

1 **Imagery Intervention to Increase Flow State and Performance in Competition**

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Abstract

The purpose of this study was to examine the effectiveness of an imagery intervention for enhancing the experience of flow state and performance in junior athletes. On the basis of previous results, a tailored imagery script was developed to target critical flow dimensions, namely challenge-skills balance, clear goals, concentration on the task, and sense of control. It was hypothesised that the use of cognitive and motivational imagery would increase specific flow dimensions, which, in turn, would enhance flow state and competition performance. Participants in a single-case, multiple baseline A-B design study were four nationally ranked athletes. Following a 6-week baseline phase monitoring flow state and performance and a 6-week intervention phase, three participants showed a sustained increase in flow experiences, and all four participants improved their service performance, groundstroke performance, and ranking-list position.

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26 Imagery Intervention to Increase Flow State and Performance in Competition

27 Experiences and sport performance are closely intertwined and optimal experience is
28 often related to superior performance (Jackson & Csikszentmihalyi, 1999). Athletes competing
29 at their best have characterised their ideal performance state as being totally absorbed in and
30 focused on the task, feeling confident and in control, while their body works effortlessly and
31 automatically (Jackson, 1995; Jackson & Csikszentmihalyi, 1999). Optimal experiences in
32 sport have been conceptualised as flow state (Kimiecik & Stein, 1992). The aim of this study
33 was to evaluate the efficacy of a tailored imagery intervention to increase flow state and
34 performance in competition.

35 Nine dimensions have been proposed to reflect flow experiences in sport, namely
36 challenge-skills balance, action-awareness merging, clear goals, unambiguous feedback,
37 concentration on the task at hand, sense of control, loss of self-consciousness, time
38 transformation, and autotelic experience (Jackson, 1995; Jackson & Eklund, 2002). Nakamura
39 and Csikszentmihalyi (2002) reviewed the functions of the flow dimensions, proposing a
40 distinction between dimensions that are crucial to get into flow, labelled flow conditions, and
41 dimensions that signify the phenomenological experience during optimal states, labelled flow
42 characteristics. Hence, challenge-skills balance, clear goals, and unambiguous feedback
43 indicate proximal conditions conducive to flow, whereas the remaining dimensions reflect
44 flow characteristics.

45 In a large-scale study with a Japanese sample involved in various physical activities,
46 Kawabata and Mallett (2011) found some initial evidence to support the hypothesis that these
47 dimensions indeed serve different functions of flow state. In sport, a number of research
48 studies examined the contribution of flow dimensions to flow in national and international
49 athletes (Jackson, Thomas, Marsh, & Smethurst, 2001), Masters athletes (Jackson, Kimiecik,

50 Ford, & Marsh, 1998), and junior athletes (Koehn, Morris, & Watt, in press). Canonical
51 correlation analyses employed across the three studies showed similar findings with strongest
52 loadings for challenge-skills balance, sense of control, concentration on the task at hand, and
53 clear goals, and weakest loadings for loss of self-consciousness and time transformation
54 (Jackson et al., 1998; Jackson et al., 2001; Koehn et al., in press). The findings partly
55 supported contentions by Nakamura and Csikszentmihalyi (2002) that challenge-skills balance
56 and clear goals are central for the flow experience across sports. The theoretical distinction
57 between antecedents and concomitants of flow in conjunction with previous research findings
58 provides vital information for the development of interventions and the targeting of main flow
59 dimensions to enhance flow state.

60 A series of intervention studies that aimed to increase both flow state and performance
61 employed hypnosis as the treatment of choice (Lindsay, Maynard, & Thomas, 2005; Pates,
62 Cummings, & Maynard, 2002; Pates, Oliver, & Maynard, 2001). Using a similar intervention
63 procedure, participants reflected on their experiences during best performances. As part of a
64 multi-stage hypnosis treatment, athletes' memory of a positive experience was conditioned to
65 a trigger, such as a golf club (Pates et al., 2001) or a bicycle handlebar (Lindsay et al., 2005).
66 The trigger was designed to set off best-performance experiences and, in turn, enhance flow
67 and performance. Although Pates and colleagues (2001, 2002) generally found positive
68 intervention effects on flow, this approach is methodologically problematic, because none of
69 the studies evaluated the quality of participants' reports of best performance experiences as
70 being identical with or akin to flow state. Therefore, the trigger could be attributed to a state
71 that was substantially different from, or merely a resemblance of, flow. Future intervention
72 studies need to carefully choose and measure dependent variables. Ideally, theory or research
73 findings should inform researchers' decisions on the targeted outcome variables.

74 Pates, Karageorghis, Fryer, and Maynard (2003) investigated the effect of performance
75 imagery and self-selected music on flow and performance in a training task with three college
76 netball players between 12 and 19 years of age. Pates et al. (2003) outlined to the participants
77 what is considered a flow state. Participants were instructed to recall images and experiences
78 that reflected a personal flow experience, and rehearsed images of flow and performance. In
79 addition, participants selected music that they thought would correspond to and facilitate their
80 personal flow experience. In the post-intervention phase, participants performed another set of
81 netball shots while listening to self-selected music. The results showed that two out of three
82 participants increased in flow and all three enhanced their performance. Imagery appeared to
83 be an effective alternative to hypnosis interventions, which require professional guidance to
84 establish the trigger technique. It would be beneficial for athletes and coaches to consider
85 using imagery over hypnosis techniques that can be readily incorporated into training and
86 competition preparation.

87 Investigations into the relationship between imagery and flow in competition have
88 rarely been carried out. Jackson et al. (2001) found moderate-to-strong positive correlations
89 between imagery and dispositional flow. Within a sample of junior tennis players, Koehn et al.
90 (in press) investigated the relationship between five imagery functions, namely cognitive
91 specific (CS), cognitive general (CG), motivational specific (MS), motivational general-
92 arousal (MG-A), and motivational general-mastery (MG-M), as measured by the Sport
93 Imagery Questionnaire (SIQ; Hall, Mack, Paivio, & Hausenblas, 1998) and flow, as measured
94 by the Dispositional Flow Scale-2 (DFS-2; Jackson & Eklund, 2002). Imagery types of CS (r
95 = .51), CG (r = .50), and MG-M (r = .48) showed strongest correlations with flow on a global
96 level, and with challenge-skill balance, clear goals, concentration on the task at hand, sense of
97 control, and autotelic experience on a subscale level. The results indicated that imagery types
98 have the potential to be applied as a main vehicle to increase flow.

