosocial action; (iii) possible benefits of the prosocial action extended to the context (e.g., other

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<sup>1</sup> Directed by Mimi Leder (2000).

342 persons, the more broad community, etc.); (iv) requirements of the actor to behave prosocially  
343 (e.g., being empathetic, bravery, etc.); (v) the similarity between the participant and the actor of  
344 the prosocial behavior; (vi) the thoughts and the feelings experienced during the viewing of the  
345 scene. The researcher has to complete the intervention within 12 sessions (1 hour per session,  
346 once a week).

347 For didactic purposes, in the present study we re-analyzed data from an implementation  
348 of the YPA in three schools located in a small city in the South of Italy (see Zuffiano et al., 2012  
349 for details).

### 350 **Hypotheses**

351 We expected Model 2 (a latent change model in the intervention group and a no-change  
352 model in the control group) to be the best fitting model. Indeed, from a developmental point of  
353 view, we had no reason to expect adolescents showing a normative change in prosociality after  
354 such a short period of time (Eisenberg et al., 2015). In line with the goal of the YPA, we  
355 hypothesized an small-medium increase in prosociality in the intervention group. We also  
356 expected that both groups did not differ at T1 in absolute level of prosocial behaviors, ensuring  
357 that both intervention and control group were equivalent. Finally, we explored the influence of  
358 participants' initial status on the treatment effect, a scenario in which those participants with  
359 lower initial level of prosociality benefitted more from attending the YPA session.

### 360 **Method**

#### 361 **Design**

362 The study followed a *quasi-experimental design*, with both the intervention and control  
363 groups assessed at two different time points: Before (Time 1) YPA intervention and six months  
364 after (Time 2). Twelve classrooms from three schools (one middle school and two high schools)

365 participated in the study during the school year 2008-2009. Each school has ensured the  
366 participation of 4 classes that were randomly assigned to intervention and control group (two  
367 classes to intervention group and two classes to control group).<sup>2</sup> In total, six classes were part of  
368 intervention group and six classes of control group. The students from the middle school were in  
369 the eighth grade (third year of secondary school in Italy), whereas the students from the two high  
370 schools were in the ninth (first year of high school in Italy) and tenth grade (second year of high  
371 school in Italy).

### 372 **Participants**

373 The YPA program was implemented in a city in the South of Italy. A total amount of 250  
374 students participated in the study: 137 students (51.8% males) were assigned to the intervention  
375 group and 113 (54% males) to the control group. At T2 students were 113 in the intervention  
376 group (retention rate = 82.5%) and 91 in the control group (retention rate = 80.5%). Little's test  
377 of missingness at random showed a nonsignificant chi-squared value [ $\chi^2(2) = 4.698, p = .10$ ]; this  
378 means that missingness at posttest is not affected by the levels of prosociality at pretest. The  
379 mean age was 14.2 ( $SD = 1.09$ ) in intervention group, and 15.2 ( $SD = 1.76$ ) in control group.  
380 Considering socioeconomic status, the 56.8% of families in intervention group and the 60.0% in  
381 control group were one-income families. The professions mostly represented in the two groups  
382 were the "worker" among the fathers (the 36.4% in intervention group and the 27.9% in control  
383 group) and the "housewife" among the mothers (the 56.0% in the intervention group and the  
384 55.2% in the control group). Parent's school level was approximately the same between the two

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<sup>2</sup> Importantly, although classrooms were randomized across the two conditions (i.e., intervention group and control group), the selection of the four classrooms in each school was not random (i.e., each classroom in school X did not have the same probability to participate in the YPA). In detail, participating classrooms were chosen according to the interest in the project showed by the head teachers.

385 groups: Most of parents in the intervention group (43.5%) and in the control group (44.7%) had a  
386 middle school degree.

### 387 **Measures**

388 **Prosociality.** Participants rated their prosociality on a 16-item scale (5-point Likert scale:  
389 1 = *never/almost never true*; 5 = *almost always/always true*) that assesses the degree of  
390 engagement in actions aimed at sharing, helping, taking care of others' needs, and empathizing  
391 with their feelings (e.g., "*I try to help others*" and "*I try to console people who are sad*"). The  
392 alpha reliability coefficient was .88 at T1 and .87 at T2. The scale has been validated on a large  
393 sample of respondents (Caprara, Steca, Zelli, & Capanna, 2005) and has been found to  
394 moderately correlate ( $r > .50$ ) with other-ratings of prosociality (Caprara, Alessandri, &  
395 Eisenberg, 2012).

### 396 **Statistical Analysis**

397 All the preceding models were estimated by maximum likelihood (ML) using *Mplus*  
398 program 7 (Muthén & Muthén, 1998-2012). Missing data were handled using full information  
399 maximum likelihood (FIML) estimation, which draws on all available data to estimate model  
400 parameters without imputing missing values (Enders, 2010). To evaluate the goodness of fit, we  
401 relied on different criteria. First we evaluated the values assumed by the  $\chi^2$  likelihood ratio  
402 statistic for the overall group. Given that we were interested in the relative fit of the above  
403 presented different models of change within G1 and G2, we investigated also the contribution  
404 offered by each group to the overall  $\chi^2$  value. The idea was to have a more careful indication of  
405 the impact of including the latent change factor in a specific group. We also investigated the  
406 values of the Comparative Fit Index (CFI), the Tucker Lewis Fit Index (TLI), the Root Mean  
407 Square Error of Approximation (RMSEA) with associated 90% confidence intervals, and the