99 The applied model of imagery (Martin, Moritz, & Hall, 1999) can be used as a guide
100 for applied research interventions. The effectiveness of the use of the five imagery types is
101 related to specific outcomes. For instance, using CS imagery is beneficial for skill-related
102 outcomes, CG imagery for improving strategies and tactics, and MG-M imagery is most
103 suitable for enhancing athletes' confidence (Hall, 2001). Imagery represents an interesting
104 approach for applied sport psychologists and practitioners as a means to enhance optimal
105 experience and improve performance in competitive athletes. Researchers have identified
106 specific flow dimensions that reflect core aspects of flow in sports (Jackson et al., 1998;
107 Jackson et al., 2001; Koehn et al., in press). Results showed that both cognitive and
108 motivational imagery types were related to flow (Koehn et al., in press). Morris, Spittle, and
109 Watt (2005) advocated that "imagery, which is specifically directed at the antecedents in a
110 particular sport context, should enhance the experience of flow" (p. 327). The MG-M imagery
111 type, in particular, should play an important part in an imagery intervention due to positive
112 links to flow (Koehn et al., in press) and performance (Craft, Magyar, Becker, & Feltz, 2003).
113 The purpose of this study was to examine the effectiveness of an imagery intervention for
114 enhancing the experience of flow state and performance in junior athletes. In this study, a
115 tailored imagery script was developed to target critical flow dimensions, namely challenge-
116 skills balance, clear goals, concentration on the task, and sense of control (Koehn et al., in
117 press). To increase the ecological validity of the study, the intervention was conducted in a
118 competition setting. It was predicted that the use of specific imagery types, including CS, CG,
119 and MG-M, would facilitate the experience of relevant flow dimensions, which, in turn, would
120 increase flow state and competition performance.

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124 **Method**125 ***Participants***

126 Four male tennis players between 13 and 15 years ($M = 14$) of age participated in this
127 study. All participants had at least three years of tennis and two years of competition
128 experience. The participants entered at least six tournaments per year, which were mainly
129 ranking-list tournaments, including national championships. At the outset of the study, the
130 participants' rankings ranged between 203 and 244 in the Australian National Junior Ranking
131 list.

132 ***Design***

133 A nonconcurrent, single-case A-B multiple-baseline design (Barlow & Herson, 1984;
134 Kazdin, 1982) was employed to evaluate the efficacy of the imagery intervention. Hrycaiko
135 and Martin (1996) advocate that using single-case designs facilitate the examination of
136 intervention effects as it has the advantages of involving a small sample size, participants act
137 as their own controls, and small changes in the outcome variables can be observed. The
138 competition setting required that baseline and post-intervention phases were implemented
139 individually for each participant at different points in time. The baseline phase varied in length,
140 between four and six weeks, until each participant met a stability criterion, which was attained
141 once the competition flow states were steady or revealed a trend that was opposite to the
142 intended treatment effect (Hrycaiko & Martin, 1996; Kazdin, 1982). A concluding interview
143 with the participants was incorporated as part of the social validation of the study. Previous
144 studies have demonstrated that this type of design is beneficial for applied research to test
145 intervention effects on variables, such as flow and performance, in real-world contexts (e.g.,
146 Lindsay et al., 2005).

147 **Measures**

148 **Sport Imagery Ability Measure (SIAM; Watt, Morris, & Andersen, 2004).** The
149 SIAM assesses athletes' imagery ability in sport. Athletes are asked to imagine four generic
150 sport scenes, consisting of the home venue, a successful competition, a slow start, and a
151 training session, for 60 seconds each. Following imagery of each scene, athletes respond to a
152 set of twelve questions reflecting several imagery dimensions (control, vividness, ease, speed
153 of generation, and duration of the image) and imagery modalities (kinaesthetic, tactile, visual,
154 auditory, olfactory, and gustatory senses associated with the image), and imagery of emotion.
155 Responses are made on 100-mm analogue scales, anchored by opposing statements (e.g., *no*
156 *image* and *perfectly clear image*). The scale ranges between 0 (left end of the scale) and 100
157 (right end of the scale), with the number of mm being equivalent to the number of points. The
158 scale ranges between 0 (left end of the scale) and 100 (right end of scale). The total score for
159 each subscale (i.e., the summed score of each item across the four scenes) varies between 0
160 and 400 points. Through the validation process, the SIAM revealed alpha values between .66
161 and .87 (Watt et al., 2004).

162 **Flow State Scale-2 (FSS-2; Jackson & Eklund, 2002).** The FSS-2 examines the
163 intensity of flow state in one specific activity or event. The FSS-2 consists of 36 items
164 including nine subscales and four items per subscale. The response format is a 5-point Likert
165 scale anchored by 1 (*strongly disagree*) and 5 (*strongly agree*), with 3 as *neither agree nor*
166 *disagree*. The subscales have shown acceptable internal consistency (Jackson & Eklund, 2002).
167 For this study, the FSS-2 was modified by including two additional response scales to the
168 original response scale to assess the flow intensity for each tennis competition set played.
169 Accordingly, participants responded to each item twice or three times, depending on the
170 number of sets played. The overall flow score per match was calculated as the mean from two
171 or three response scales. Previous research found flow to be an ephemeral and volatile state

172 (Jackson, 1995). Measuring flow once for the entire competition would imply that flow was a
173 constant, invariable state. In addition, reports on flow and subjective experience can be
174 influenced by performance outcomes (Brewer, Van Raalte, Linder, & Van Raalte, 1991;
175 Jackson et al., 2001). Therefore, players who won the final set may report a higher flow state
176 than they experienced in the match, whereas the opposite may apply for players who lost the
177 final set. The validity of the amended FSS-2 should not be compromised, because there were
178 no changes in item or response format. This modification appears to be appropriate to gain
179 accurate results on athletes' flow experiences during competition. The accuracy of flow
180 measurements is important in connection with the use of a single-case design, because
181 imprecise measurements can lead to misinterpretations of the intervention effect.