408 Root Mean Square Residuals Standardized (SRMR). We accepted CFI and TLI values  $> 0.90$ ,  
409 RMSEA values  $< 0.08$ , and SRMR  $< 0.08$  (see Kline, 2016). Last, we used the Akaike  
410 Information Criteria (AIC; Burnham & Anderson, 2004). AIC rewards goodness of fit and  
411 includes a penalty that is an increasing function of the number of parameters estimated. Burnham  
412 and Anderson (2004) recommend rescaling all the observed AIC values before selecting the best  
413 fitting model according to the following formula:  $\Delta_i = AIC_i - AIC_{min}$ , where  $AIC_{min}$  is the  
414 minimum of the observed AIC values (among competing models). Practical guidelines suggest  
415 that a model which differs less than  $\Delta_i = 2$  from the best fitting model (which has  $\Delta_i = 0$ ) in a  
416 specific dataset is said to be “strongly supported by evidence”; if the difference lies between  $4 \leq$   
417 and  $\leq 7$  there is considerably less support, whereas models with  $\Delta_i > 10$  have essentially no  
418 support.

## 419 **Results**

420 We created two parallel forms of the prosociality scale by following the procedure  
421 described in Little, Cunningham, Shahar, and Widaman (2002, p. 166). In Table 1 we reported  
422 zero-order correlations, mean, standard deviation, reliability, skewness, and kurtosis for each  
423 parallel form. Cronbach’s alphas were good ( $\geq .74$ ), and correlations were all significant at  $p <$   
424  $.001$ . Indices of skewness and kurtosis for each parallel form in both groups did not exceed the  
425 value of  $|.61|$ , therefore the univariate distribution of all the eight variables (4 variables for 2  
426 groups) did not show substantial deviations from normal distribution (Curran, West, & Finch,  
427 1996). In order to check multivariate normality assumptions, we computed the Mardia’s two-  
428 sided multivariate test of fit for skewness and kurtosis. Given the well known tendency of this  
429 coefficient to easily reject  $H_0$ , we set alpha level at  $.001$  (in this regard, see Mecklin &  
430 Mundfrom, 2005; Villasenor Alva & Estrada, 2009). Results of Mardia’s two-sided multivariate

431 test of fit for skewness and kurtosis showed  $p$ -value of .010 and .030 respectively. Therefore the  
432 study variables showed an acceptable, even if not perfect, multivariate normality. Given the  
433 modest deviation from the normality assumption we decided to use Maximum Likelihood as the  
434 estimation method.

### 435 **Evaluating the impact of the intervention**

436 In Table 2 we reported the fit indexes for the three alternative models (see Appendices  
437 B1-B4 for annotated *Mplus* syntaxes for each of these). As hypothesized, Model 2 was the best  
438 fitting model. Trajectories of Prosociality for intervention and control group separately are  
439 plotted in Figure 3. The contribution of each group to overall chi-squared values highlighted how  
440 the lack of the slope factor in the intervention group results in a substantial misfit. On the  
441 contrary, adding a slope factor to control group did not significantly change the overall fit of the  
442 model [ $\Delta\chi^2(1) = 0.765, p = .381$ ]. Of interest, the intercept mean and variance were equal across  
443 groups (see Table 2, Model 4) suggesting the equivalence of G1 and G2 at T1.

444 In Figure 2 we reported all the parameters of the best fitting model, for both groups. The  
445 slope factor of intervention group has significant variance ( $\varphi_2 = .28, p < .001$ ) and a positive and  
446 significant mean ( $\kappa_2 = .19, p < .01$ ). Accordingly, we investigated the presence of the influence  
447 of the initial status on the treatment effect by regressing the slope onto the intercept in the  
448 intervention group. Note that this latter model has the same fit of Model2; however, by  
449 implementing a slope instead of a covariance, allows to control the effect of the individuals'  
450 initial status on their subsequent change. The significant effect of the intercept (i.e.,  $\beta = -.62, p <$   
451  $.001$ ) on the slope ( $R^2 = .38$ ) indicated that participants who were less prosocial at the beginning  
452 increased steeper in their prosociality after the intervention.

### 453 **Discussion**

454 Data collected in intervention programs are often limited to two points in time, namely  
455 before and after the delivery of the treatment (i.e., pretest and posttest). When analyzing  
456 intervention programs with two waves of data, researchers so far have mostly relied on ANOVA-  
457 family techniques which are flawed by requiring strong statistical assumptions and assuming that  
458 participants are affected in the same fashion by the intervention. Although a general, average  
459 effect of the program is often plausible and theoretically sounded, neglecting individual  
460 variability in responding to the treatment delivered can lead to partial or incorrect conclusions. In  
461 this article, we illustrated how latent variable models can help overcome these issues and provide  
462 the researcher with a clear model-building strategy to evaluate intervention programs based on a  
463 pretest-posttest design. To this aim, we outlined a sequence of four steps to be followed which  
464 correspond to substantive research questions (e.g., efficacy of the intervention, normative  
465 development, etc.). In particular, Model 1, Model 2, and Model 3 included a different  
466 combinations of no-change and latent change models in both the intervention and control group  
467 (see Table 2). These first three models are crucial to identify the best fitting trajectory of the  
468 targeted behaviour across the two groups. Next, Model 4 was aimed at ascertaining if the  
469 intervention and control group were equivalent on their initial status (both in terms of average  
470 starting level and inter-individual differences) or if, vice-versa, this similarity assumption should  
471 be relaxed.

472 Importantly, even if the intervention and control group differ in their initial level, this  
473 should not prevent the researcher to investigate the presence of moderation effects - such as a  
474 treatment-initial status interaction - if this is in line with the researcher's hypotheses. One of the  
475 major advantage of the proposed approach, indeed, is the possibility to model the intervention  
476 effect as a random latent variable (i.e., the second-order latent slope) characterized by both a

477 mean (i.e., the average change) and a variance (i.e., the degree of variability around the average  
478 effect). As already emphasized by Muthén and Curran (1997), a statistically significant variance  
479 indicates the presence of systematic individual differences in responding to the intervention  
480 program. Accordingly, the latent slope identified in the intervention group can be regressed onto  
481 the latent intercept in order to examine if participants with different initial values on the targeted  
482 behavior were differently affected by the program. Importantly, the analysis of the interaction  
483 effects does not need to be limited to the treatment-initial status interaction but can also include  
484 other external variables as moderators (e.g., sex, SES, IQ, behavioral problems, etc.; see Caprara  
485 et al., 2014).

486       To complement our formal presentation of the LCM procedure, we provided a real data  
487 example by re-analyzing the efficacy of the YPA, a universal intervention program aimed to  
488 promote prosociality in youths (Zuffianò et al., 2012). Our four-step analysis indicated that  
489 participants in the intervention group showed a small yet significant increase in their prosociality  
490 after six months, whereas students in the control group did not show any significant change (see  
491 Model 1, Model 2, and Model 3 in Table 2). Furthermore, participants in the intervention and  
492 control group did not differ in their initial levels of prosociality (Model 4), thereby ensuring the  
493 comparability of the two groups. These results replicated those reported by Zuffianò et al. (2012)  
494 and further attested to the effectiveness of the YPA in promoting prosociality among adolescents.  
495 Importantly, our results also indicated that there was a significant variability among participants  
496 in responding to the YPA program, as indicated by the significant variance of the latent slope.  
497 Accordingly, we explored the possibility of a treatment-initial status interaction. The significant  
498 prediction of the slope by the intercept indicated that, after six months, those participants  
499 showing lower initial levels of prosociality were more responsive to the intervention delivered.



500 On the contrary, participants who were already prosocial at the pretest remained overall stable in  
501 their high level of prosociality. Although this effect was not hypothesized *a priori*, we can  
502 speculate that less prosocial participants were more receptive to the content of the program  
503 because they appreciated more than their (prosocial) counterparts the discussion about the  
504 importance and benefits of prosociality, topics that, very likely, were relatively new for them.  
505 However, it is important to remark that the goal of the YPA was to merely sensitize youth to  
506 prosocial and empathic values and not to change their actual behaviors. Accordingly, our  
507 findings cannot be interpreted as an increase in prosocial conducts among less prosocial  
508 participants. Future studies are needed to examine to what extent the introduction of the YPA in  
509 more intensive school-based intervention programs (see Caprara et al., 2014) could represent a  
510 further strength to promote concrete prosocial behaviors.

### 511 **Limitations and Conclusions**

512 Albeit the advantages of the proposed LCM approach, several limitations should be  
513 acknowledged. First of all, the use of a second order LCM with two available time points  
514 requires that the construct is measured by more than one observed indicators. As such, this  
515 technique cannot be used for single-item measures (e.g., Lucas & Donnellan, 2012). Second, as  
516 any structural equation model, our SO-MG-LCM makes the strong assumption that the specified  
517 model should be true in the population. An assumption that is likely to be violated in empirical  
518 studies. Moreover, it requires to be empirically identified, and thus an entire set of constraints  
519 that leave aside substantive considerations. Third, in this paper, we restricted our attention to the  
520 two parallel indicators case to address the more basic situation that a researcher can encounter in  
521 the evaluation of a two time-point intervention. Our aim was indeed to confront researchers with  
522 the more restrictive case, in terms of model identification. The case in which only two observed

523 indicators are available is indeed, in our opinion, one of the more intimidating for researchers.  
524 Moreover, when a scale is composed of a long set of items or the target construct is a second  
525 order-construct loaded by two indicators (e.g., as in the case of psychological resilience; see  
526 Alessandri, Vecchione, Caprara, & Letzring, 2012), and the sample size is not optimal (in terms  
527 of the ratio estimated parameters / available subjects) it makes sense to conduct measurement  
528 invariance test as a preliminary step, “before” testing the intervention effect, and then use the  
529 approach described above to be parsimonious and maximize statistical power. In these  
530 circumstances, the interest is indeed on estimating the latent change model, and the invariance of  
531 indicators likely represent a prerequisite. Measurement invariance issues should never be  
532 undervalued by researchers. Instead, they should be routinely evaluated in preliminary research  
533 phases, and, when it is possible, incorporated in the measurement model specification phase.  
534 Finally, although intervention programs with two time points can still offer useful indications,  
535 the use of three (and possibly more) points in time provides the researcher with a stronger  
536 evidence to assess the actual efficacy of the program at different follow-up. Hence, the  
537 methodology described in this paper should be conceived as a support to take the best of pretest-  
538 posttest studies and not as an encouragement to collect only two-wave data. Third, SEM  
539 techniques usually require the use of relatively larger samples compared to classic ANOVA  
540 analyses. Therefore, our procedure may not be suited for the evaluation of intervention programs  
541 based on small samples. Although several rules of thumb have been proposed in the past for  
542 conducting SEM (e.g.,  $N > 100$ ), we encourage the use of Monte Carlo simulation studies for  
543 accurately planning the minimum sample size before starting the data collection (Bandalos &  
544 Leite, 2013; Wolf, Harrington, Clark, & Miller, 2013).