182 **Competition performance.** Competition performance was assessed by focusing on
183 the number of winners hit by serves, and forehand and backhand groundstrokes. Winning
184 shots were considered direct winners, as well as shots opponents were unable to reach or hit
185 the ball in a controlled manner (e.g., hitting the ball on the frame). Competition matches were
186 videotaped and subsequently analysed performance by transcribing the outcome of service and
187 groundstroke shots on paper. Rallies that ended with either player hitting a volley at the net
188 position were not included in the analysis, because net play was not part of the performance
189 assessment. The service and groundstroke performance per match was calculated as a
190 percentage score. The number of service winners (i.e., number of participants' services not
191 returned in court) was divided by the overall number of service points played, times one
192 hundred. Similarly, the number of groundstroke winners was divided by the overall number of
193 rallies finished with a baseline shot, times one hundred.

194 In addition, participants' ranking-list position was assessed at the onset and conclusion
195 of the study as an objective and ecologically-valid measure of overall performance. All
196 competition matches were part of junior ranking-list tournaments, whose outcomes would

197 affect their position in the rankings. The junior rankings are managed and published by the
198 national governing body, Tennis Australia. At the time of the study, the Australian Junior
199 Rankings list comprised 1,780 junior players between 10 and 18 years of age.

200 **Adherence log.** An adherence log was handed out to the participants to keep track of
201 their experiences during the imagery sessions. The booklet provided space to comment on the
202 imagery session during the intervention phase and to rate the vividness and clarity of the
203 images on 11-point Likert scales (-5 = much weaker; +5 = much stronger) in comparison to
204 their flow and performance imagery of the previous session.

205 **Social validation interview.** Following the post-intervention phase, social-validation
206 interviews were conducted with each participant reflecting on experiences and performance
207 during the study and potential changes, participants' commitment to using imagery, additional
208 factors which may have affected their flow experience and performance, and whether
209 participants noticed any changes in relation to their mental preparation for competition
210 matches. Interview responses were transcribed verbatim and examined for statements related
211 to these issues. Each interviews lasted for about 25 minutes.

212 *Imagery Intervention*

213 On the basis of correlational findings by Koehn et al. (in press), a standardised imagery
214 script for the intervention was developed reflecting significant links between imagery use and
215 flow dimensions (including challenge-skills balance, clear goals, concentration on the task at
216 hand, and sense of control) in tennis competitions. Imagery was used as a vehicle to increase
217 flow and performance with a focus on CS (combining flow aspects and technical performance),
218 CG (combining flow aspects and tactical performance), and MG-M (combining flow aspects
219 with being confident and a successful performance) imagery types. Two common performance
220 situations of tennis service and groundstroke performances were integrated into the imagery
221 script. An example of the cognitive-specific function linked with challenge-skills balance read

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222 “You know you have the skills to hit the ball into the anticipated target area”; an example of
223 cognitive-general imagery linked with clear goals read “The closer the ball comes the more
224 focused you are, knowing that you will hit the ball into a specific target area”; an example of
225 motivation general-mastery imagery linked with challenge-skills balance, and confidence read:
226 “You are confident in your skills, even in tough match situations, knowing that you have the
227 ability to meet the challenge and be successful.” An example of the imagery script used is
228 available from the authors.

229 Relaxation is an important precondition for inducing altered states that aim to change
230 individuals’ experiences and thought processes (Kirsch, 1994). Despite early findings that
231 relaxation training can have potentially negative effects, for instance inducing anxiety (Heide
232 & Borkovec, 1983), the sport psychology literature outlines relaxation and imagery as
233 techniques that facilitate optimal experience and performance (Hardy, Jones, & Gould, 1996;
234 Unestahl, 1983). Furthermore, relaxation is considered to have positive cognitive effects, for
235 instance it allows athletes to clear their minds, particularly when relaxation methods are used
236 prior to imagery techniques (Holmes & Collins, 2001). Previous intervention studies have
237 frequently used relaxation and imagery in order to enhance the intervention effect on flow
238 state and performance (Lindsay et al., 2005; Pates et al., 2002).

239 Each imagery session consisted of three parts, (a) relaxation techniques, (b) imagery of
240 serves, and (c) imagery of groundstrokes. Participants were asked to make themselves
241 comfortable in a relaxed position and start the session with relaxation and breathing techniques.
242 They could choose whether they would feel more comfortable with their eyes open or closed
243 at any time during the imagery session. Once a comfortable and relaxed state was obtained,
244 participants slowly read through the instructions of the imagery script. The script addressed
245 the sequence of three important parts of the service and groundstroke performance, namely
246 pre-shot routine, vital aspects during performance, and performance outcomes. Following the

247 detailed imagery, participants were instructed to image serves and groundstrokes in real time
248 that took into account the actual speed and movements of their own and their opponent's
249 performance. For example, the sequence and placement of groundstrokes should be similar to
250 a typical baseline rally in a competition match that varies in length and intensity, although the
251 final shot of the rally had to be a clean winner. Beyond five winning serves and five
252 groundstroke winners per session, participants could imagine as many winning shots as they
253 wished.

254 *Procedure*

255 The research was approved by the University's ethics committee. Access was
256 requested to players in junior tennis squads run by Tennis Australia and private tennis clubs in
257 Melbourne, Australia. Coaches passed on the information statement to the junior players.
258 Players who wanted to participate in the study as volunteers returned the consent form signed
259 by parents and themselves. The SIAM was administered to assess participants' imagery ability.
260 Tournament directors provided support in accessing the various tournaments and consent was
261 obtained from opponents to videotape participants' competition performance. Participants
262 filled out the modified version of the FSS-2 within half an hour after the completion of each
263 competition match. Participants reflected on each set separately, and the average score was
264 taken for subsequent analysis. Baseline observations took place over a period of six weeks.

265 After the conclusion of the baseline phase, the imagery script was introduced to the
266 participants and explanations on how to independently work with the script were provided. All
267 participants received the same information and instructions orally and in written form. To
268 enhance understanding of the intervention, a practice session was conducted in order to
269 familiarise participants with all parts of the imagery script. In addition, participants were
270 encouraged to ask questions and clarify any difficulties they experienced during the

271 introductory session. Finally, participants received an adherence log to comment on their
272 experience, such as image clarity, after each session.