545           Despite these limitations, we believe that our LCM approach could represent a useful and  
546 easy-to-use methodology that should be in the toolbox of psychologists and prevention scientists.  
547 Several factors, often uncontrollable, can oblige the researcher to collect data from only two  
548 points in time. In front of this (less optimal) scenario, all is not lost and researchers should be  
549 aware that more accurate and informative analytical techniques than ANOVA are available to  
550 assess intervention programs based on a pretest-posttest design.  
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Provisional

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678

679 Table 1

680 *Descriptive Statistics and Zero-Order Correlations for Each Group Separately (N = 250)*

G1 (Intervention group)					
	(1)	(2)	(3)	(4)	<i>n</i>
(1) Pr1_T1	<i>.80</i>				137
(2) Pr2_T1	.81	<i>.80</i>			137
(3) Pr1_T2	.51	.52	<i>.74</i>		113
(4) Pr2_T2	.48	.59	.78	<i>.79</i>	113
<i>M</i>	3.44	3.49	3.62	3.71	-
<i>SD</i>	.75	.72	.60	.62	-
<i>Sk</i>	-.51	-.60	-.34	-.61	-
<i>Ku</i>	-.06	.43	-.13	.02	-
G2 (Control group)					
	(1)	(2)	(3)	(4)	<i>n</i>
(1) Pr1_T1	<i>.77</i>				113
(2) Pr2_T1	.76	<i>.76</i>			113
(3) Pr1_T2	.74	.67	<i>.75</i>		91
(4) Pr2_T2	.65	.73	.78	<i>.75</i>	91
<i>M</i>	3.42	3.49	3.49	3.55	-
<i>SD</i>	.70	.71	.65	.64	-
<i>Sk</i>	-.39	-.55	-.27	-.41	-
<i>Ku</i>	-.12	-.01	-.44	-.49	-

681 *Note.* Pr1\_T1 = Parallel form 1 of the Prosociality scale at Time 1; Pr2\_T1 = Parallel form 2 of  
 682 the Prosociality scale at Time 1; Pr1\_T2 = Parallel form 1 of the Prosociality scale at Time 2;  
 683 Pr2\_T2 = Parallel form 2 of the Prosociality scale at Time 2; *M* = mean; *SD* = standard  
 684 deviation; *Sk* = skewness; *Ku* = kurtosis; *n* = number of subjects for each parallel form in each  
 685 group.

686 Italicized numbers in diagonal are reliability coefficients (Cronbach's  $\alpha$ ).

687 All correlations were significant at  $p \leq .001$ .

688

Table 2

*Goodness-of-fit Indices for the Tested Models*

	NFP	$\chi^2(df)$	$\chi^2G1(df)$	$\chi^2G2(df)$	CFI	TLI	RMSEA [90% CI]	SRMR	AIC ( $\Delta$ AIC)
Model 1 (G1 = A; G2 = A)	16	22.826(12)*	18.779(6)**	4.047(6) <sup>n.s.</sup>	.981	.981	.085 [.026, .138]	.081	1318.690(9.68)
<b>Model 2 (G1 = B; G2 = A)</b>	<b>17</b>	<b>11.143(11)<sup>n.s.</sup></b>	<b>7.096(5)<sup>n.s.</sup></b>	<b>4.047(6)<sup>n.s.</sup></b>	<b>1.00</b>	<b>1.00</b>	<b>.010</b> [.000, .095]	<b>.047</b>	<b>1309.007(0)</b>
Model 3 (G1 = B; G2 = B)	18	10.378(10) <sup>n.s.</sup>	7.096(5) <sup>n.s.</sup>	3.282(5) <sup>n.s.</sup>	.999	.999	.017 [.000, .099]	.045	1310.242(1.24)
	NFP	$\chi^2(df)$	$\chi^2G1(df)$	$\chi^2G2(df)$	CFI	TLI	RMSEA [90% CI]	SRMR	$\Delta\chi^2(\Delta df)$ of M4 vs M2
Model 4	15	13.279(13) <sup>n.s.</sup>	7.920(6) <sup>n.s.</sup>	5.359(7) <sup>n.s.</sup>	1.00	1.00	.013 [.000, .090]	.160	2.136(2) <sup>n.s.</sup>

*Note.* G1 = intervention group; G2 = control group; A = no-change model; B = latent change model; NFP = Number of Free Parameters;  $df$  = degrees of freedom;  $\chi^2G1$  = contribution of G1 to the overall chi-square value;  $\chi^2G2$  = contribution of G2 to the overall chi-square value; CFI = Comparative Fit Index; TLI = Tucker-Lewis Index; RMSEA = Root Mean Square Error of Approximation; CI = confidence intervals; SRMR = Standardized Root Mean Square Residual; AIC = Akaike's Information Criterion.  $\Delta$ AIC = Difference in AIC between the best fitting model (i.e., Model 2; highlighted in bold) and each model.

Model 4 = Model 2 with mean and variance of intercepts constrained to be equal across groups.

The full *Mplus* syntaxes for these models were reported in Appendices.

<sup>n.s.</sup>  $p > .05$ ; \*  $p < .05$ ; \*\*  $p < .01$ .

**Model A. The no-Change Model**

**Model B. The Latent Change Model**

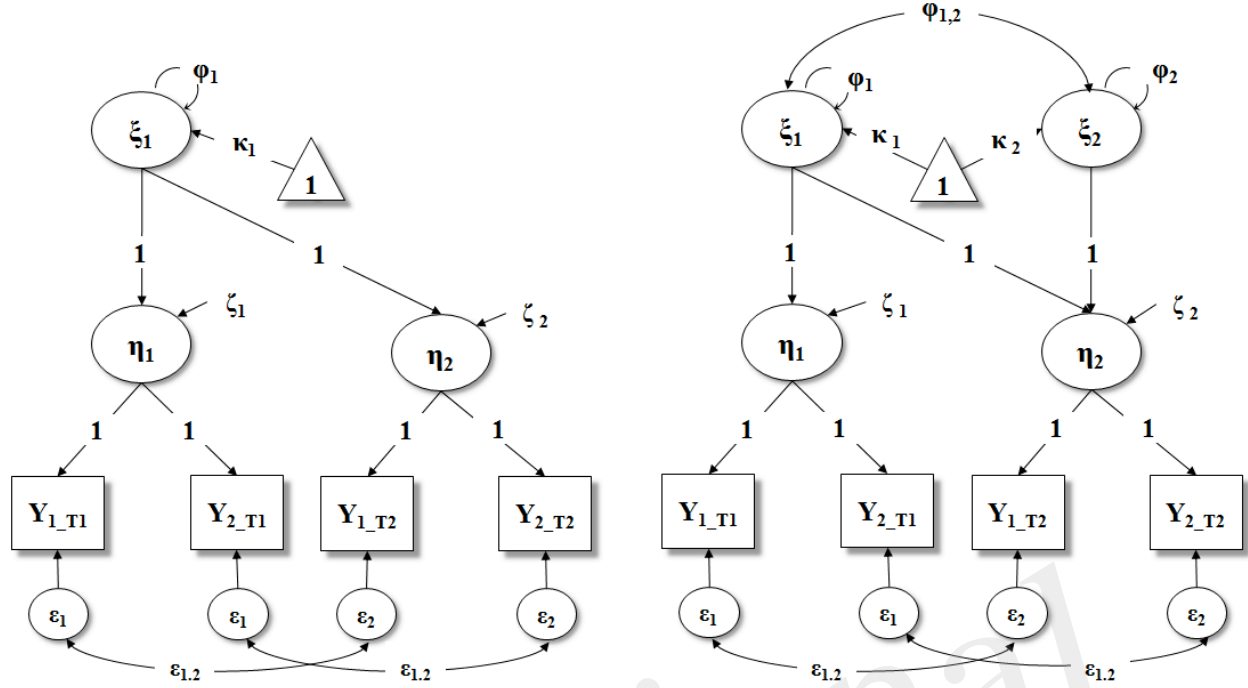


Figure 1. Second Order Latent Curve Models with parallel indicators (i.e., residual variances of observed indicators are equal within the same latent variable:  $\varepsilon_1$  within  $\eta_1$  and  $\varepsilon_2$  within  $\eta_2$ ). All the intercepts of the observed indicators ( $Y$ ) and endogenous latent variables ( $\eta$ ) are fixed to 0 (not reported in figure). In model A, the residual variances of  $\eta_1$  and  $\eta_2$  ( $\zeta_1$  and  $\zeta_2$ , respectively) are freely estimated, whereas in Model B they are fixed to 0.

$\xi_1$  = intercept;  $\xi_2$  = slope;  $\kappa_1$  = mean of intercept;  $\kappa_2$  = mean of slope;  $\phi_1$  = variance of intercept;  $\phi_2$  = variance of slope;  $\phi_{1,2}$  = covariance between intercept and slope;  $\eta_1$  = latent variable at T1;  $\eta_2$  = latent variable at T2;  $Y$  = observed indicator of  $\eta$ ;  $\varepsilon$  = residual variance/covariance of observed indicators.