273 The imagery intervention lasted for six weeks. Participants were asked to practice
274 imagery three times per week, each session taking approximately 10 to 15 minutes.
275 Instructions for the self-reliant use of the script included (a) to imagine vividly, as if being in
276 this particular situation, (b) to imagine clearly and in detail what the situation and the
277 performance are like, (c) to control the images (e.g., seeing oneself being successful), and (d)
278 to use all the senses within the images, that is, images should include visual, auditory,
279 kinaesthetic (e.g., within the muscles), tactile (e.g., touching racket and tennis ball), and
280 olfactory (e.g., the aroma of new tennis balls) aspects of competition matches.

281 Following the intervention phase, participants were instructed to use imagery for 10 to
282 15 minutes as competition preparation. The post-intervention phase lasted up to six weeks.
283 After completion of the post-intervention phase, a social validation interview was conducted
284 with each of the participants to assess differences in their experiences between phases and the
285 perceived effectiveness of the intervention on flow state and performance. Finally, participants
286 were debriefed on their performance and flow experience and thanked them for their
287 involvement in, and support of, the study.

288 ***Data Analyses***

289 Visual inspection was used to assess graphs, applying the split-middle technique
290 (Kazdin, 1982; White, 1971, 1974) to investigate changes in flow and performance scores
291 across baseline and post-intervention phases. To determine intervention effects the celeration
292 or trend line was assessed in each phase (Barlow & Hersen, 1984; Kazdin, 1982), as well as
293 changes in mean scores across phases. Several assessment characteristics need to be present to
294 draw accurate inferences from the interventions. The intervention effect is stronger when (a)
295 the replication of the effect is evident across a number of participants, (b) the overlapping data

296 points between baseline and post-intervention phase are minimal, and (c) an effect is detected
297 near the onset of the post-intervention phase, followed by a sustained increase (Hrycaiko &
298 Martin, 1996; Kazdin, 1982). In addition to the visual inspection of the celebration lines,
299 Binominal tests were implemented to calculate statistical differences between baseline and
300 post-intervention phases. For this analysis the celebration line is extended from the baseline
301 into the post-intervention phase, showing the number of the data points that fall above the line
302 following the intervention. Based on the Binominal test formulas provided by Callow, Hardy,
303 and Hall (2001) (see Appendix B), we examined whether the intervention significantly
304 increased participants' flow state, and service and groundstroke performance. Finally, a
305 content analysis of the social validation interview was conducted to extract additional
306 information on the effectiveness of the intervention and participants' experiences.

307 **Result.**

308 ***Imagery Ability***

309 SIAM scores were typically above 200 points ($M_{Participant 1} = 337.67$; $M_{Participant 2} =$
310 247.17 ; $M_{Participant 3} = 288.08$; $M_{Participant 4} = 220.50$), except for the olfactory and gustatory
311 subscales. These subscales appear to be less important, as both characteristics play a minor
312 role in tennis competition. Participant 1 and 3 reported relatively high scores (> 300) on a
313 number of subscales, such as vividness, control, duration of the image, visual and tactile sense,
314 and emotion, whereas Participants 2 and 4 scored below the 300 on these subscales. Overall,
315 these findings provided evidence that the participants' imagery ability was at least moderately
316 high, allowing athletes to effectively use imagery.

317 ***Intervention Adherence***

318 All participants confirmed in the adherence log that they frequently practiced imagery
319 during the intervention period. Each participant completed a minimum of twelve imagery

320 sessions over a period of six weeks. The time between sessions varied between two and four
321 days, due to participants' tennis-related and school-related commitments. The imagery
322 sessions were conducted between 4.00 pm and 8.30 pm. The mean session duration varied
323 from 10 to 16 minutes. All participants reported a general increase in imagery vividness and
324 clarity from beginning to end of the intervention phase.

325 *Flow State in Competition*

326 Figure 1 illustrates the development of flow state for all four participants. The vertical
327 line signifies the break between baseline and post-intervention phases. Solid lines reflect the
328 trend of flow state in each phase, the dotted celeration lines indicate the ongoing trend from
329 the baseline phase, and dashed lines signify the mean values (M) of flow state in the baseline
330 and post-intervention phases. The letter "L" specifies that this particular match was lost.

331 In the baseline phase, mean flow scores varied between 108.90 (Participants 2) and
332 153.50 (Participant 3). Overall, participants' flow states appeared to be substantially lower
333 when the competition was lost. Following the intervention, flow state increased on average for
334 Participants 1, 2, and 3, whereas Participant 4 showed a decrease. Participant 1 reported the
335 strongest increase in mean flow experiences in the post-intervention phase by 22.90 points.
336 His flow experiences became more stable, which is reflected in a smaller deviation from the
337 mean, and there was a positive change in slope from baseline ($M = 138.43$) to post-
338 intervention ($M = 161.33$) phase. Participant 2 reported the highest personal flow states in the
339 three matches after the intervention. Even though Match 7 was lost, the flow score was higher
340 than baseline scores. All flow-state scores in the post-intervention phase for Participant 2
341 stayed above the trend line ($M_{baseline} = 108.90$; $M_{post-intervention} = 124.31$). Although, Participant
342 3 reported the highest average flow score ($M = 153.50$) of all participants in the baseline phase,
343 flow states were slightly higher following the intervention ($M = 157.75$). After the intervention,
344 Participant 4 reported lower flow scores that reflected an ongoing negative trend across phases

345 ($M_{baseline} = 120.71$; $M_{post-intervention} = 107.63$). When comparing the baseline with the post-
346 intervention phase, the Binominal tests showed a significant increase in flow for Participant 1
347 ($p < .001$), Participant 2 ($p < .001$), and Participant 3 ($p < .05$) following the intervention. Only
348 the results for Participant 4 were not significant ($p = .125$).