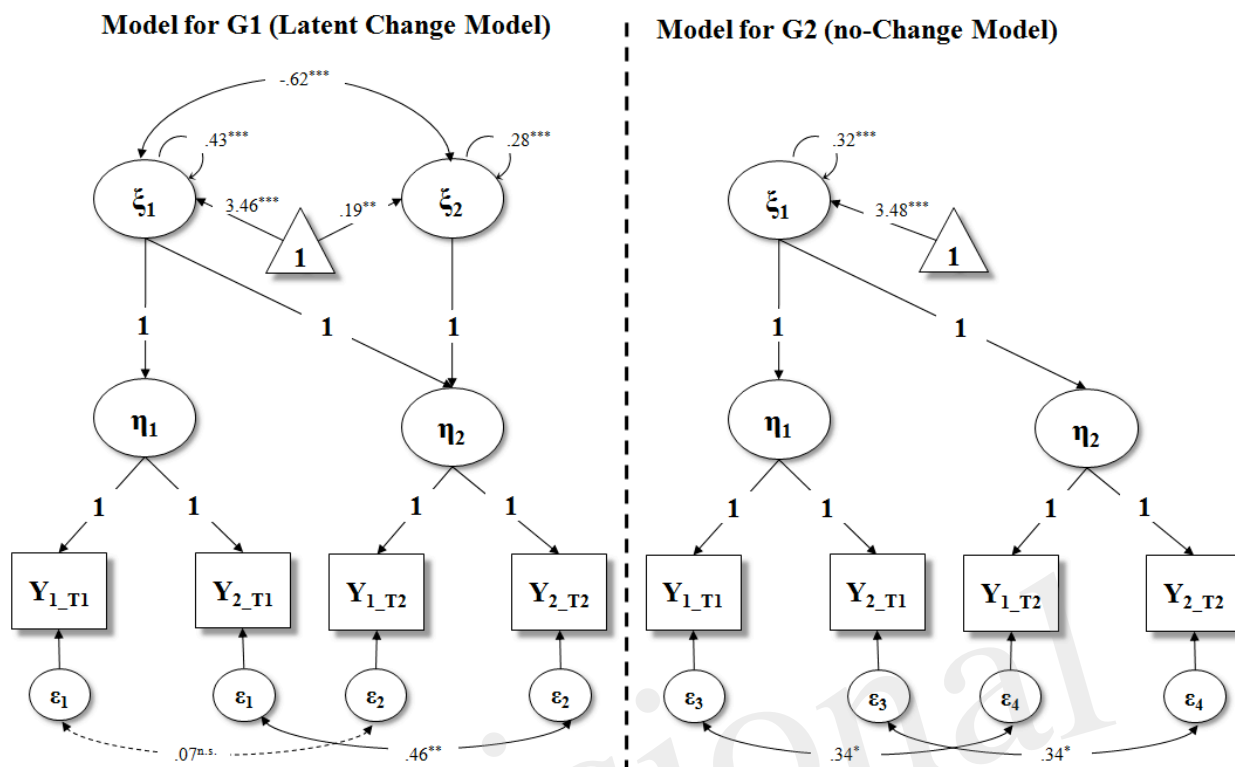
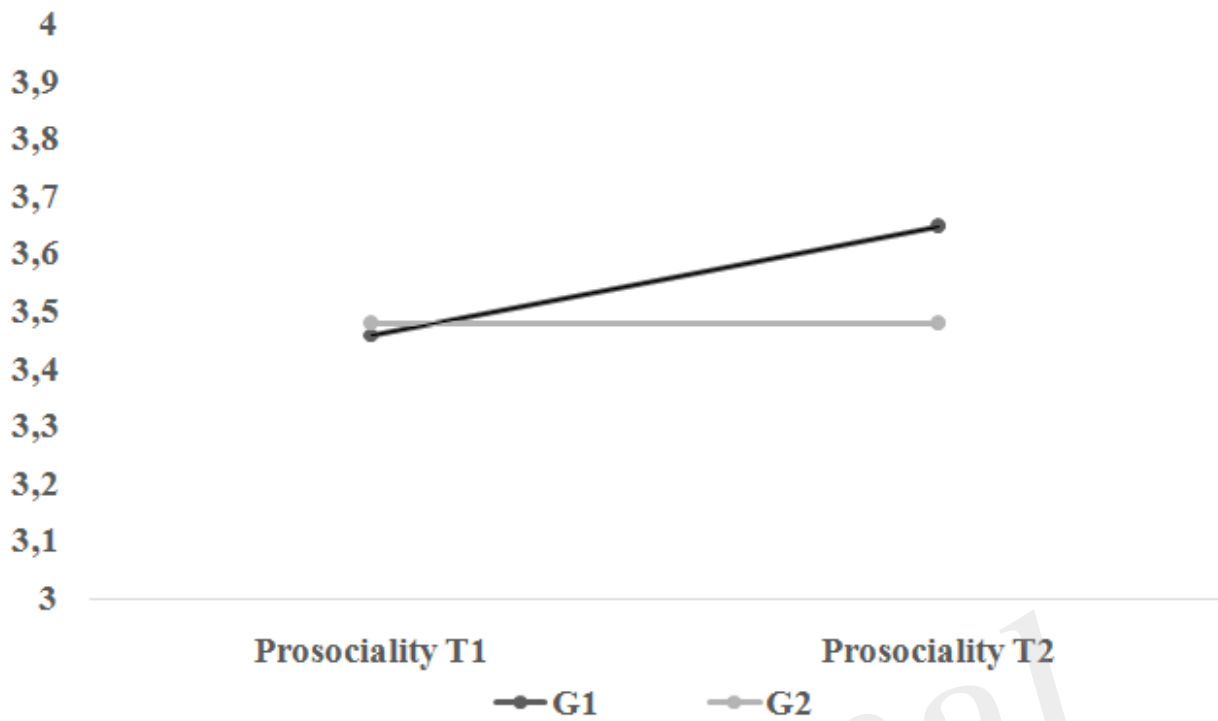


Figure 2. Best fitting Second Order Multiple Group Latent Curve Model with parameter estimates for both groups. Parameters in bold were fixed.

This model has parallel indicators (i.e., residual variances of observed indicators are equal within the same latent variable, in each group). All the intercepts of the observed indicators (Y) and endogenous latent variables ( $\eta$ ) are fixed to 0 (not reported in figure).

G1 = intervention group; G2 = control group;  $\xi_1$  = intercept of prosociality;  $\xi_2$  = slope of prosociality;  $\eta_1$  = prosociality at T1;  $\eta_2$  = prosociality at T2; Y = observed indicator of prosociality;  $\varepsilon$  = residual variance of observed indicator.

n.s.  $p > .05$ ; \*  $p < .05$ ; \*\*  $p < .01$ ; \*\*\*  $p < .001$ .



*Figure 3.* Trajectories of prosocial behavior for intervention group (G1) and control group (G2) in the best fitting model (Model 2 in Table 2).

## Appendix A

### Literature search strategies

**1a)** Enter the following Boolean/Phrase in PsycINFO database:

*AB intervention AND AB pretest AND AB posttest AND AB follow-up*

**1b)** Set the following limiter -> Publication Year: 2006-2016

**2a)** Enter the following Boolean/Phrase in PsycINFO database:

*AB intervention AND AB pretest AND AB posttest NOT AB follow-up*

**2b)** Set the following limiter -> Publication Year: 2006-2016

## Appendix B1

### Mplus syntax for Model 1 in Table 2.

Title: Article on two time points;  
Model 1 (G1 = no-change G2 = no-change);

Data: file is Frontiers.dat;  
Analysis: type is general;  
Estimator=ML;

Variable: names are  
nord school cond class gender age  
PR1\_T1 PR2\_T1 PR1\_T2 PR2\_T2;

usevariables are PR1\_T1 PR2\_T1  
PR1\_T2 PR2\_T2;

missing are all (99);

grouping is cond(1, 2); !(1 = intervention; 2 = control)

Model:  
PROS1 by PR1\_T1@1 PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1; PR2\_T1;

PROS2 by PR1\_T2@1 PR2\_T2@1;  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2; PR2\_T2;

I by PROS1@1 PROS2@1;  
[I]; I;  
PROS1;  
PROS2;  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

model 1:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1 (a); PR2\_T1 (a);

PROS2 by PR1\_T2@1; pros2 by PR2\_T2@1;  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2 (b); PR2\_T2 (b);

I by PROS1@1 PROS2@1;  
[I]; I;  
PROS1;  
PROS2;  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

model 2:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1 (a1); PR2\_T1 (a1);

PROS2 by PR1\_T2@1; pros2 by PR2\_T2@1;  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2 (b1); PR2\_T2 (b1);

I by PROS1@1 PROS2@1;  
[I]; I;  
PROS1;  
PROS2;  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

Output: standardized sampstat tech1 mod(3.84);

## Appendix B2

### **Mplus syntax for Model 2 in Table 2 (the best fitting model).**

Title: Article on two time points;  
Model 2 (G1 = latent change G2 = no-change);

Data: file is Frontiers.dat;  
Analysis: type is general;



Estimator=ML;

Variable: names are  
nord school cond class gender age  
PR1\_T1 PR2\_T1 PR1\_T2 PR2\_T2;

usevariables are PR1\_T1 PR2\_T1  
PR1\_T2 PR2\_T2;

missing are all (99);

grouping is cond(1, 2); !(1 = intervention; 2 = control)

Model:

PROS1 by PR1\_T1@1 PR2\_T1@1; !PROS1 = ETA AT T1  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1; PR2\_T1;

PROS2 by PR1\_T2@1 PR2\_T2@1; !PROS2 = ETA AT T2  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2; PR2\_T2;

I by PROS1@1 PROS2@1; !I = INTERCEPT  
[I]; I;  
PROS1;  
PROS2;  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

s by PROS1 @0; s by PROS2@1; !S = SLOPE  
s; [s];  
i with s;

model 1:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1 (a); PR2\_T1 (a); !PARALLEL INDICATORS FOR ETA AT T1 (IN G1)

PROS2 by PR1\_T2@1; pros2 by PR2\_T2@1;  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2 (b); PR2\_T2 (b); !PARALLEL INDICATORS FOR ETA AT T2 (IN G1)

I by PROS1@1 PROS2@1;  
[I]; I;  
PROS1@0; !CONSTRAINED TO ZERO  
PROS2@0; !CONSTRAINED TO ZERO  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

```
!!SYNTAX FOR SLOPE
s by PROS1@0; s by PROS2@1;
s; [s];
!!UNCONDITIONAL MODEL (THE ONE REPORTED IN FIGURE 2)
i with s;
!!CONDITIONAL MODEL (CONTROLLING FOR THE INFLUENCE OF INITIAL STATUS+
!!s on i;
```

model 2:

```
PROS1 by PR1_T1@1; PROS1 by PR2_T1@1;
[PR1_T1@0]; [PR2_T1@0];
PR1_T1 (a1); PR2_T1 (a1); !PARALLEL INDICATORS FOR ETA AT T1 (IN G2)
```

```
PROS2 by PR1_T2@1; pros2 by PR2_T2@1;
[PR1_T2@0]; [PR2_T2@0];
PR1_T2 (b1); PR2_T2 (b1); !PARALLEL INDICATORS FOR ETA AT T2 (IN G2)
```

```
I by PROS1@1 PROS2@1;
[I]; I;
PROS1;
PROS2;
[PROS1@0];
[PROS2@0];
```

```
PR1_T1 with PR1_T2;
PR2_T1 with PR2_T2;
```

```
!!SYNTAX FOR SLOPE (NOTE THAT ALL PARAMETERS ARE CONSTRAINED TO BE ZERO IN THIS
GROUP)
```

```
s by PROS1@0; s by PROS2@0;
s@0; [s@0];
i with s @0;
```

```
Output: standardized sampstat tech1 mod(3.84);
```