349 ***Service Performance in Competition***

350 The results of the participants' service performance (SER) are presented in Figure 2.
351 The lines bear the same meaning as in the presentation of flow state. The results of the service
352 performances were calculated as percentage scores based on the ratio of service winners to
353 service points played in total. The four participants showed an increase in service performance
354 from baseline to post-intervention phase. Participant 1 improved in service performance across
355 phases as reflected by the mean percentage score ($M_{baseline} = 7.85$; $M_{post-intervention} = 13.03$).
356 Participant 2 revealed the strongest improvement in service winners, increasing from a mean
357 of 4.35% to a mean of 24.12% in the post-intervention phase. The service performance
358 showed a sustained increase after the intervention with only one overlapping data point. The
359 trend in the post-intervention phase was still negative for Participant 2, but the trend line was
360 close to horizontal. Participant 1 showed a negative performance trend in the baseline phase,
361 which was reversed into a positive trend following the intervention. The highest percentage of
362 service winners was reached in Matches 8, 9, and 10.
363 Participant 3 showed an increase in service performance from baseline to post-intervention
364 phase of 5.04 mean percentage points ($M_{baseline} = 5.86$; $M_{post-intervention} = 10.90$). Even though
365 the slope changed from a positive to a negative trend, three performance assessments in the
366 post-intervention phase remained above the trend line. In addition, all post-intervention
367 performances were above pre-intervention scores, indicating a sustained increase in
368 performance and a positive intervention effect. For Participant 4, the service performance
369 trend showed a strong negative slope before the intervention ($M_{baseline} = 6.85$) and a near

370 horizontal trend after the intervention ($M_{post-intervention} = 14.05$). The Binominal test provided
371 evidence that all four participants significantly improved their service performance in
372 competition. The results showed a substantial improvement for Participants 1, 2, and 4 (p
373 $< .001$), and a smaller, but statistically significant, increase for Participant 3 ($p < .05$).

374 ***Groundstroke Performance in Competition***

375 As presented in Figure 3, all four participants increased their groundstroke
376 performance after the imagery intervention. Participant 3 showed the strongest increase in
377 groundstroke performance by 14.61 mean points from baseline to post-intervention ($M_{baseline} =$
378 10.22 ; $M_{post-intervention} = 24.83$). As the baseline performance showed a steep positive trend, the
379 four post-intervention performances stayed below the extrapolated trend line. Given the
380 improvement in mean groundstroke performance following the intervention, only one
381 performance assessment overlapped with baseline performances, suggesting a positive
382 intervention effect for Participant 3. For Participant 4 post-intervention performances partly
383 ($M_{baseline} = 8.61$; $M_{post-intervention} = 19.95$) overlapped, whereas Participant 1 ($M_{baseline} = 12.90$;
384 $M_{post-intervention} = 16.66$) and Participant 2 ($M_{baseline} = 10.53$; $M_{post-intervention} = 16.26$) revealed a
385 large overlap between baseline and post-intervention groundstroke performances. For
386 participants 3 and 4 the overlap mainly resulted from one positive outlier in the baseline phase,
387 which potentially distorted the intervention effect. Binominal test results revealed that
388 Participants 1 ($p < .001$), 2 ($p < .05$), and 4 ($p < .001$) significantly increased their
389 groundstroke performance, whereas the performance of Participant 3 did not show a
390 significant improvement across phases ($p = .125$).

391 ***Ranking List Development***

392 Immediately after the completion of the post-intervention phase, participants'
393 Australian junior ranking positions were assessed in comparison to the beginning of the
394 baseline phase. Participants played all competition matches in official ranking-list tournaments

395 conducted by Tennis Australia or Tennis Victoria. The four participants did not compete
396 against each other and did not play any of their opponents twice. All matches were played
397 against ranking-list players, except Matches 1 and 2 by Participant 1, and Match 8 by
398 Participant 2. By the end of the study, Participant 1 increased his Australian National Junior
399 ranking by 145 places from number 214 at the start of the study to number 69 by the end of the
400 study. Participant 2 improved his ranking from 203 at the start to 176 at the end of the study,
401 Participant 3 from 221 to 139, and Participant 4 from 244 to 173.

402 *Social Validation Interview*

403 Following the conclusion of the flow and performance data collection, a social
404 validation interview was conducted with each of the participants separately. All participants
405 expressed that working with the imagery script was a useful addition to their normal training
406 routine and helpful in preparation for competition matches. Comparing reports of participants'
407 flow experiences between pre- and post-intervention phases, three participants stated that
408 several attributes of flow appeared to be stronger following the intervention, whereas
409 Participant 4 reported no difference in his flow experiences. Participant 3 reported that flow
410 experiences built up gradually during the match, and that the consistency of his performance
411 was important for him to get into flow. Participant 2 stated that flow occurred rather
412 automatically and was not triggered by a key situation. Participant 1 outlined that he often
413 perceived an intense flow state at an early stage of the competition, even during warm-up. In
414 general, participants' experience of using imagery was described as "stimulating". Participant
415 4, on the other hand, reported that working with the script had a more relaxing than
416 stimulating effect on his match preparation, despite the lack of change in his perceived flow
417 state. Overall, participants emphasized that imagery helped them to feel in control, to have
418 clear goals, and to be able to focus better. For instance Participant 2 perceived as heightened
419 and "exclusive concentration." Participant 1 also reported that he felt "more awake" and

420 “more alert” when performing on court. He noticed he was more aware of what tactics his
421 opponent used, how these tactics caused errors and mistakes to his game, and how he could
422 counteract his opponent’s actions. In addition, all participants reported that their confidence
423 had increased following the intervention.

424 **Discussion**

425 This study investigated the effectiveness of an imagery intervention developed on the
426 basis of the relationship between types of imagery use and dimensions of flow to enhance flow
427 state and competition performance in junior tennis players. None of the participants had
428 systematically used imagery or worked with an imagery script before this study. Testing
429 athletes’ imagery ability confirmed that participants had sufficient control over their images to
430 effectively use the imagery script. The results showed evidence that cognitive and
431 motivational imagery addressing key flow dimensions had a positive effect on flow state.
432 Three participants displayed a mean increase in flow, and all participants improved their mean
433 performance from baseline to post-intervention phase. These results are consistent with
434 previous research, employing hypnosis (e.g., Lindsay et al., 2005; Pates et al., 2001) or
435 imagery (Pates et al., 2003) to increase flow and performance in sports. The statistical analysis
436 of the Binominal tests supported visual assessments, indicating that the majority of
437 participants significantly increased their flow experiences and performances across phases. In
438 this study, intervention effects were assessed in ecologically-valid conditions by examining
439 the dependent variables in official ranking-list tournaments.

440 Using cognitive and motivation imagery types has been a fruitful approach to increase
441 flow. Linking MG-M imagery with flow dimensions of challenge-skills balance and sense of
442 control appeared to have a strong impact on positive experience, particularly on athletes’
443 confidence. For instance, Participant 1 noted that before the intervention he was repeatedly

444 dwelling on lost points, whereas following the intervention he described his thoughts as “more
445 proactive towards being positive” which enabled him to “make the shots when it was
446 important.” In addition, participants stated that they felt more focused and they knew what
447 they wanted to do on court. It is possible that in anticipation athletes were better cognitively
448 prepared to respond to various match situations. Additional research is necessary to address
449 whether flow dimensions fulfil potential cognitive and motivational functions that are relevant
450 for athletes’ performance. For instance, clear goals and concentration on the task would
451 predominantly involve cognitive processes, whereas autotelic experience would allow athletes
452 to maintain intrinsic motivation in the task. The results of the study indicated that linking
453 imagery types with specific flow dimensions has a positive effect on athletes’ flow state in
454 competition.

455 The results suggested that the imagery intervention was a valuable addition to the
456 participants’ off-court training routine and competition preparation. Morris et al. (2005)
457 underlined the importance of imagery as a mental warm-up to achieve optimal readiness at the
458 start of performance. According to Morris et al., athletes who imagine challenging match
459 situations immediately before performing “will go into competition much more mentally alert
460 and in the right mood state” (p. 220) than athletes who are mentally cold. In the social
461 validation interview, three participants reported that the use of imagery led to feelings of being
462 “pumped” and “psyched up.” In addition, participants indicated that they felt more confident
463 during the competitions. Using cognitive and motivational imagery as a mental warm-up
464 before competition might have induced a positive pre-performance state that facilitated
465 stronger flow experiences on court.

466 The only participant decreasing in flow after the intervention was Participant 4. In the
467 validation interview, Participant 4 reported that he would have preferred an imagery script
468 outlining performance situations that better suited his game. Even though he showed an

469 acceptable level of adherence to the intervention, Participant 4's comments suggested that he
470 was not highly committed to the intervention using a standardised script, which may have
471 lowered the intervention effect. Callow and Hardy (2005) proposed that the meaning of the
472 image could vary immensely between individuals, which might affect the outcome of the
473 intervention. Developing individualised interventions might facilitate a positive attitude and
474 commitment towards using imagery.

475 Limitations of the study have been identified with regard to the use of a single-case
476 design, sample group, and the competition setting. First, between baseline and post-
477 intervention phases there were considerable fluctuations in the intensity of flow and
478 competition performance. The results showed that several outliers influenced the overall
479 trends of flow and performance, which made it more difficult to evaluate intervention effects.
480 For instance, Participant 3 reported relatively high flow scores within the baseline phase,
481 leaving little room to gain a substantial increase in flow intensity. Therefore, the treatment
482 effect for Participant 3 could have been clouded by a ceiling effect. It is noteworthy that
483 single-case intervention studies conducted in a training setting have generally shown a distinct
484 and sustained increase in flow and performance (e.g., Pates et al., 2002; Pates et al., 2001),
485 whereas Lindsay et al. (2005) found inconsistent patterns of flow state and performance in
486 cycling competitions. In this study, variability in flow and performance may derive from the
487 ecologically-valid setting. Positive or negative outliers in the data affected the overall trend,
488 particularly when low measurements occur at the end of the phase. In general, the results of
489 the multiple baseline design confirmed similar findings across participants, although future
490 studies should extend pre- and post-intervention phases, so that outliers have a smaller impact
491 on the overall trend.

492 Second, the participants' young age could have raised concerns in conducting the
493 intervention using a written script. The imagery script required concentrated and repeated

494 reading at the beginning of the intervention, before participants were able to remember key
495 aspects of the performance situations. Providing written information of the imagery contents
496 could be perceived as challenging, as reported by one participant, before imagery sessions
497 became smoother. Practitioners need to consider whether interventions with younger athletes
498 are more effective if the imagery content is provided in script form or on audiotape. The use of
499 audiotapes might provide a practical alternative that makes it easier for young athletes to get
500 used to the intervention procedure.

501 Finally, the competition setting introduces potentially confounding variables affecting
502 athletes' flow state. Situational factors vary between competitions, which can facilitate,
503 prevent, or disrupt athletes' flow experiences (Jackson, 1995). Beside imagery, other factors,
504 such as the competition situation or outcome, could have affected athletes' flow experience.
505 The performance situation is characterised by a lack of control of extraneous variables,
506 including opponents, weather, and audience, which may have had a substantial impact on flow
507 and performance. In addition, the way athletes memorise and interpret events can augment or
508 diminish the assessment of subjective experience (Brewer et al., 1991). The development and
509 conduct of interventions on positive experience with strong ecological validity can be a
510 challenging task for practitioners, although the benefits of fostering flow in young athletes has
511 the potential to positively affect their enjoyment, motivation, and commitment to their sport.

512 For future studies, researchers need to assess the relationship between flow and
513 performance in more detail to increase the understanding of possible causal links between
514 these variables. Based on the approach and design of this study, there is no way of knowing
515 whether the relationship between flow and performance is reflected by causality, reciprocity,
516 or whether there is no consistent relationship between these variables, that is, each variable
517 changes independently from the other. A fruitful approach would be to measure flow state
518 during competition. Previous studies (e.g., Lindsay et al., 2005; Pates et al., 2002), as well as

519 the current one, measured flow once after the event, whereas multiple measurements of flow
520 and performance are needed to test for causal links between the variables. Jackson, Martin,
521 and Eklund (2008) validated a nine-item short form of the Flow State Scale-2, which takes
522 about a minute to fill out and could be completed repeatedly. In a tennis match, athletes could
523 report on their flow state during match breaks when changing ends. The flow and performance
524 patterns could be assessed in a time-series analysis. Patterns in which performance increased
525 after flow would provide evidence for a one-directional connection in which flow directly
526 affects performance. The opposite pattern would suggest a one-directional link with
527 performance influencing flow. This approach would provide a better understanding if flow
528 state drives performance, or whether performance drives flow.