### Appendix B3

#### **Mplus syntax for Model 3 in Table 2.**

```
Title: Article on two time points;
Model 3 (G1 = latent change G2 = latent change);
```

```
Data: file is Frontiers.dat;
Analysis: type is general;
Estimator=ML;
```

```
Variable: names are
nord school cond class gender age
PR1_T1 PR2_T1 PR1_T2 PR2_T2;
```

```
usevariables are PR1_T1 PR2_T1
PR1_T2 PR2_T2;
```

```
missing are all (99);
```

grouping is cond(1, 2); !(1 = intervention; 2 = control)

Model:

PROS1 by PR1\_T1@1 PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1; PR2\_T1;

PROS2 by PR1\_T2@1 PR2\_T2@1;  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2; PR2\_T2;

I by PROS1@1 PROS2@1;  
[I]; I;  
PROS1;  
PROS2;  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

!!ADD SLOPE SYNTAX  
s by PROS1 @0; s by PROS2@1;  
s; [s];  
i with s;

model 1:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1 (a); PR2\_T1 (a);

PROS2 by PR1\_T2@1; pros2 by PR2\_T2@1;  
[PR1\_T2@0]; [PR2\_T2@0];  
PR1\_T2 (b); PR2\_T2 (b);

I by PROS1@1 PROS2@1;  
[I]; I;  
PROS1@0; !CONSTRAINED TO ZERO  
PROS2@0; !CONSTRAINED TO ZERO  
[PROS1@0];  
[PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

!!ADD SLOPE SYNTAX  
s by PROS1 @0; s by PROS2@1;  
s; [s];  
i with s;

model 2:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
[PR1\_T1@0]; [PR2\_T1@0];  
PR1\_T1 (a1); PR2\_T1 (a1);

```

PROS2 by PR1_T2@1; pros2 by PR2_T2@1;
[PR1_T2@0]; [PR2_T2@0];
PR1_T2 (b1); PR2_T2 (b1);

```

```

I by PROS1@1 PROS2@1;
[I]; I;
PROS1@0; !CONSTRAINED TO ZERO
PROS2@0; !CONSTRAINED TO ZERO
[PROS1@0];
[PROS2@0];

```

```

PR1_T1 with PR1_T2;
PR2_T1 with PR2_T2;

```

```

!!ADD SLOPE SYNTAX
s by PROS1 @0; s by PROS2@1;
s; [s];
i with s;

```

Output: standardized sampstat tech1 mod(3.84);

## Appendix B4

### **Mplus syntax for Model 4 in Table 2.**

Title: Article on two time points;  
 Model 4 (G1 = latent change G2 = no-change); !like model 2  
 intercepts are constrained to be equal across groups;

Data: file is Frontiers.dat;  
 Analysis: type is general;  
 Estimator=ML;

Variable: names are  
 nord school cond class gender age  
 PR1\_T1 PR2\_T1 PR1\_T2 PR2\_T2;

usevariables are PR1\_T1 PR2\_T1  
 PR1\_T2 PR2\_T2;

missing are all (99);

grouping is cond(1, 2); !(1 = intervention; 2 = control)

Model:  
 PROS1 by PR1\_T1@1 PR2\_T1@1;  
 [PR1\_T1@0]; [PR2\_T1@0];  
 PR1\_T1; PR2\_T1;

PROS2 by PR1\_T2@1 PR2\_T2@1;  
 [PR1\_T2@0]; [PR2\_T2@0];  
 PR1\_T2; PR2\_T2;

I by PROS1@1 PROS2@1;

[I]; I;  
 PROS1;  
 PROS2;  
 [PROS1@0];  
 [PROS2@0];

PR1\_T1 with PR1\_T2;  
 PR2\_T1 with PR2\_T2;

!!ADD SLOPE SYNTAX  
 s by PROS1 @0; s by PROS2@1;  
 s; [s];  
 i with s;

model 1:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
 [PR1\_T1@0]; [PR2\_T1@0];  
 PR1\_T1 (a); PR2\_T1 (a);

PROS2 by PR1\_T2@1; pros2 by PR2\_T2@1;  
 [PR1\_T2@0]; [PR2\_T2@0];  
 PR1\_T2 (b); PR2\_T2 (b);

I by PROS1@1 PROS2@1;  
 [I] (i\_mean); I (i\_var);  
 PROS1@0; !CONSTRAINED TO ZERO  
 PROS2@0; !CONSTRAINED TO ZERO  
 [PROS1@0];  
 [PROS2@0];

PR1\_T1 with PR1\_T2;  
 PR2\_T1 with PR2\_T2;

!!ADD SLOPE SYNTAX  
 s by PROS1 @0; s by PROS2@1;  
 s; [s];  
 i with s;

model 2:

PROS1 by PR1\_T1@1; PROS1 by PR2\_T1@1;  
 [PR1\_T1@0]; [PR2\_T1@0];  
 PR1\_T1 (a1); PR2\_T1 (a1);

PROS2 by PR1\_T2@1; pros2 by PR2\_T2@1;  
 [PR1\_T2@0]; [PR2\_T2@0];  
 PR1\_T2 (b1); PR2\_T2 (b1);

I by PROS1@1 PROS2@1;  
 [I] (i\_mean); I (i\_var);  
 PROS1;  
 PROS2;  
 [PROS1@0];  
 [PROS2@0];

PR1\_T1 with PR1\_T2;  
PR2\_T1 with PR2\_T2;

!!ADD SLOPE SYNTAX (CONSTRAINED TO ZERO)  
s by PROS1 @0; s by PROS2@0;  
s@0; [s@0];  
i with s @0;

Output: standardized sampstat tech1 mod(3.84);

Provisional