529 In summary, the results of this intervention study were valuable, because of the
530 administration of a tailored intervention and effectively implemented in ecologically-valid
531 conditions. Based on previous findings (Koehn et al., in press) the intervention targeted a
532 specific athlete group which aimed to enhance critical dimensions of flow in order to increase
533 flow state and performance in tennis competitions. This study adds further evidence
534 supporting the proposition that specific imagery types can be used to enhance flow state and
535 performance in sport (e.g., Morris et al., 2005; Pates et al., 2003). Findings on the flow-
536 performance relationship are not conclusive, and it is important that researchers increase
537 efforts to further investigate possible causal links between flow state and performance. Future
538 research would benefit from more theoretical work on the flow model (Kimiecik & Stein,
539 1992), such as the examination of three-way interactions between personal, situational, and
540 performance variables influencing flow state that inform and guide prospective interventions.
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Running head: IMAGERY EFFECTS ON FLOW AND PERFORMANCE

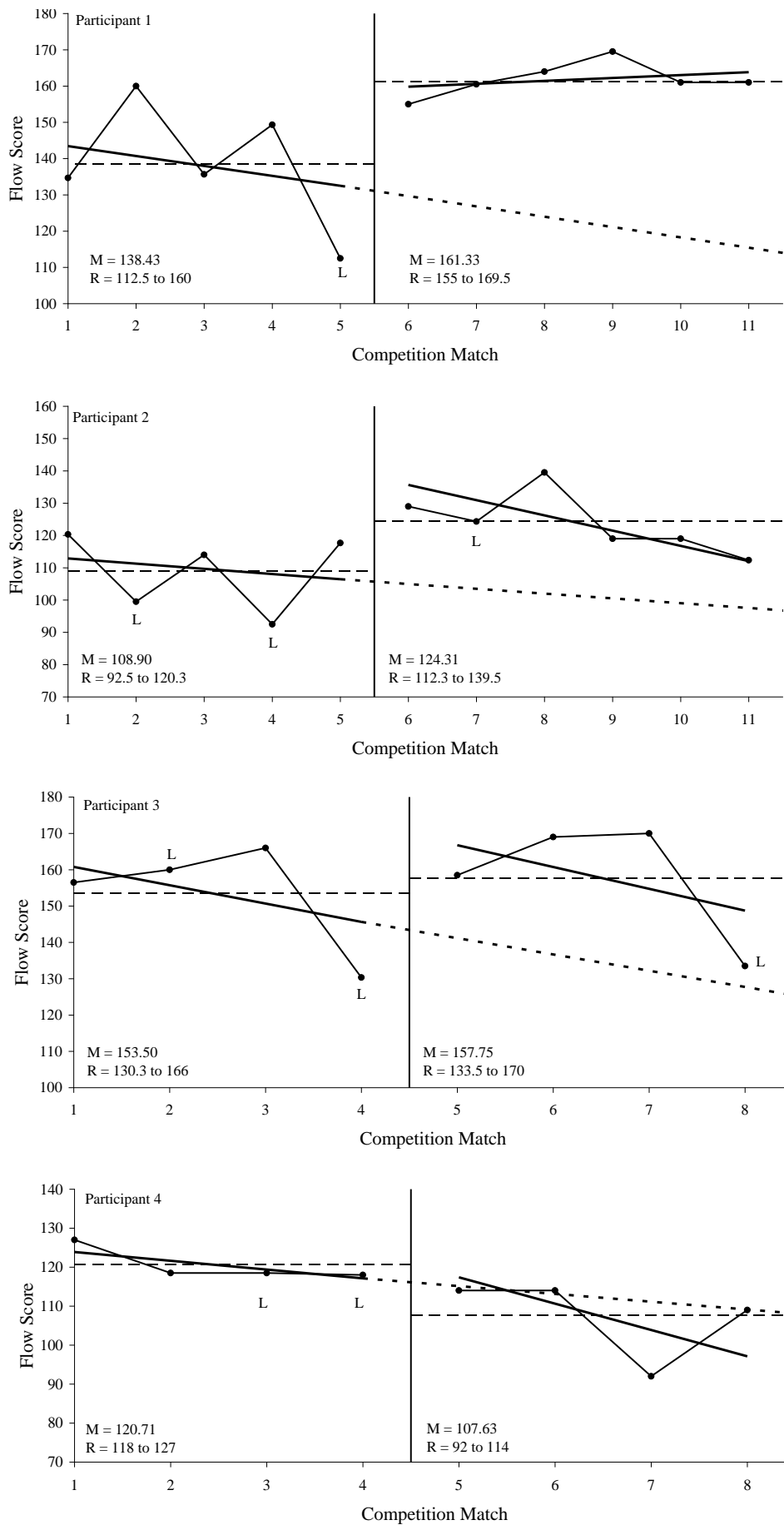


Figure 1. Flow State during the Baseline and Post-Intervention Phases.

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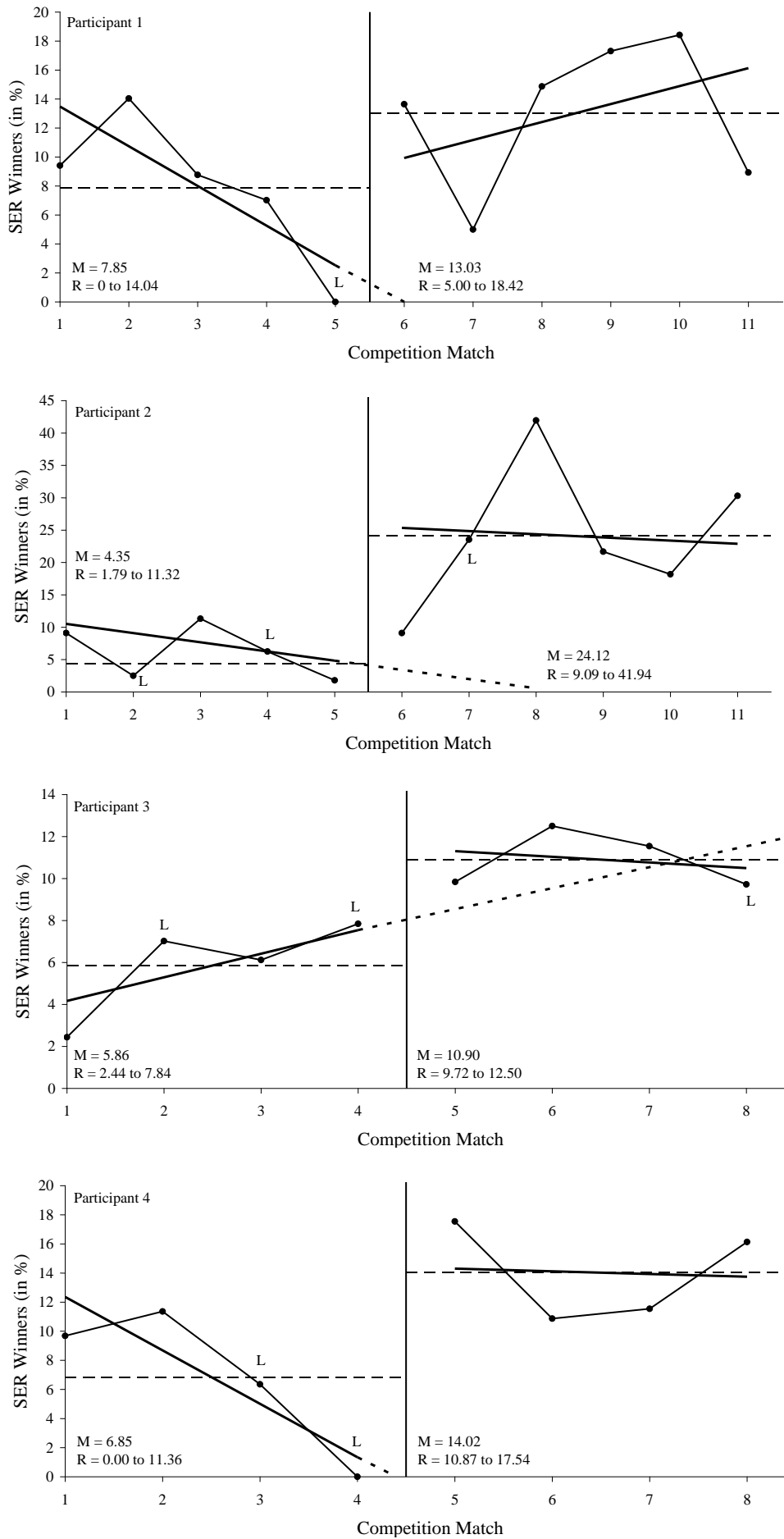
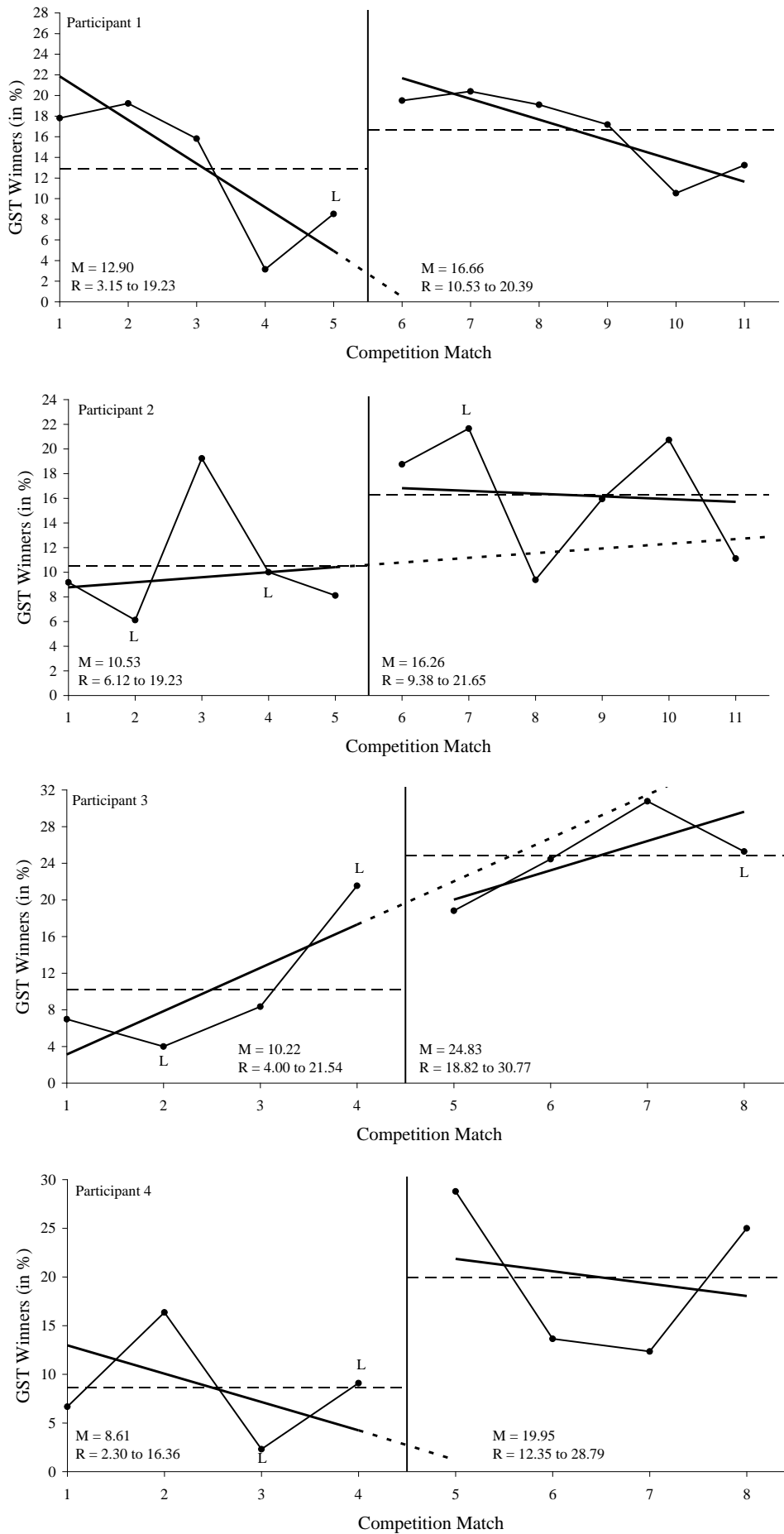


Figure 2. Service Performances across Baseline and Post-Intervention Phases.

Running head: IMAGERY EFFECTS ON FLOW AND PERFORMANCE



625 Figure 3. Groundstroke Performances across Baseline and Post-Intervention Phases.